

# Cardiac Resynchronization Therapy (CRT) in Chronic Heart Failure

SBU ALERT REPORT NO 2007-01 • 2007-04-11 • WWW.SBU.SE/ALERT



# **Summary and Conclusions**

TECHNOLOGY AND TARGET GROUP Various cardiac disorders, eg, myocardial infarction and heart valve disorders, may leave the heart too weak to pump the volume of blood needed by the body in a given situation. The resulting condition is called heart failure. Heart failure usually develops gradually, depending on the severity of the underlying cardiac disease. Normally, the pumping action of the right and left ventricles is well coordinated. In heart failure, the form and function of the heart can change and cause dyssynchrony, ie, deficient coordination of the contractions of the ventricles. Implantation of a biventricular pacemaker, ie, cardiac resynchronization therapy (CRT), is a new method aimed at synchronizing the action of the heart, thereby enhancing its ability to pump. Pacemaker stimulation of the right atrium and/or right ventricle is a well-established method for treating a slow pulse rate (bradycardia). In CRT, an additional electrode is placed above the left ventricle. Although pharmacotherapy has a satisfactory effect in many heart failure patients with dyssynchrony, some patients are not adequately helped by this approach, or have difficulty tolerating adequately high doses of the medication. In Sweden, the potential target group for CRT is estimated at 200 to 300 individuals per million inhabitants and year, ie, approximately 2200 patients.

**PRIMARY QUESTION** What are the patient benefits and costs associated with CRT in treating heart failure? This assessment updates the SBU Alert Report published November 12, 2003.

PATIENT BENEFIT Seven randomized trials compared the benefits of pharmacotherapy alone to the benefits of CRT and medication combined. Results from 5 of the trials showed that CRT yielded a 13% to 20% increase in walking ability, as measured by a 6-minute walking test. The results also showed a 20% to 30% improvement in quality of life, as measured by the Minnesota Living with Heart Failure Questionnaire. In addition, the severity level of the disease improved based on the New York Heart Association (NYHA) classification scale, on average 0.5 to 0.8 stages. Two of the randomized trials studied mortality (death from any cause). At 12-month followup the combined results showed, in a meta-analysis, the mortality risk to be 3 percentage points lower in the CRT group compared to patients receiving pharmacotherapy alone. This corresponds to a 22% reduction in relative risk. One of the studies included even longer followups. After 2 and 3 years respectively, it was shown that the differences between the groups increased with time. There is speculation concerning the extent to which the addition of implantable cardiac defibrillators (ICD) would further improve survival in patients with severe heart failure who are treated with CRT. This question, however, was not assessed in any study.

In this procedure, insertion of an electrode above the left ventricle creates a risk since the blood vessel can be damaged. Several studies reported isolated cases of death related to the surgical procedure.

**ETHICAL ASPECTS** An important aspect of CRT, which has ethical implications, concerns how patients are selected for treatment. Since treatment is associated with considerable costs and with some risk, it is important to thoroughly evaluate the patient's general health status and life expectancy. Furthermore, it is important for both the patient and the family to receive factual information on the benefits and risks of treatment.

**ECONOMIC ASPECTS** The costs associated with implanting a CRT device are estimated at just over 100 000 Swedish kronor (SEK), including hospitalization time. If the target group includes 2200 patients per year, the total healthcare cost would be around 250 million SEK. Several studies have been published on the cost effectiveness of CRT. The average additional cost per quality-adjusted life-year varies substantially among the different studies, ranging from around 180 000 to around 800 000 SEK. The variation is attributed to differences in estimating the healthcare costs and differences in the prognosis of patient survival time. Since these figures are highly uncertain, it is difficult to address the cost effectiveness of the CRT method.

## SBU's appraisal of the evidence

Reduced symptoms (Evidence Grade 2)\*, improved quality of life (Evidence Grade 1)\*, and improvement in the severity level of disease (Evidence Grade 1)\* were found in comparing CRT against pharmacotherapy alone. Furthermore, the results from 2 trials with followup after 12 months showed some reduction in the mortality risk for CRT compared to pharmacotherapy (Evidence Grade 1)\*. The scientific evidence is insufficient\* to determine the long-term effects and cost effectiveness of the method.

