

Dementia – Diagnostic and Therapeutic Interventions

A Systematic Review

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Dementia – Diagnostic and Therapeutic Interventions

A Systematic Review

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9. Diagnosing Dementia Disorders

Background

Diagnostic evaluation of dementia

Cognitive complaints and symptoms may result from normal aging or from a wide range of neurological, psychiatric or internal medical conditions. The diagnostic workup and management of a patient with cognitive complaints and symptoms is a multidisciplinary task, involving physicians from several medical specialties, as well as psychologists, occupational therapists, other health professions and other social care providers. A diagnostic evaluation should be initiated in all patients with subjective cognitive complaints and symptoms that seem to either persist or worsen, as well as in patients for whom the complaints are associated with other cognitive or behavioral changes or with impaired activities of daily living. Some patients with dementia have reduced insight into their own problems. If family members often report of memory loss or cognitive impairment in such patients, diagnostic evaluation should also be carried out. Diagnostic evaluation should be considered even when symptoms are not sufficiently severe to meet international criteria for dementia, given that patients with mild symptoms may have potentially reversible conditions and need appropriate management.

The dementia syndrome is diagnosed using specific criteria such as ICD-10 [1] or DSM-IV [2] criteria. There are no specific diagnostic markers for the most common dementia disorders. Therefore, the specific underlying disorder causing cognitive impairment and dementia is diagnosed using operational diagnostic criteria.

The concept of mild cognitive impairment (MCI)

One issue with the abovementioned criteria is that the degree of cognitive decline must be below a certain level. That leads to problems when cognitive impairment is present but not severe enough to meet

the criteria for dementia. The term MCI was one operational way to describe such patients. The vague terminology implies that MCI has a heterogeneous origin. A majority of MCI patients, though far from all, have very early Alzheimer's disease (AD), given that the conversion from MCI to AD is around 15% per year.

In current diagnostic workups, different sources of information are used, the patient's medical history being the most important. The history obtained from the patient should be complemented by information from relatives or other informants. A skilled, experienced specialist at a memory clinic has a high probability of correctly diagnosing the specific dementia disorder based on diagnostic criteria. A number of investigations are usually recommended as part of the diagnostic workup in general practice and at memory clinics.

- Physical, including neurological, examination
- Neuropsychological assessment
- Psychiatric/behavioral assessment
- Evaluation of activities of daily living
- Laboratory screening (blood) tests and ECG
- Cranial CT (or MRI)

In experienced hands, these basic examinations help the clinician identify the most common causes of cognitive impairment and dementia. However, up to 20% of all patients with dementia may have rarer conditions, the diagnosis of which may require a more extensive workup.

The prevalence of dementia in patients referred to memory clinics is often quite high, ranging from 50 to 100%. Prevalence is much lower in general practice, approaching that of the general population. However, many elderly people, including patients in general practice, have subjective memory complaints. The most important role of the general practitioner is to identify patients who may have a dementia disorder and to initiate diagnostic evaluation, screening for potentially reversible causes. The role of the general practitioner in completing a diagnostic evaluation may vary according to local healthcare organization, as well as individual knowledge, skills and experience.

Guidelines for the diagnostic evaluation of dementia

Guidelines for the diagnostic evaluation of dementia have been developed on a regional or national level in many countries. However, there are only a few published guidelines in international journals. Only one guideline was developed by an international group [3]. The published guidelines represent a mixture of expert consensus and evidence-based recommendations. Most guidelines were developed for either general practitioners or a particular group of specialists. Only rarely were guidelines based on evidence found in the literature, such as the guidelines recently published by the American Academy of Neurology [4,5].

“Evidence-based Dementia Practice”, published in 2002, Chui points out the lack of systematic analyses of diagnostic methods (likelihood ratios, predictive values sensitivity and specificity) for use in dementia workups [6].

European federation of neurological societies (EFNS) guidelines [3]

- Cognitive assessment is central to the diagnosis and management of dementia disorders.
- Assessment of behavioral disorders is essential for the diagnosis and management of dementia. Assessment of activities of daily living should be included in the diagnostic evaluation and management of dementia.
- Neuroimaging should be performed once in all cases of dementia. Non-contrast CT will suffice, but MRI is preferable if available and may be used to show specific abnormalities.
- Functional imaging should not be used routinely, but may be helpful when there is clinical suspicion of degenerative disorders and structural imaging is normal.
- Laboratory screening should be included in the general screening of a patient who presents with cognitive disturbances. The following blood tests are generally proposed for all patients: blood sedimentation rate, complete blood cell count, electrolytes, glucose, renal and

liver function tests, and thyroid-stimulating hormone. Serological tests for the detection of borrelia, syphilis and HIV, serum lipids, and vitamin B₁₂ are optional. More extensive tests are often required in individual cases.

- Electrocardiography (ECG) is recommended in all patients aged 50 and above for screening purposes, in patients with cardiac symptoms or cerebrovascular lesions, and for monitoring possible side-effects in patients receiving drug therapy (such as acetylcholine-esterase-inhibitors). Chest X-ray is indicated if relevant to the symptoms.
- CSF analysis (with routine cell count, protein, glucose and protein electrophoresis) is optional and recommended in patients with clinical suspicion of certain diseases, as well as in those with atypical clinical presentations.
- Electrophysiological examination is not recommended on a routine basis.
- Brain biopsy is recommended in carefully selected cases only.

American Academy of Neurology guidelines [5]

- The clinical criteria for dementia (DSM-II-R) [7], AD (DSM-III-R and NINCDS-ADRDA [8]) and Creutzfeldt-Jakob disease have sufficient reliability and validity. They should be used, whereas the criteria for vascular dementia (VaD), dementia with Lewy bodies and frontotemporal dementia may be used but have imperfect reliability and validity.
- Structural neuroimaging should be performed as part of the initial evaluation of patients with dementia.
- Screening for depression, vitamin B₁₂ deficiency and hypothyroidism should be performed.
- Other neuroimaging methods, genetic markers, CSF markers (except 14-3-3 protein for Creutzfeldt-Jakob disease) and screening for neurosyphilis are not recommended on a routine basis.

Aims

This evidence-based review has focused on the most commonly used methods in the diagnostic evaluation of patients who present with cognitive complaints and symptoms. The aims have been to assess the role and validity of the methods in:

- Identifying secondary and reversible causes of cognitive impairment
- Confirming the presence of dementia
- Identifying specific dementia disorders.

Methods

Selection of papers

Diagnostic tests

We have selected the most commonly used diagnostic tests that can identify the most prevalent causes of dementia and conditions or reversible cognitive impairment. However, we have not searched for evidence that depression and drugs with anticholinergic effect can cause reversible cognitive impairment, given that there is general consensus among researchers and clinicians supporting this view [5]. Nor have we sought evidence for which diagnostic tests could be useful to identify rare metabolic and neurological causes of dementia (for instance, parathyroid disease, Wilson's disease). The following diagnostic tests have been evaluated:

- Caregiver information rating scales
- Short cognitive mental tests
- Neuropsychological tests
- Selected laboratory screening tests
- Computed tomography (CT) and magnetic resonance imaging (MRI) of the brain
- Single photon emission tomography (SPECT)
- Electroencephalography (EEG)
- Apolipoprotein genotyping
- Examination of amyloid-beta and tau-protein in cerebrospinal fluid.

The selected laboratory screening tests were vitamin B₁₂, folate, homocysteine, thyroid stimulating hormone (TSH) and tests for syphilis, given that vitamin B₁₂ and folate deficiency, thyroid disease, and neurosyphilis are commonly referred to as potentially reversible causes of cognitive deficits [3,5].

Inclusion criteria for papers to be reviewed

- The papers included in this study were to describe at least 20 cases and 20 controls or at least 30 cases (in studies for which controls were not appropriate).
- The patients must have been properly examined for dementia, including physical and psychiatric examinations, cognitive tests, blood tests and imaging of the brain.
- The patients must have been diagnosed according to well-known and standardized clinical or neuropathological criteria.
- Appropriate statistical methods must have been used. Except for tests that could detect reversible conditions causing cognitive impairment, information should be available to calculate the test's sensitivity, specificity and likelihood ratio.
- Only papers written in English published before July 2004 have been included.

Exclusion criteria

Due to differences in criteria regarding the selection of studies, meta-analysis papers have been excluded.

Quality assessment of papers

The papers have been classified according to the design of the study, the selection of patients, control and contrast groups and the setting – such as university hospitals, memory clinics or outpatient clinics – in which they have been carried out. The papers representing the highest class of study quality are defined as Ia papers, which describe prospective studies on a broad spectrum of patients and controls (population based studies and consecutive series of a broad spectrum of patients) who have been followed up with clinical diagnostic assessments over time and examined

post-mortem. The lowest class of study quality represents 2b papers from cross-sectional studies of highly selected patients and controls. The tables present the papers in hierarchical order according to how the patients were recruited and the gold standard of the dementia diagnosis.