\*Criteria for Evidence Grading SBU's Conclusions, see page 2



#### Criteria for Evidence Grading SBU's Conclusions

Evidence Grade 1 – Strong Scientific Evidence. The conclusion is corroborated by at least two independent studies with high quality and internal validity, or a good systematic overview.

Evidence Grade 2 – Moderately Strong Scientific Evidence. The conclusion is corroborated by one study with high quality and internal validity, and at least two studies with medium quality and internal validity.

Evidence Grade 3 – Limited Scientific Evidence. The conclusion is corroborated by at least two studies with medium quality and internal validity.

Insufficient Scientific Evidence. No conclusions can be drawn when there are not any studies that meet the criteria for quality and internal validity.

Contradictory Scientific Evidence. No conclusions can be drawn when there are studies with the same quality and internal validity whose findings contradict each other.

### References

- Stevenson WG, Stevenson LW, Middlekauff HR, Fonarow GC, Hamilton MA, Woo MA et al. Improving survival for patients with advanced heart failure: a study of 737 consecutive patients. J Am Coll Cardiol 1995;26(6):1417-23.
- Aaronson KD, Schwartz JS, Chen TM, Wong KL, Goin JE, Mancini DM. Development and prospective validation of a clinical index to predict survival in ambulatory patients referred for cardiac transplant evaluation. Circulation 1997;95(12):2660-7.
- 3. Masoudi FA, Havranek EP, Smith G, Fish RH, Steiner JF, Ordin DL et al. Gender, age, and heart failure with preserved left ventricular systolic function. J Am Coll Cardiol 2003;41(2):217-23.
- Xiao HB, Roy C, Gibson DG. Nature of ventricular activation in patients with dilated cardiomyopathy: evidence for bilateral bundle branch block. Br Heart J 1994;72(2):167-74.
- Young JB, Abraham WT, Smith AL, Leon AR, Lieberman R, Wilkoff B et al; Multicenter InSync ICD Randomized Clinical Evaluation (MIRACLE ICD) Trial Investigators. Combined cardiac resynchronization and implantable cardioversion defibrillation in advanced chronic heart failure: the MIRACLE ICD Trial. JAMA 2003;289(20):2685-94.
- Auricchio A, Stellbrink C, Butter C, Sack S, Vogt J, Misier AR et al; Pacing Therapies in Congestive Heart Failure II Study Group; Guidant Heart Failure Research Group. Clinical efficacy of cardiac resynchronization therapy using left ventricular pacing in heart failure patients stratified by severity of ventricular conduction delay. J Am Coll Cardiol 2003;42(12):2109-16.
- Abraham WT, Young JB, Leon AR, Adler S, Bank AJ, Hall SA et al; Multicenter InSync ICD II Study Group. Effects of cardiac resynchronization on disease progression in patients with left ventricular systolic dysfunction, an indication for an implantable cardioverter-defibrillator, and mildly symptomatic chronic heart failure. Circulation 2004;110(18):2864-8.
- Eriksson H, Svardsudd K, Larsson B, Ohlson LO, Tibblin G, Welin L et al. Risk factors for heart failure in the general population: the study of men born in 1913. Eur Heart J 1989;10(7):647-56.
- Schaufelberger M, Swedberg K, Koster M, Rosen M, Rosengren A. Decreasing one-year mortality and hospitalization rates for heart failure in Sweden; Data from the Swedish Hospital Discharge Registry 1988 to 2000. Eur Heart J 2004;25(4):300-7.

#### SBU – The Swedish Council on Technology Assessment in Health Care

SBU is an independent public authority which has the mandate of the Swedish Government to comprehensively assess healthcare technology from medical, economic, ethical, and social standpoints. SBU Alert is a system for identification and early assessment of new methods in health care.