- Ia = Population based or consecutive-series prospective studies, diagnosis verified neuropathologically
- Ib = Selected patients and controls, prospective studies, diagnosis verified neuropathologically
- IIa = Population based or consecutive-series retrospective studies, diagnosis verified neuropathologically
- IIb = Selected patients in retrospective studies, diagnosis verified neuropathologically
- 1a = Population based or consecutive-series prospective studies, clinical diagnosis
- 1b = Selected patients and controls, prospective studies, clinical diagnosis
- 2a = Population based or consecutive-series retrospective studies, clinical diagnosis
- 2b = Selected patients in retrospective studies, clinical diagnosis.

Presentation of the results

Except for the laboratory screening tests, the results are presented as the diagnostic test's sensitivity, specificity and likelihood ratio (LR) for a positive test (LR+) or a negative test (LR-). The reason that results are presented with LRs and not negative and positive predictive values is that the LR is a robust measure independent of prevalence rates in the tested populations.

Sensitivity and specificity

Sensitivity is defined as a test's probability of finding a target disease. According to Table 9.1, it can be expressed as "true test positive" or $a/a + c$. Specificity is defined as a test's probability of finding a normal person without the target disease. According to Table 9.1, it can be expressed as "true test negative" or $d/b + d$. The same table indicates that the false positive rate is $b/b + d$ and the false negative rate is $c/a + c$.

Table 9.1 Validation of a diagnostic test against a target disease or gold standard of a target disease.

	Target disease	
	+	–
Test positive	a (true positive)	b (false positive)
Test negative	c (false negative)	d (true negative)

Pre-test probability

The clinician's impression of a patient is important for the pre-test probability of a disease such as dementia. Through an interview with the patient and a caregiver, an experienced clinician will have information that makes the pre-test probability of dementia very high. Because a less experienced clinician cannot take equal advantage of information from such an interview, the pre-test probability will be lower. If there is no information about the symptoms of a disease, the pre-test probability will be equal to the prevalence of the disease in the age-cohort of the person. For instance, the pre-test probability of dementia in an unexamined and unselected population of a cohort of people aged 50 will be very low (less than 1%), whereas the pre-test probability among people aged 80 and above who have been admitted to a memory clinic because of memory complaints will be very high (above 50%). In the first case, powerful tests are necessary to detect dementia, whereas less powerful tests may be beneficial among people in their 80s with memory complaints.

Likelihood ratio and post-test probability

The likelihood ratio for a positive test result (LR+) is defined as: The probability of a positive result in a person with the target disease/ probability of a positive result in a person without the target disease.

This is equivalent to the ratio of true test positive to false test positive = sensitivity/(100% – specificity).

The likelihood of a negative test result (LR⁻) is defined as:

The probability of a negative result in a person with the target disease/
probability of a negative result in a person without the target disease.

This is equivalent to the ratio of false negative to true negative =
(100%– sensitivity)/specificity.

In other words, LR is a test's discriminatory power and indicates the degree to which the pre-test probability will increase or decrease. There are practical guidelines for evaluating the power of LR⁺ and LR⁻.

LR = 1	The post-test probability will be equal to the pre-test probability – thus, the test is of no value.
LR ⁺ = 1–2 (or LR ⁻ = 0.5–1.0)	Alter pre-test probabilities to a small (and rarely important) degree.
LR ⁺ = 2–5 (or LR ⁻ = 0.5–0.2)	Have a small (but sometimes important) impact on the pre-test probability.
LR ⁺ = 5–10 (or LR ⁻ = 0.1–0.2)	Produce moderate shifts in the pre-test probability.
LR ⁺ = >10 (or LR ⁻ = <0.1)	Will often conclusively change the pre-test probability.

To calculate how much the pre-test probability will increase after introducing a test (post-test probability), a calculation can be performed by means of the test's LR⁺. The post-test probability of a positive result = post-test odds/post-test odds + 1. Fagan has developed a simple nomogram for this purpose, making it possible to quickly and easily calculate the post-test probability (Figure 9.1 [9]).

A good diagnostic test must have a high LR⁺ and low LR⁻, but the test's quality cannot be judge on the basis of those variables only. If the result of a test is specified in terms of a scale, the cut-off score can be manipulated so that the specificity is very high, etc. That could improve the LR⁺ but make the test less useful due to lower sensitivity. For instance, using MMSE as a diagnostic marker of dementia might entail a cut-off

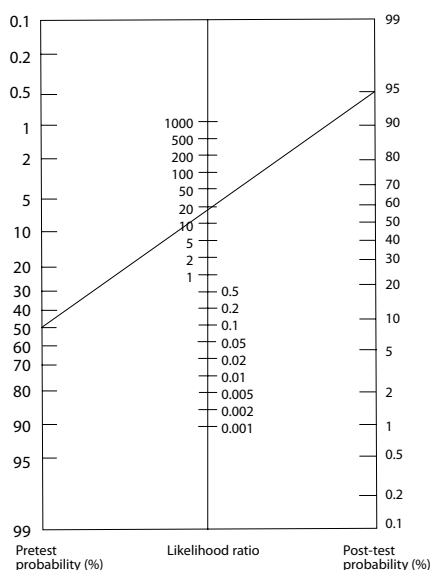


Figure 9.1 Fagan's nomogram shows the post-test probability of a disease (95%) applying a test with LR+ of about 20 in a person with a pre-test probability of a disease of 50% [9]. (Copyright with permission from the American Medical Association.)

value of 20. That would guarantee very high specificity, perhaps 98%, but low sensitivity, perhaps 50%. LR+ would be high at $50/100 - 98 = 25$, but only half of the people with dementia would be detected. On the other hand, if the MMSE cut-off were 28, high sensitivity (maybe 90%) would be guaranteed but specificity could drop to 50%. In this case, LR- would be 0.1 ($100 - 95/50$). Thus, half of the patients without dementia would be defined as cognitively impaired.

Most studies have been performed in well-characterized groups of patients, defined by clinical criteria. Even with very high sensitivity, specificity and likelihood ratios, the added clinical value (above a strict clinical evaluation) in the routine clinical setting may not be known. In general, there is a lack of studies designed to address this issue.

Classification of evidence

Table 9.2 For each diagnostic test, the evidence was classified according to the table below.

Classification of evidence	Criteria
General criteria for all classes of evidence*	Sensitivity >80%, specificity >80%, LR+ ≥5
Evidence Grade 1 (strong evidence)	2 type 1a or Ia studies. All should meet the general criteria
Evidence Grade 2 (moderately strong evidence)	≥2 type 1a, Ia, 2a, or IIa OR ≥4 type 1b, Ib, 2b, or IIb studies. The majority of studies should meet the general criteria
Evidence Grade 3 (limited evidence)	1 type 1a or Ia study OR ≥2 type 2a or IIa studies OR ≥3 type 1b, Ib, 2b, IIb studies. The majority of studies should meet the general criteria
No evidence	No type 1a or Ia study OR only 1, type 2a or IIa study OR <3 type 1b, Ib, 2b, or IIb studies. The majority of studies should meet the general criteria OR non, or only the minority, of the available studies meet the general criteria

* For laboratory screening tests the concepts of sensitivity and specificity and LR were not relevant, because the tests were done to exclude other conditions and not in order to diagnose dementia. Therefore the general criteria were not applied for these tests.

Summary of evidence

Laboratory screening tests that might detect reversible cognitive impairment

There is no evidence of a relationship between marginally low vitamin B₁₂ values in the blood and cognitive impairment and AD.

There is moderately strong evidence of an association between low levels of folic acid and cognitive impairment and limited evidence of an association between low levels of folate and AD.

There is strong evidence of an association between raised homocysteine levels in the blood and poor cognitive function and moderately strong evidence of an association between raised homocysteine levels and AD.

Tests that can be used to detect a dementia disorder

There is strong evidence that neuropsychological tests contribute substantially to the diagnosis of dementia and AD. There are few accepted studies on the diagnostic entity of MCI compared to dementia or AD and in relation to healthy people, so no conclusions can be drawn concerning this issue.

Single cognitive tests

There is moderately strong evidence that the single cognitive test CAMCOG contributes substantially to the diagnosis of dementia (LR+ 9.8, LR– 0.13). There is also strong evidence that Clock tests contribute significantly to the diagnosis of AD. There are no accepted studies on the diagnostic entity of MCI compared to dementia or AD and in relation to healthy people, so no conclusions can be drawn concerning this issue. There are no accepted studies or evidence concerning other single cognitive tests (although many are in use) for diagnosing dementia, AD or MCI.

Tests that identify specific dementia disorders

ApoE

ApoE genotyping does not contribute significantly to the diagnosis of AD. Nor does the method differentiate AD from other dementia disorders. No study in this review had a sensitivity of 80% or above and a LR+ of 5 or above. Thus, there is no evidence for the use of ApoE genotyping in the diagnostic and differential diagnosis of AD.

Methods of diagnosing dementia disorders

Structural imaging (MRI/CT)

There is strong evidence (Evidence Grade 1) that medial temporal lobe atrophy assessed with structural imaging (MRI and CT) contributes to the diagnostic workup in differentiating AD from controls and other dementia disorders.

Functional imaging (PET, SPECT)

There is moderately strong evidence (Evidence Grade 2) that reduction in regional cerebral blood flow or glucose metabolism contributes to the diagnostic workup in differentiating AD from controls and other dementia disorders.

Neurophysiological methods (EEG, EEG)

There is limited evidence (Evidence Grade 3) that visually rated EEG or quantitative EEG contribute to the diagnostic workup in differentiating AD from controls and other dementia disorders.

CSF analyses

There is strong evidence (Evidence Grade 1) that CSF T-tau (Total tau), CSF A β 42 and the combination of CSF T-tau and A β 42, and moderately strong evidence (Evidence Grade 2) that CSF P-tau (Phosphorylated tau), contribute to the diagnostic workup in differentiating AD patients from controls and other dementia disorders.

Table 9.3 *Alzheimer's disease vs Healthy control.*

	LR+ (median)		
	<5	5–10	>10
Informant interview		5.0	
NP test			14
Single tests			
MMSE (only one study is included)			
Clocktest			14.8
ApoE genotype	2.0		
MRI/CT		9.0	
Spect/PET	4.2		
EEG	3.9		
CFS A β		6.2	
T-tau			
P-tau		9.6	11.8
	LR– (median)		
	<0.1	0.1–0.2	>0.2
Informant interview		0.20	
NP test		0.18	
Single tests			
MMSE (only one study is included)			
Clocktest		0.12	
ApoE genotype			0.64
MRI/CT		0.21	
Spect/PET		0.18	
EEG			0.25
CFS A β		0.18	
T-tau		0.10	
P-tau		0.12	

The distribution of likelihood ratios from the different methods evaluated. High LR+ and low LR– indicate high diagnostic ability of the method (test). The LR values represent median values based on studies having variations of constructions of tests (for details, see the method section of each test).

Methodological considerations

One criterion for inclusion was the quality of the methods used in the various studies (design, selection of patients, comprehensiveness of clinical investigation, diagnostic procedure, statistical analysis, representati-

veness and predictor variable). The classification of studies based on the methods used needs comment.

Lack of gold standard

The diagnoses of the different dementia disorders are based on clinical criteria. Definite diagnoses are possible only at histopathology, which should serve as a gold standard. Since histopathology was rarely available in the majority of studies, clinical diagnosis in accordance with specific criteria was used as a surrogate gold standard.

Selection bias

Studies based on homogeneous sampling demonstrated higher LR+ values than studies based on heterogeneous sampling. Given that homogeneous samples are characterized by a smaller standard deviation of the predictor variable than heterogeneous samples, differentiation of patient and control groups is more easily detected. That suggests higher sensitivity and specificity and thereby higher LR+ values. In order to ensure a homogeneous group, the selection procedure has to be more demanding and the clinical investigation more advanced.

Setting

One consequence of the above is the importance of a setting in which the patient is examined and diagnosed: primary care or a highly specialized unit at a university hospital. In primary care, patients are more similar to the general population in terms of background characteristics, whereas a patient at a university hospital is usually highly selected by means of the referral procedure. This difference places varying demands on the diagnostic instruments. In general, there is a lack of studies on health care organization in terms of diagnostic evaluation in dementia, and many of the more advanced methods have not been evaluated in primary care. On the other hand, some of these methods (such as MRI and CSF studies) may not be relevant for introduction on a routine basis in primary care.

Circularity bias

Moreover, many of the studies do not tell us whether the predictor (test) was judged independently of the outcome (workup bias). That could represent a significant problem when evaluating the studies included in the present review. Thus, the results of those studies should be interpreted with caution. Knowledge about predictor results may have influenced the diagnosis and confounded the estimate of sensitivity, specificity and likelihood ratio.

Most studies have been performed in well-characterized groups of patients, defined by clinical criteria. Even with very high sensitivity, specificity, and likelihood ratios, the added clinical value (above a strict clinical evaluation) in the routine clinical setting may not be known. In general, there is a lack of studies designed to address this issue.

Recommendations for future research

In terms of arriving at a conclusive diagnosis in cases of suspected dementia (early diagnosis), we have found evidence for inclusion of a variety of single predictive tests. However, we have little evidence for implementation of a certain combination of tests that might be recommended for the diagnostic workup. Thus, until such evidence has been established, we will have to rely on a number of tests and the diagnostic procedure will continue to be both costly and time-consuming.

Dementia symptoms defined in accordance with the clinical criteria of DSM-IV and ICD-10 provide a synthetic means of understanding dementia diseases. These criteria heavily influence the stage at which dementia diseases can be diagnosed and what diagnostic instruments may be useful, given that the requirement for making a dementia diagnosis is that the syndrome is present. The other problem that needs to be addressed involves the concepts of cognitive impairment and mild cognitive impairment (MCI). There are a variety of definitions of this syndrome, none of which is clear enough to be operational. Impaired memory is not necessarily part of the initial presentation of a dementia

disorder, and MCI is not necessarily a preclinical stage of a dementia disorder. We have to get over this way of thinking and search for biological diagnostic tests that can detect a specific dementia disorder in a very early phase, as well as distinguish dementia from non-progressive condition that cause cognitive impairment. If we cannot do so, we must study cognition in large groups of adults and the elderly in prospective population based studies to devise new definitions of cognitive impairment, MCI and dementia among people of various social classes, as well as cultural and ethnic backgrounds. The same holds true for the clinical criteria of the various dementia diseases, which are not defined well enough by DSM-IV, ICD-10, the American Academy of Neurology or others.

A minority of younger patients with a dementia disorder suffer from a single disease that causes the observed symptoms. For example, post-mortem studies of very old people, for whom the prevalence of dementia is high, have shown cerebrovascular pathology in a majority of those with AD. Thus, the observed symptoms in these patients could be a consequence of AD as well as structural changes due to a cerebrovascular disease. It would appear that existing neuropathological criteria for the various dementia diseases are not gold standards and that they must be improved.

If the goal is to diagnose a dementia disorder in asymptomatic individuals for the purpose of intervening early, biomarkers for AD and other brain diseases that cause dementia are critically needed. Such tests must be validated, and a definite dementia diagnosis requires histopathological verification. Speculating about which diagnostic test(s) will be available in the future is beyond the scope of the present review. We recommend that various lines of development be followed in order to develop tests with better sensitivity and specificity than those currently in use. Thus, new biomarkers at the molecular level should be developed, explored and studied, along with imaging techniques for detection of brain pathology, specific cognitive features and behavioral abnormality, as well as discerning reports by close informants.

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10. Identifying Secondary Dementia or Potential Reversible Cognitive Impairment

Thyroid disease and dementia

Background

Hypothyroidism may be associated with the slowing of mental functions, dementia-like symptoms and depression. Hyperthyroidism is associated with anxiety, restlessness and subjective cognitive symptoms, as well as depression and psychosis on occasion. The potential relationship between thyroid disease and dementia is also described in Section III.7.5, “Evidence-based Dementia Practice”.

Because hyperthyroidism and hypothyroidism, which are treatable disorders, may be associated with cognitive symptoms and a dementia-like syndrome, laboratory screening with TSH (thyroid stimulating hormone) is generally recommended in guidelines for the diagnostic evaluation of dementia.

Literature search for evidence

In searching the literature, the following three types of evidence for a potential relationship between thyroid disease and dementia were identified as relevant.

- Evidence that clinical or subclinical thyroid disease may be associated with cognitive symptoms, memory loss or dementia.

- Evidence that TSH screening in specific patient groups (the elderly, people with cognitive symptoms or dementia) helps to identify patients with abnormal TSH and/or clinically significant thyroid disease.
- Evidence that the treatment of thyroid disease improves cognitive functions.

Search strategy

“Cognition” [MeSH] OR
 “Delirium, Dementia, Amnestic, Cognitive Disorders” [MeSH] OR
 “Memory Disorders” [MeSH] OR
 “Memory” [MeSH] OR
 “Behavioral symptoms” [MeSH]
 AND
 “thyrotropin/blood” OR [MeSH Terms]
 “thyrotropin/analysis” OR [MeSH Terms]
 “thyrotropin/deficiency” OR [MeSH Terms]
 “thyrotropin/diagnostic use” OR [MeSH Terms]
 “thyroid diseases”
 Limits: Only papers with abstracts, English language, human.

Results

A total of 172 papers were found. Based on a review of titles and abstracts, 132 papers were excluded, due mainly to irrelevance to the topic or the absence of original research. The remaining 40 papers of potential relevance were reviewed in greater detail. Seventeen were reports of 1 to 7 cases and 6 were reviews. The evidence in the remaining 17 original papers was reviewed and classified together with 1 other report identified from references in the papers (Table 10.1).