PO Box 5650, SE-114 86 Stockholm, Sweden • alert@sbu.se

- Mosterd A, Hoes AW, de Bruyne MC, Deckers JW, Linker DT, Hofman A et al. Prevalence of heart failure and left ventricular dysfunction in the general population; The Rotterdam Study. Eur Heart J 1999;20(6):447-55.
- Redfield MM, Jacobsen SJ, Burnett JC Jr, Mahoney DW, Bailey KR, Rodeheffer RJ. Burden of systolic and diastolic ventricular dysfunction in the community: appreciating the scope of the heart failure epidemic. JAMA 2003;289(2):194-202.
- 12. Cazeau S, Leclercq C, Lavergne T, Walker S, Varma C, Linde C et al; Multisite Stimulation in Cardiomyopathies (MUSTIC) Study Investigators. Effects of multisite biventricular pacing in patients with heart failure and intraventricular conduction delay. N Engl J Med 2001;344(12):873-80.
- Abraham WT, Fisher WG, Smith AL, Delurgio DB, Leon AR, Loh E et al; MIRACLE Study Group. Multicenter InSync Randomized Clinical Evaluation. Cardiac resynchronization in chronic heart failure. N Engl J Med 2002;346(24):1845-53.
- 14. Leclercq C, Walker S, Linde C, Clementy J, Marshall AJ, Ritter P et al. Comparative effects of permanent biventricular and rightuniventricular pacing in heart failure patients with chronic atrial fibrillation. Eur Heart J 2002;23(22):1780-7.
- 15. Auricchio A, Stellbrink C, Sack S, Block M, Vogt J, Bakker P et al; Pacing Therapies in Congestive Heart Failure (PATH-CHF) Study Group. Long-term clinical effect of hemodynamically optimized cardiac resynchronization therapy in patients with heart failure and ventricular conduction delay. J Am Coll Cardiol 2002;39(12):2026-33.
- 16. Higgins SL, Hummel JD, Niazi IK, Giudici MC, Worley SJ, Saxon LA et al. Cardiac resynchronization therapy for the treatment of heart failure in patients with intraventricular conduction delay and malignant ventricular tachyarrhythmias. J Am Coll Cardiol 2003;42(8):1454-9.
- Linde C, Leclercq C, Rex S, Garrigue S, Lavergne T, Cazeau S et al. Long-term benefits of biventricular pacing in congestive heart failure: results from the MUltisite STimulation in cardiomyopathy (MUSTIC) study. J Am Coll Cardiol 2002;40(1):111-8.
- Bristow MR, Saxon LA, Boehmer J, Krueger S, Kass DA, De Marco T et al; Comparison of Medical Therapy, Pacing, and Defibrillation in Heart Failure (COMPANION) Investigators. Cardiac-resynchronization therapy with or without an implantable defibrillator in advanced chronic heart failure. N Engl J Med 2004;350(21):2140-50.
- Cleland JG, Daubert JC, Erdmann E, Freemantle N, Gras D, Kappenberger L et al; Cardiac Resynchronization-Heart Failure (CARE-HF) Study Investigators. The effect of cardiac resynchronization on morbidity and mortality in heart failure. N Engl J Med 2005;352(15):1539-49.
- 20. Cleland JG, Daubert JC, Erdmann E, Freemantle N, Gras D, Kappenberger L et al. Longer-term effects of cardiac resynchronization therapy on mortality in heart failure [the CArdiac REsynchronization-Heart Failure (CARE-HF) trial extension phase]. Eur Heart J 2006;27(16):1928-32.
- 21. Gasparini M, Auricchio A, Regoli F, Fantoni C, Kawabata M, Galimberti P et al. Four-year efficacy of cardiac resynchronization therapy on exercise tolerance and disease progression: the importance of performing atrioventricular junction ablation in patients with atrial fibrillation. J Am Coll Cardiol 2006;48(4):734-43.
- 22. Rivero-Ayerza M, Theuns DA, Garcia-Garcia HM, Boersma E, Simoons M, Jordaens LJ. Effects of cardiac resynchronization therapy on overall mortality and mode of death: a meta-analysis of randomized controlled trials. Eur Heart J 2006;27(22):2682-8.
- 23. Freemantle N, Tharmanathan P, Calvert MJ, Abraham WT, Ghosh J, Cleland JG. Cardiac resynchronisation for patients with heart failure due to left ventricular systolic dysfunction – a systematic review and meta-analysis. Eur J Heart Fail 2006;8(4):433-40.