Comments

Generally speaking, there is little evidence that hypothyroidism or hyperthyroidism causes dementia, and (for ethical reasons) no placebo-controlled treatment studies have investigated whether treatment can reverse cognitive symptoms. However, both hypothyroidism and hyperthyroidism can cause cognitive and psychiatric symptoms and may thereby mimic a dementia disorder with insidious onset. Untreated thyroid disease leads to other symptoms and risks. Despite the lack of data on dementia, detection of hypothyroidism remains important in patients with dementia in view of its high prevalence in the elderly and its association with depression and psychotic symptoms. Thyroid replacement improves physical symptoms and general well-being. Treatment of co-existing medical problems in patients with dementia may improve both cognition and quality of life. Uncontrolled follow-up studies have shown that, while treatment of the thyroid disease may improve or reverse many symptoms, some patients continue to experience disabling cognitive and psychiatric symptoms. Although detection and management of thyroid failure should be a component of the general medical assessment of any elderly person, the available data indicate that the finding of hypothyroidism in a patient with dementia should not lead to a confident prognosis of improved cognitive function. The same considerations apply to hyperthyroidism.

Thus, even if treatment does not reverse cognitive symptoms, thyroid disorders should be identified and treated.

Table 10.1 *Thyroid disease and dementia.*

Author Year Reference Country	Topic	Hypo- or hyper- thyroidism	Sample (N)	Age mean (years)
Fäldt et al 1996 [1] Sweden	1	Both	$N_p = 173$ $N_c = 0$	79
Wahlin et al 1998 [2] Sweden	1	Both	$N = 200$	83.9
Bommer et al 1990 [3] Germany	1	Hypothyroidism	$N_p = 45$ $N_c = 51$	45
Kalmijn et al 2000 [4] The Netherlands	1	Both	$N = 1\ 843$	68.8
Baldini et al 1997 [5] USA	1+3	Hypothyroidism	$N_{\text{hypo}} = 19$ $N_{\text{euthyroid}} = 17$	52.9
Osterweil et al 1992 [6] USA	1+3	Hypothyroidism	$N_{\text{hypo}} = 54$ $N_{\text{euthyroid}} = 30$	68.6 63.7

Population/selection	Setting	Authors' conclusion	Reviewers' comments
Consecutively referred for possible organic brain disease	Psychogeriatric department	High prevalence (25%) of abnormal TSH and/or free thyroid hormones. No significant difference between demented and non-demented	Objective of the study not clear
Selected non-demented individuals from population >75 years	Population based study	In healthy volunteers there is a significant correlation between TSH and episodic memory	Patients with thyroid related disease were excluded
Formerly hyperthyroid patients examined when euthyroid	Hospital based	Remitted hyperthyroidism was associated with cognitive and psychiatric symptoms and with impaired neuropsychological function in 43%	Long-term follow-up needed
Epidemiological population based prospective study	Population based study in Rotterdam	Subclinical hyperthyroidism was associated with an increased risk of dementia and Alzheimer's disease	RR 3.5 for reduced TSH. RR 23.7 for reduced TSH with positive TPO-Abs
Goiter patients with either subclinical hypothyroidism or normal thyroid function	Out-patient endocrinological clinic	Patients with subclinical hypothyroidism had memory deficits which improved on treatment	Only 3 months follow-up. No psychiatric symptoms
Referred patients without dementia, selected controls	Endocrine and geriatric services	Hypothyroidism is associated with impaired learning, word fluency, visual-spatial abilities etc and lower MMSE. Treatment was associated with improvements in the cognitive tests	Treatment study uncontrolled for ethical reasons

The table continues on the next page

Table 10.1 *continued*

Author Year Reference Country	Topic	Hypo- or hyper- thyroidism	Sample (N)	Age mean (years)
Yoshimasu et al 1991 [7] Study A USA	1	Hashimoto's thyroiditis	N = 198	NA
Yoshimasu et al 1991 [8] Study B USA	2	Both	N _{AD} = 646 N _C = 646	NA
Bahemuka et al 1975 [9] United Kingdom	2	Hypothyroidism	N = 2 000	80
Luboshitzky et al 1996 [10] Israel	2	Hypothyroidism	N _p = 751	76.4
Schlote et al 1992 [11] Germany	2	Hyperthyroidism	N _{subclinical} = 35 N _{manifest} = 60 N _{euthyroid} = 60	NA
Ganguli et al 1996 [12] USA	2	Hypothyroidism	N _{TOTAL} = 194 N _{CDR0} = 122 N _{CDR0.5} = 29 N _{CDR>1} = 43	78.6

Population/selection	Setting	Authors' conclusion	Reviewers' comments
All patients with tissue proven HT who had follow-up – retrospective review of medical records	Hospital based	Patients with thyroid disease did not have an significantly increased risk for developing AD (8 vs 5.3 expected)	Prospective study with systematic description of mental functions and dementia would be needed
Retrospective case-control comparison	Hospital based	OR 0.45 for Grave's disease – protective association? No significant relation with other disorders	Problem with underreporting of previous diseases in AD patients not accounted for
Consecutive referrals, all patients >60	Geriatric department	46 cases (2.3%) had hypothyroidism, 13 with classical symptoms and 23 with unspecific or psychiatric symptoms	Large sample. Symptoms described from medical records only
All patients above 65 years in 9 kibbutzim. Review of medical files	Population based study – primary health care	Elevated TSH in 14% of the elderly. Mild untreated hypothyroidism was not associated with cognitive deficits	Cognitive function measured with MMSE only. Patients not stratified for occurrence of dementia
Inpatients or outpatients referred during a certain time period	Hospital	Subclinical thyroid disease was associated with psychiatric symptoms but not cognitive deficits	Selection of euthyroid controls not well described, consecutive referrals? Age not reported
First 194 patients evaluated for possible dementia a large population	Community-based population study >65 years	Elevated TSH was significantly associated with dementia. Elevated/low TSH was found in 14.4%/ 5.2% of all patients	Low TSH was not associated with dementia

The table continues on the next page

Table 10.1 *continued*

Author Year Reference Country	Topic	Hypo- or hyper- thyroidism	Sample (N)	Age mean (years)
Heyman et al 1984 [13] USA	2	Both	$N_{AD} = 40$ $N_C = 80$	NA
Lopez et al 1989 [14] USA	2	Both	$N_{AD} = 31$ $N_C = 31$	72.3 72.7
Tappy et al 1987 [15] Switzerland	2	Both	$N_{psychog} = 157$ $N_{csurgical} = 194$	80.1 80.9
Small et al 1985 [16] USA	2	Both	$N_{AD} = 61$ $N_C = 38$	71.7 73.6
Fox et al 1975 [17] USA	2	Both	$N = 40$	75

Population/selection	Setting	Authors' conclusion	Reviewers' comments
Case-control study in patients with Alzheimer's disease and in community controls	University hospital	Higher frequency of prior thyroid disease in women patients (25%) than in controls	Age not reported. Selection of controls not well described. Type of thyroid disorder not well described
Retrospective record review of patients with neuropathological diagnosis of AD and non-demented controls	University hospital longitudinal study on dementia	No relation between AD and thyroid disease	High frequency of thyroid disease. Retrospective study. Uncertain selection of controls
Consecutive referrals (controls were non-consecutive)	Psychogeriatric department (vs surgical dept)	6 hypothyroid patients in psychogeriatric group (all had dementia or neurotic depression) and 2 in surgical group. 1 patient had hyperthyroidism	
Recruited from project	University hospital	No significant difference between patients and controls for prior thyroid disease (13%), history of thyroid medication (16%) or abnormal T4 (13%)	Small sample
Consecutive referrals where a diagnosis of senile dementia was made – with the aim to study reversible dementia	Neurological dept, university hospital	2 patients with hypothyroidism were identified, both improved	Senile dementia syndrome not clearly defined

The table continues on the next page

Table 10.1 *continued*

Author Year Reference Country	Topic	Hypo- or hyper- thyroidism	Sample (N)	Age mean (years)
Genovesi et al 1996 [18] Italy	2	Antithyroid antibodies	N _{AD} = 34 N _c = 30	65.2
Clarfield 1988 [19] Canada	2+3	Hypothyroidism	2 781	NA

Topic 1: Evidence that clinical or subclinical thyroid disease may be associated with cognitive symptoms, memory loss, or dementia.

Topic 2: Evidence that TSH screening in patient groups (elderly patients, patients with cognitive symptoms or dementia) leads to identification of patients with abnormal TSH and/or clinical significant thyroid disease.

Topic 3: Evidence that treatment of thyroid disease improves cognitive functions.

AD = Alzheimer's disease; MCSAb = Antimicrosomal autoantibodies; MMSE = Mini-mental state examination; NA = Not applicable; N_c = Number of controls; N_p = Number of psychogeriatric patients; OR = Odds ratio; RR = Relative risk; T₄ = Thyroxine; TgAb = Thyroidea globulin antibodies (Antithyreoglobulin); Tpo-Abs = Antibodies to thyroid peroxidase; TSH = Thyroid stimulating hormone

Population/selection	Setting	Authors' conclusion	Reviewers' comments
Selection of patients and controls not reported	Hospital	Significant increase in TgAb and MCSAb	Presence of clinical thyroid disease in the two groups not described
Meta-analysis of cases from studies of etiology in dementia	Hospital settings	18 cases reported as dementia due to hypothyroidism (1 was reversible)	Different criteria for dementia and for reversibility in the various studies

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11. Neurosyphilis and Dementia

Background

The introduction of antibiotics led not only to appropriate treatment of patients with primary syphilis, but to the incidental treatment of unidentified syphilis in patients treated for other infections. The incidence of neurosyphilis has fallen dramatically since then. Clinicians have become unfamiliar with the diversity of presentations, and neurosyphilis continues to appear in various guises at many different hospital departments. In patients with untreated asymptomatic neurosyphilis, the overall cumulative probability of progression to clinical neurosyphilis is about 20% in the first 10 years but increases with time.

Although mixed features are common, the major clinical categories of symptomatic neurosyphilis are meningeal, meningovascular, and parenchymatous syphilis. The last category includes general paresis and tabes dorsalis. However, symptomatic neurosyphilis often presents not according to a classic concept, but as mixed and subtle or incomplete syndromes.

Patients with meningeal syphilis may present with headache, nausea, vomiting, neck stiffness, cranial nerve palsies, seizures and changes in mental status. Patients with meningovascular syphilis may present with a stroke syndrome. However, unlike the usual thrombotic or embolic stroke syndrome of sudden onset, meningovascular syphilis often manifests after a subacute encephalitic prodrome, followed by a progressive vascular syndrome. The manifestations of general paresis include abnormalities corresponding to the mnemonic paresis: **p**ersonality, **a**ffect, **r**eflexes (hyperactive), **e**ye (such as Argyll Robertson pupils), **s**ensorium (illusions, delusions, hallucinations), **i**ntellect (deterioration of short-term memory and the capacity for orientation, calculations, judgment and

insight) and speech. Tabes dorsalis presents as ataxic wide-based gait and foot slap, paresthesia, bladder disturbances, impotence and areflexia, as well as loss of position, deep pain and temperature sensations.

Literature search for evidence

In searching the literature, the following three types of evidence for the potential relationship between thyroid disease and dementia were identified as relevant

- Evidence that neurosyphilis may be associated with cognitive symptoms, memory loss, behavioral symptoms or dementia.
- Evidence that laboratory screening in specific groups (elderly patients, patients with cognitive symptoms or patients with dementia) helps identify people with neurosyphilis.
- Evidence that the treatment of neurosyphilis improves cognitive functions.

Search strategy

“Cognition” [MeSH] OR

“Delirium, Dementia, Amnestic, Cognitive Disorders” [MESH] OR

“Memory Disorders” [MeSH] OR

“Memory” [MeSH] OR

“Behavioral symptoms” [MeSH] OR

“Dementia” [MeSH Terms]

AND

“Syphilis” [MeSH] OR

“Syphilis Serodiagnosis” [MeSH]

Limits: Only papers with abstracts, English language, human.

Result

Fiftytwo papers were found. Based on a review of titles and abstract, 27 papers were excluded, mainly due to irrelevance to the topic. The remaining 25 papers of potential relevance were reviewed in more detail. Twelve were reports of 1 to 10 cases and 3 were reviews or letters of correspondence. The evidence in the remaining 10 original papers was reviewed and categorized (Table 11.1).

Comments

Many case reports and reports on cohorts of patients with neurosyphilis confirm an association of neurosyphilis with cognitive and psychiatric symptoms, including a wide variety of presentations from one case to the other. There are no population based studies – and only a few hospital-based studies in patients referred for cognitive symptoms, neurological patients or psychiatric patients – from which the outcome of screening can be determined in terms of identifying neurosyphilis. In those studies, 3–11% of elderly patients, as well as patients referred for evaluation of possible dementia, have positive serology. However, neurosyphilis was identified in less than 1% of patients referred for possible dementia. In the largest study, which included 672 referred patients – of whom 402 had lumbar puncture – 3% had positive serology, but only 1 patient (0.15%) was identified with neurosyphilis. However, none of them were systematic prospective studies. For ethical reasons, no randomized controlled trials have been conducted to confirm the effectiveness of treatment for cognitive symptoms. In case reports and two uncontrolled follow-up studies, treatment was followed by improvements in mental and psychiatric symptoms, although the condition may not have been reversed entirely.

In conclusion, neurosyphilis should be identified and treated, and awareness of the many different and subtle presentations is important. Because of the lack of sufficiently high quality studies, it is not possible to draw any firm conclusions as to the outcome of screening for neurosyphilis in populations with cognitive symptoms.

Table 11.1 *Neurosyphilis and dementia.*

Author Year Reference Country	Topic	Sample (N)	Age mean (years)	Population/selection
Nordenbo et al 1981 [1] Denmark	1	N = 23	48.8	Hospital records
Russouw et al 1997 [2] South Africa	1	N _{NS} = 20 N _C = 20	36.9	Consecutive referrals with psychiatric symptoms
Roberts et al 1995 [3] South Africa	1+3	N = 19	38	Consecutive referrals. Prospective, longitudinal 12 months follow-up
Rodgers et al 1997 [4] United Kingdom	1	N = 172	50	Retrospective case note review of patients with positive serology
Rao 1954 [5] India	1+3	N = 34	NA	First admissions with general paresis
Boodhoo 1989 [6] United Kingdom	2	N = 800	NA	Patients >65, medical records
Powell et al 1993 [7] USA	2	N = 376	74	Retrospective study in patients with dementia
Freemon 1976 [8] USA	2+3	N = 60	66.2	Consecutive referrals for intellectual deterioration – searching for reversible causes

Setting	Authors' conclusion	Reviewers' comments
Hospital departments (neurology, dermatovenereology, neurosurgery)	Incidence was 0.3 per 100 000 per year. General paresis and meningovascular syphilis most common forms	History of venereal infection in only 50%
General hospital	Patients had dementia, delirium major depression, hallucinations, mania or schizophrenic symptoms	Main aim of the study was MRI findings in neurosyphilis
Psychiatric inpatients	Median change in MMSE was +4 and in BPRS –8 at 12 months (not significant)	Small sample size and poor follow-up rate
Hospital based. Dept of Genito-urinary Medicine	10 patients diagnosed with neurosyphilis	
Hospital setting	Many had mental/psychiatric symptoms. Improvement after treatment	Insufficient follow-up. Uncontrolled treatment study
Psychiatric and medical hospital patients	21 (2.6%) had positive serology	
Neurological patients	Positive serology in 37 (10.9%), unlikely that syphilis caused dementia	
Hospital setting	1 patient had neurosyphilis	No change in cerebral function after treatment

The table continues on the next page

Table 11.1 *continued*

Author Year Reference Country	Topic	Sample (N)	Age mean (years)	Population/selection
Hammerstrom et al 1985 [9] USA	2	N = 80	NA	All patients >55 years with presumed dementia. Retrospective review of medical records
Becker et al 1985 [10] USA	2	N = 672 N _{CSF} = 402	66	Retrospective chart review of all patients referred for evaluation of dementia

Topic 1: Evidence that neurosyphilis may be associated with cognitive symptoms, memory loss, behavioral symptoms or dementia.

Topic 2: Evidence that laboratory screening in patient groups (elderly patients, patients with cognitive symptoms or dementia) leads to identification of patients with neurosyphilis.

Topic 3: Evidence that treatment of neurosyphilis improves cognitive functions.

BPRS = Brief psychiatric rating scale; C = Control; CSF = Cerebrospinal fluid; MMSE = Mini-mental state examination; MRI = Magnetic resonance imaging; NC = Normal controls

Setting	Authors' conclusion	Reviewers' comments
Psychiatric department	42% had lumbar puncture – no cases of neurosyphilis were detected	Small sample size
University hospital. Neurology, general medicine and psychiatry	21 patients with abnormal serology, including 1 with neurosyphilis (an already known diagnosis)	

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12. Vitamin B₁₂, Folic Acid and Homocysteine, Cognitive Impairment and Alzheimer's Disease

Conclusions

There is no evidence of a relationship between marginally low vitamin B₁₂ concentrations in the blood and cognitive impairment or AD (Alzheimer's disease).

There is moderately strong evidence of an association between low levels of folic acid and cognitive impairment, and limited evidence of an association between low levels of folate and AD.

There is strong evidence of an association between elevated homocysteine levels in the blood and poor cognitive function, and moderately strong evidence for an association between elevated homocysteine levels and AD.