This summary is based on a report prepared at SBU in collab-

oration with Prof. Cecilia Linde, Karolinska University Hos-

pital, Stockholm. It has been reviewed by Assoc. Prof. Anders

Englund, Örebro University Hospital, Örebro.

The complete report is available only in Swedish.



- 24. Abdulla J, Haarbo J, Kober L, Torp-Pedersen C. Impact of implantable defibrillators and resynchronization therapy on outcome in patients with left ventricular dysfunction a meta-analysis. Cardiology 2006;106(4):249-55.
- 25. McAlister FA, Ezekowitz JA, Wiebe N, Rowe B, Spooner C, Crumley E et al. Systematic review: cardiac resynchronization in patients with symptomatic heart failure. Ann Intern Med 2004;141(5):381-90.
- 26. Bradley DJ, Bradley EA, Baughman KL, Berger RD, Calkins H, Goodman SN et al. Cardiac resynchronization and death from progressive heart failure: a meta-analysis of randomized controlled trials. JAMA 2003;289(6):730-40.
- Moher D, Cook DJ, Eastwood S, Olkin I, Rennie D, Stroup DF. Improving the quality of reports of meta-analyses of randomised controlled trials: the QUOROM statement. Quality of Reporting of Meta-analyses. Lancet 1999;354(9193):1896-900.
- Nichol G, Kaul P, Huszti E, Bridges JF. Cost-effectiveness of cardiac resynchronization therapy in patients with symptomatic heart failure. Ann Intern Med 2004;141(5):343-51.
- Calvert MJ, Freemantle N, Yao G, Cleland JG, Billingham L, Daubert JC et al; CARE-HF investigators. Cost-effectiveness of cardiac resynchronization therapy: results from the CARE-HF trial. Eur Heart J 2005;26(24):2681-8.
- 30. Feldman AM, de Lissovoy G, Bristow MR, Saxon LA, De Marco T, Kass DA et al. Cost effectiveness of cardiac resynchronization therapy in the Comparison of Medical Therapy, Pacing, and Defibrillation in Heart Failure (COMPANION) trial. J Am Coll Cardiol 2005;46(12):2311-21.
- 31. Banz K; Eucomed CRT Steering Committee. Cardiac resynchronization therapy (CRT) in heart failure a model to assess the economic value of this new medical technology. Value Health 2005;8(2):128-39.
- Heerey A, Lauer M, Alsolaiman F, Czerr J, James K. Cost effectiveness of biventricular pacemakers in heart failure patients. Am J Cardiovasc Drugs 2006;6(2):129-37.
- 33. Yao G, Freemantle N, Calvert MJ, Bryan S, Daubert JC, Cleland JG. The long-term cost-effectiveness of cardiac resynchronization therapy with or without an implantable cardioverter-defibrillator. Eur Heart J 2007;28(1):42-51.
- 34. Anderson R, Fox M, Dean J, Mealing S, Price A et al. The effectiveness and cost-effectiveness of cardiac resynchronisation (biventricular pacing) for heart failure: a systematic review and economic model. Manuscript, 7th September 2006. Report commissioned by NHS R&D HTA Programme.
- 35. Linde C, Gold M, Abraham WT, Daubert JC; REVERSE Study Group. Rationale and design of a randomized controlled trial to assess the safety and efficacy of cardiac resynchronization therapy in patients with asymptomatic left ventricular dysfunction with previous symptoms or mild heart failure – the REsynchronization reVErses Remodeling in Systolic left vEntricular dysfunction (REVERSE) study. Am Heart J 2006;151(2):288-94.
- 36. Moss AJ, Brown MW, Cannom DS, Daubert JP, Estes M, Foster E et al. Multicenter automatic defibrillator implantation trial-cardiac resynchronization therapy (MADIT-CRT): design and clinical protocol. Ann Noninvasive Electrocardiol 2005;10(4 Suppl):34-43.