No evidence exists that treatment with vitamin B₁₂ or folate improves cognitive function in patients with cognitive impairment or dementia who have either low concentrations of vitamin B₁₂ or folic acid or high levels of homocysteine.

Background

Vitamin B₁₂ deficiency has been considered for decades to cause cognitive impairment or potential reversible dementia due to demyelination processes in the brain. An association has also been found between folate deficiency and cognitive impairment, but the extent to which it is cause

or effect is not yet clear. Low intake of folate could be a consequence of mental dysfunction. Two evidenced-based reports have found that the relationship between vitamin B₁₂ and folic acid deficiencies and dementia is inconclusive [1,2].

Several studies in the last ten years have reported high levels of homocysteine in the blood of people with cognitive impairment and dementia. It has been suggested that homocysteine can be neurotoxic, but the possible mechanism involved is far from being established.

Literature search strategy

In searching for evidence of an association between cognitive impairment or dementia and vitamin B₁₂ or folic acid deficiencies, or high levels of homocysteine in the blood, papers were searched for that could answer the following three questions:

1. What is the evidence of an association between low concentrations of vitamin B₁₂ or folic acid, or high levels of homocysteine, in the blood and cognitive impairment in the elderly?
2. What is the evidence of an association between low concentrations of vitamin B₁₂ or folic acid, or high levels of homocysteine, in the blood and AD?
3. What is the evidence that treatment with vitamin B₁₂ or folate can improve cognitive impairment or reverse dementia?

Searches were conducted in Medline among papers published up until June 1, 2004. The keywords were “dementia or cognitive impairment or cognition” and “cobalamin or vitamin B₁₂, or vitamin B₁₂ deficiency or folic acid or folic acid deficiency or homocysteine or elevated homocysteine”. Only papers written in English were requested. Additionally, a thorough review of Chapters III.7.6 and III.7.7 in “Evidence-based Dementia Practice” [2], and report results from the Cochrane Library was undertaken. Only original papers were included, neither those reporting meta-analysis or review articles nor case reports.

Threehundredthirty titles were found, while a review of the abstracts indicated that 91 were relevant to answering the three questions. For various reasons 44 were excluded, such as insufficient description of clinical assessment, inadequate use of standardized diagnosis, reporting less than 20 + 20 subjects, lack of relevance to the three questions or the fact that they were case reports or review papers.

Many of the studies reported levels of vitamin B₁₂, folate, and homocysteine in the blood of the same people. Thus, information for two or all three markers can be found in the same paper. Table 12.1 shows the main findings from 16 population based studies of the elderly that analyzed the association between vitamin B₁₂ or folic acid concentrations, or homocysteine levels, in the blood and cognitive function. Ten studies reported on cross-sectional data, whereas six contained data from longitudinal studies. Nine studies reported results on Vitamin B₁₂, 10 on folate and 13 on homocysteine levels.

Table 12.2 shows the results from 25 studies that reported on the association between vitamin B₁₂, folic acid or homocysteine levels in the blood and AD. Four were population based and 21 were patient-based. Eighteen examined the relationship between vitamin B₁₂ and AD, 5 examined folate and AD, and 16 provided information about homocysteine levels in the blood of AD patients as compared to controls.

The search for drugs trials revealed one unblinded placebo-controlled study by Kwok et al that included 50 patients [3]. Two double-blind placebo controlled studies were included, one by Fioravanti et al [4] with 30 patients (exception from the rule of only reviewing papers with at least 40 patients) and the VITAL study [5], which included 147 patients (Table 12.3). The studies by Sommer et al and van Asselt et al included fewer than 20 patients and were thereby excluded from this review [6,7]. The study by Seal et al [8] and Bryan et al [9] were not included due to lack of information about either dementia diagnosis or mild cognitive impairment.

Comments

Vitamin B₁₂

Three of the nine studies on vitamin B₁₂ reported an association between marginally low levels of vitamin B₁₂ and poor cognitive function. None of the three that correlated positively were of type 1a or 2a study quality. Eight of 18 studies reported an association between low levels of vitamin B₁₂ and AD. One of the eight was a type 1a/2a study.

Folic acid

Six of 10 studies reported an association between low levels of folate and poor cognitive function, and four of the six positive studies were either type 1a or 2a. In three of five studies, low levels of folate in the blood was associated with AD, but only one of the three was a type 1a/2a study.

Homocysteine

Eleven of 13 studies reported an association between high levels of homocystein in the blood and cognitive impairment, while 7 of the 11 were type 1a or 2a studies. Fifteen out of 16 studies showed an association between high levels of homocysteine and AD, but only 1 of the 15 was a type 1a/2a study.

Treatment with vitamin B₁₂ or folate

None of the three treatment studies demonstrated that treatment with vitamin B₁₂ or folate improved cognition in people with cognitive impairment or dementia. Only one of the double-blind random controlled trials included more than 20 + 20 patients.

Table 12.1 *Studies of the relationships between low level of vitamin B₁₂, folate acid or raised level of homocysteine and cognitive impairment.*

Author Year Reference Country	Sample	Age (years)	Blood measure
Goodwin et al 1983 [10] USA	260	60+	Vitamin B ₁₂ Folate
Riggs et al 1996 [11] USA	70	54–81	Vitamin B ₁₂ Folate Hct
Kalmijn et al 1999 [12] The Netherlands	702	55+	Hct
Lindeman et al 2000 [13] USA	199	60+	Vitamin B ₁₂ Folate
Budge et al 2000 [14] United Kingdom	156	60–91	Hct
Robins Wahlin et al 2001 [15] Sweden	230	75–96	Vitamin B ₁₂ Folate
McCaddon et al 2001 [16] United Kingdom	32	65+	Vitamin B ₁₂ Folate Hct
Morris et al 2001 [17] USA	1 227	60+	Vitamin B ₁₂ Folate Hct

Main results	Quality of study
Low levels of folate in blood was significantly associated with poorer cognitive performance, also after controlling for age, gender, education and level of income	1b
Lower concentration of B ₁₂ , folate, and higher concentration of Hct were associated with poorer spatial copying skills	2b
No association was found between high levels of Hct and cognitive impairment after 2.7 years	1a
Low level of folate was significantly associated with various measures of poor cognitive performance, even after adjustment for presence of depression	1a
CAMCOG scores after 3 years follow-up were related to Hct independently of age, gender, mood and IQ	1b
Low levels of folate and B ₁₂ were significantly associated with poorer results measuring fast and accurate novel information	2a
Hct predicted development of cognitive decline at 5 years follow-up, measured by MMSE word recall, orientation and spatial copying skills	1b
Raised Hct and lowered folate was significantly associated with poor recall, and the association between hyperhomocysteinemia and poor recall was partly independently of folate status	1a

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Table 12.1 *continued*

Author Year Reference Country	Sample	Age (years)	Blood measure
Stewart et al 2002 [18] United Kingdom	248	55–75	Folate Hct
Prins et al 2002 [19] The Netherlands	1 077	55+	Hct
Duthie et al 2002 [20] Scotland	183 148	75+ 60+	Vitamin B ₁₂ Folate Hct
Dufouil et al 2003 [21] France	1 241	61–73	Hct
Ravaglia et al 2003 [22] Italy	650	65+	Vitamin B ₁₂ Folate Hct
Miller et al 2003 [23] USA	1 789	60+	Hct
Sachdev et al 2004 [24] Australia	385	60–64	Hct
Teunissen et al 2003 [25] The Netherlands	144	30+	Vitamin B ₁₂ Folate Hct

CAMCOG = Cambridge cognitive examination; Hct = Homocysteine;
MMSE = Mini-mental state examination

Main results	Quality of study
Raised Hct was associated with cognitive impairment, but modified by educational level, not by vascular factors	2a
Elevated Hct was associated with cognitive impairment, most markedly for motor speed	2a
Elevated Hct and low B ₁₂ was associated with development of cognitive decline	1b
Elevated Hct was associated with cognitive impairment as measured by a variety of cognitive tests. The odds for developing cognitive decline after 4 years was 2.8 for the people with the highest level of homocysteine	1a
Elevated Hct was associated with cognitive impairment as measured with MMSE. The association was graded and independently of age, medical condition and lifestyle factors	2a
A modest inverse association between Hct and a variety of cognitive tests, including MMSE was found ($p < 0.05$)	1a
Hct level in blood was significantly associated to verbal memory and motor speed. After correction for levels of folate, vitamin B ₁₂ , creatinine and life-style factors the association disappeared	1a
Hct level in blood was significantly associated with cognitive impairment at baseline, and during 6 years follow-up. Folate was significantly associated with delay recall test, whereas vitamin B ₁₂ levels in blood was not associated with cognitive impairment	1a

Table 12.2 *Studies of the relationship between low levels of vitamin B₁₂ or folate, or raised homocysteine in blood and Alzheimer's disease (AD).*

Author Year Reference Country	Sample	Age (years)	Blood measure
Cole et al 1984 [26] Canada	AD = 20 OD = 20 Co = 20	65+	B ₁₂ <150
Levitt et al 1992 [27] Canada	AD = 40 CIND = 26 OD = 31	68 72 71	B ₁₂ ≤140
Regland et al 1992 [28] Sweden	De = 102 Co = 32	66	B ₁₂ , no cutpoint
Kristensen et al 1993 [29] Denmark	AD = 26 OD = 24 Co = 20	73 59 73	B ₁₂ <135 MMA >0.9
Basun et al 1994 [30] Sweden	De = 153 AD = 93 Co = 392	75+	B ₁₂ <150
Crystal et al 1994 [31] USA	De = 61 Co = 349	75–85	B ₁₂ <150
Nilsson et al 1996 [32] Sweden	De = 295 Co = 215	77 76	B ₁₂ <110 Hct >15

Clinical criteria	Main results	Quality of study
Roth/Hanchinski	6 of 20 AD patients had low level of B ₁₂ values compared to one of 40 without AD	2b
DSM-III-R NINCDS-ADRDA	B ₁₂ was significantly associated to MMSE score in AD patients, but not in the other groups	2b
DSM-III-R	B ₁₂ differed significantly across groups (AD, VaD, OD, controls)	2b
DSM-III NINCDS-ADRDA	7 of 26 AD patients had elevated MMA or low level of B ₁₂ , compared to 4 of 69 without AD	2b
DSM-III-R	No differences were found between patients with AD, demented and controls regarding B ₁₂ . Those living at home had significantly lower level of B ₁₂ compared to the patients in nursing homes	1a
DSM-III-R NINCDS-ADRDA	During 5 years follow-up the incidence of AD in patients with low levels of B ₁₂ was 4.5%, compared to 7.5% in patients with high level of B ₁₂	1b
DSM-III-R	Hct was significantly associated with all forms of dementia. Patients with VaD or dementia with CVD had the highest levels, and the control subjects the lowest	2b

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Table 12.2 *continued*

Author Year Reference Country	Sample	Age (years)	Blood measure
Joosten et al 1997 [33] Belgium	AD = 52 Co = 50 Co = 80	83 81 80	B ₁₂ <139 Hct >13.9 MMA >247
Nagga et al 1998 [34] Sweden	AD = 44 VaD = 78 OD = 28 Co = 42	78 80 79 79	B ₁₂ <135
Clarke et al 1998 [35] United Kingdom	AD = 164 Co = 108	73	B ₁₂ , Hct, folate, no cutpoint
McCaddon et al 1998 [36] United Kingdom	AD = 30 Co = 30	79	Hct, no cutpoint
Lehmann et al 1999 [37] Sweden	AD = 64 VaD = 66 CIND = 108 Co = 69	64–76 77 72	B ₁₂ <150 Hct >15
Wang et al 2001 [38] Sweden	AD = 60 Co = 310	75+	B ₁₂ <150 Folate ≤10
Tripathi et al 2001 [39] Italy	AD = 38 VaD = 36 OD = 26	62 60 58	B ₁₂ <157
Postiglione et al 2001 [40] Italy	AD = 74 Co = 74	69	B ₁₂ , Hct, no cutpoint defined

Clinical criteria	Main results	Quality of study
DSM-III-R NINCDS-ADRDA	Hct was significantly higher in AD patients compared to non-demented elderly. MMA was higher in AD patients compared to in institutions	2b
DSM-IV	No differences were found between AD, VaD and OD patients and elderly no-demented regarding B ₁₂ level in blood	2b
NINCDS-ADRDA CERAD (path) in 76	AD patients with a clinical or pathological diagnosis had significantly lower levels of B ₁₂ and folate and higher levels of Hct than the controls	11b 2b
DSM-III-R	Hct was significantly higher in AD patients compared to controls, independently of nutritional status	2b
NINCDS-ADRDA NINDS-AIREN	Hct was elevated in patients with AD and CIND, and correlated inversely with cognitive performance	2b
DSM-IV	Low level of B ₁₂ and folate was significantly associated with development of AD after 3 years	1a
DSM-IV NINCDS-ADRDA	The prevalence of low level of B ₁₂ was significantly higher in AD patients (39.5%), compared with VaD (13.9%), and OD (11.5%)	2b
NINCDS-ADRDA	Hct was significantly higher and B ₁₂ and folate lower in AD patients compared to controls. The differences disappeared when controlling for age, creatinine and duration of dementia	2b

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Table 12.2 *continued*

Author Year Reference Country	Sample	Age (years)	Blood measure
Seshadri et al 2002 [41] USA	De = 111 AD = 83 Co = 981	76	Hct >14
McIlroy et al 2002 [42] United Kingdom	AD = 83 VaD = 78 Co = 71 Stroke = 64	77	Hct, no cutpoint defined
Miller et al 2002 [43] USA	AD = 43 Co = 37	78	Hct >12 PLP <25
Nilsson et al 2002 [44] Sweden	De = 203 Co = 62	77 72	B ₁₂ <150 Hct >19.9
Selley 2003 [45] Australia	AD = 25 Co = 25	76 75	Hct, no cutpoint defined
Religa et al 2003 [46] Poland	AD = 99 MCI = 98 Co = 100	74 71 71	B ₁₂ , Hct, folate, no cutpoint defined
Nagga et al 2003 [47] Sweden	AD = 47 VaD = 59 Co = 101	75 78 69	B ₁₂ <200, folate <75 Hct >18, MMA >0.37
Mizrahi et al 2003 [48] USA	AD = 64 Co = 64	74	Hct, no cutpoint defined

Clinical criteria	Main results	Quality of study
DSM-IV NINCDS-ADRDA	Hct >14 nearly doubled the risk for developing AD during follow-up of 8 years (OR 1.8)	1a
NINCDS-ADRDA NINDS-AIREN	Hct was elevated significantly in AD, VaD and stroke patients compared to normal controls, also after adjusting for vascular factors, nutrition and creatinine	2b
NINCDS-ADRDA	High levels of Hct and low levels of PLP (indicator of vitamin B ₆ deficiency) was significantly associated with AD	2b
DSM-III-R	Hct was significantly higher in patients with VaD and mixed AD/VaD compared to controls	2b
NINCDS-ADRDA DSM-IV	Hct was elevated significantly in AD patients compared to control people	2b
NINCDS-ADRDA DSM-IV	Hct was elevated significantly in AD patients compared to MCI patients and normal controls, and depended on the MTHFR T/T genotype in the presence of low folate levels	2b
ICD-10	Hct was significantly higher in the AD and VaD group compared to the controls. MMA was significantly higher in VaD patients compared to controls	2b
NINCDS-ADRDA	Hct did not differ significantly between AD patients and controls	2b

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Table 12.2 *continued*

Author Year Reference Country	Sample	Age (years)	Blood measure
Quadri et al 2004 [49] Switzerland	AD = 74 MCI = 81 Co = 55	79 76 76	B ₁₂ , folate, Hct, Hct >14.6
Nilsson et al 2004 [50] Sweden	AD = 159 Co = 59	76 75	Hct, no cutpoint defined

AD = Alzheimer's disease; B₁₂ = Vitamin B₁₂; CDR = Clinical dementia rating scale;
CIND = Cognitive impairment, no dementia; Co = Non-demented controls; CVD =
Cerebrovascular disease; De = Dementia; Hct = Homocysteine; MCI = Mild cognitive
impairment; MMA = Methyl malonic acid; MMSE = Mini-mental state examination;
OD = Other dementias; PLP = Indicator of vitamin B₆ deficiency; VaD = Vascular
dementia

Clinical criteria	Main results	Quality of study
NINCDS-ADRDA	Hct was significantly higher in AD patients compared to controls, whereas folate was significantly lower in AD and MCI (CDR 0.5) patients	2b
NINCDS-ADRDA	Hct was significantly higher in AD patients with and without cardiovascular disease compared to controls, after adjustment for creatinine levels	2b

Table 12.3 *Treatment with vitamin B₁₂ or folate in patients with cognitive impairment or dementia.*

Author Year Reference Country	Design	Sample	Clinical criteria
Fioravanti et al 1997 [4] Italy	DB-RCT	N = 30	MMSE <20 ads staging
Kwok et al 1998 [3] Hong Kong	NB-RCT	N = 50	MMSE <20
Clarke et al 2003 [5] United Kingdom	DB-RCT 2x2x2 factorial design	N = 147 AD = 84 OD = 12 MCI = 51	DSM-IV

AD = Alzheimer's disease; DB = Double blind; MCI = Mild cognitive impairment;
MMSE = Mini-mental state examination; NB = Not blinded; OD = Other dementias;
RCT = Randomized controlled trial

Treatment	Main results
Folate for 2 months	No effects as measured by a variety of cognitive tests
B ₁₂ for 6 months	No effects as measured by MMSE and a variety of cognitive tests
B ₁₂ and folate for 12 weeks	No effects as measured by MMSE and other tests

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13. Evidence for Tests that can be used to Diagnose Dementia

Informant interview in the diagnostic evaluation of patients with dementia

Background

The inclusion of caregivers in the management of patients with dementia has obvious advantages. As for the diagnostic process, the initial interview of a patient with possible dementia will often include an interview with a caregiver. During the interview, important information about prior disease, medication, etc, can be checked and supplemented. Furthermore, the caregiver can often contribute important information about current condition and symptoms and has often noticed changes in the patient for a very long time. Brief cognitive tests, such as the MMSE, are used widely in screening for dementia. Informant reports on cognitive function and its impact on everyday life may provide relevant supplementary data for the detection of dementia. The advantages of including a caregiver/informant interview are many:

- The patient may have reduced awareness of symptoms
- Relevance to everyday life
- Greater international cross-cultural and cross-educational applicability
- Direct assessment of changes from earlier stages in life
- Possibility of assessment by mail or phone.

However, there are also disadvantages associated with the use of caregiver interviews: the results depend on the extent and quality of the relationship between the patient and the caregiver, as well as the emotional state of the caregiver. Finally, a significant number of patients do not have a reliable caregiver. A series of questionnaires have been developed to quantify caregiver data. The aim of this review was to identify evid-

ence for the use of structured informant interviews as a diagnostic aid in patients with possible dementia. “Evidence-based Dementia Practice” did not review the evidence for the use of caregiver interviews [1].

Literature search

A search was planned for papers that describe the use of structured informant interviews in the diagnosis of dementia.

Search strategy

“Informant” [Title] AND “dementia” [Title]

OR

“Dementia” [MeSH Terms] AND “Caregivers” [MeSH Terms]

AND

“Interview” OR “Interviews” OR “Interviewing” [Text Words]

AND

“Diagnosis” [MeSH Subheading] OR “Screening” [Text Word]

Limits: English language.

Results

Fiftyfive papers were found. Based on a review of titles and abstracts, 37 papers were excluded, mainly due to lack of relevance to the topic or the fact that it was not an original research paper. The remaining 18 potentially relevant papers were reviewed in more detail. One was a meta-analysis of previous studies, and 5 were not relevant or did not contain sufficient data. The evidence in the remaining 12 original papers, all of which presented data from which the sensitivity, specificity, and likelihood ratio could be derived, were reviewed and categorized along with other reports identified from citations in the papers (see Table 13.1).

Comments

The likelihood ratio in the studies with the highest quality ranged from 2 to 8, indicating a moderate impact on the diagnosis to separate AD patients from controls. Due to a lack of studies, there is little evidence for the predictive value of informant interviews in patients with MCI.

The brief structured caregiver interviews deserve to be used more frequently and may serve as a supplement to brief cognitive tests in primary health care.

Table 13.1 Studies on the diagnostic value of a structured informant interview in dementia. All studies with presentation of data, which enabled the calculation of sensitivity (SS), specificity (SP), and a positive likelihood ratio (LR+).

Author Year Reference Country	Name of interview/ scale (num- ber of items)	Sample (N)	Age mean (year)*	Population/ selection	Setting
Krishnan et al 2001 [2] USA	BCS (18)	N _{DEM} = 66 N _{MCI} = 28 N _{CON} = 26	73.2 74.1 72.8	Other study populations Selection?	University hospital
Jorm et al 1989 [3] Australia	IQCODE (26)	N _{AD} = 362 N _{CON} = 613	NA	Volunteer sample from general popu- lation and informants to AD patients from Alzheimer Association	Population study
Morales et al 1995 [4] Spain	S-IQCODE (26)	N _{DEM} = 7 N _{CON} = 61	74.8 72.9	Population based study	Population
Del-Ser et al 1997 [5] Spain	S-IQCODE (26)	N _{DEM} = 38 (AD:24) N _{NOTDEM} = 15	69.1	Consecutive Referrals for possible demen- tia	Clinical setting outpatients
Koss et al 1993 [6] USA	MSRQ (32)	N _{AD} = 83 N _{CON} = 39	72.4 70.1	All patients and healthy con- trols in an AD research center registry	Clinical setting

Diagnostic criteria	Condition	SS %	SP %	LR+	LR-	Quality of study	Reviewers' comments
AD: NINCDS- ADRDA VaD: ? MCI: ?	Dementia vs control MCI vs control	80 80	90 80	8.0 4.0	0.22 0.22	2b	Small samples, no follow-up in MCI, criteria and selection not well described. Independent rater? Diagnostic classification not performed as a part of the study
NA	Dementia vs general population	92.7	88.1	7.8	0.03	2a	Cut-off score 4. 5.7% in the control sample said they were reporting on a person with dementia. Diagnostic classification was not performed
DSM-III-R	Mild dementia vs controls	86	92	10.8	0.15	1b	Independent rater. Small sample
DSM-III-R	Dementia vs not demented patients S-IQCODE MMSE and S-IQCODE	84 84	73 93	3.1 12.0	0.22	1b	Independent rater. Cut-off score 94. Small sample
NINCDS- ADRDA	AD vs control	94	100	NA	0.06	2b	Control subjects completed the questionnaire on their own. Controls were also caregivers to patients. Cut-off score 40. Diagnostic classification was not part of the study

The table continues on the next page

Table 13.1 *continued*

Author Year Country Reference	Name of interview/ scale (num- ber of items)	Sample (N)	Age mean (year)*	Population/ selection	Setting
Mulligan et al 1996 [7] Switzerland	IQCODE (26)	$N_{\text{DEM}} = 33$ $N_{\text{DEPR}} = 11$ $N_{\text{DEPR}} + N_{\text{DEM}} = 2$ $N_{\text{OTHER}} = 30$	81.8	Referred patients	Geriatric hospital
Morales et al 1997 [8] Spain	S-IQCODE (26)	Urban: 97 ($N_{\text{DEM}} = 11$) Rural: 160 ($N_{\text{DEM}} = 23$)	75.2 73.5	Population based study	Population study
Ritchie et al 1992 [9] France	DECO (19)	$N_{\text{DEM}} = 155$ $N_{\text{CON}} = 120$	146 > 80	Recruited from different sources	
Fuh et al 1995 [10] China	IQCODE (26)	$N_{\text{DEM}} = 61$ $N_{\text{CON}} = 399$	73.3 68.1	Population based study. Illiterate sub- jects	From commu- nity sources and memory clinic
Mackinnon et al 1998 [11] Switzerland	IQCODE (16)	$N_{\text{DEM}} = 58$ $N_{\text{DEPR}} = 9$ $N_{\text{DEPR}} + N_{\text{DEM}} = 2$ $N_{\text{OTHER}} = 28$	80.3	Hospital patients (selection?)	Geriatric hospital
Carr et al 2000 [12] USA	One simple question	$N_{\text{CDR0}} = 158$ $N_{\text{CDR0.5}} = 165$ $N_{\text{CDR0.5}} = 159$	77.0 74.4 73.2	Prospective with 2–5 years follow-up	University AD research center
Jorm et al 1991 [13] Australia	IQCODE	$N = 29$ $N_{\text{CON}} = 40$	80.0		Hospital and clinic

Diagnostic criteria	Condition	SS %	SP %	LR+	LR-	Quality of study	Reviewers' comments
DSM-III-R	Dementia vs not dementia	76	70	2.5	0.34	1a	Cut off 3.6. Independent observer?
DSM-III-R	Dementia vs not dementia	82 83	90 83	8.2 4.9	0.2 0.23	1a	Cut-off 85/86
DSM-III	Dementia vs not dementia	90	80	4.5	0.13	1a	Cut-off 31/32
DSM-III-R	Dementia vs not dementia	89	88	7.4		1a	Cut-off 3.4. Cases and controls were from two different populations
DSM-IV	Dementia vs not dementia IQCODE MMSE and IQCODE	90 86	65 85	2.6 5.7	0.15 0.16	1a	IQCODE cut-off score 3.6. MMSE cut-off 25. Independent observer. Cross sectional study. No information about informants
Clinician evaluation	Dementia vs not dementia	92	86	6.6	0.09	1a	Informant reported memory loss also predicted future diagnosis of AD
DSM-III-R	Dementia vs not dementia	69	80	3.5	0.38		

The table continues on the next page

Table 13.1 *continued*

Author Year Country Reference	Name of interview/ scale (num- ber of items)	Sample (N)	Age mean (year)*	Population/ selection	Setting
Jorm 1994 [14] Australia	IQCODE	N = 52 N _{CON} = 632	77.0		Community
Law et al 1995 [15] Canada	IQCODE	N = 49 N _{CON} = 114	81.0		Community
Jorm et al 1996 [16] Australia	IQCODE	N = 24 N _{CON} = 120	73.0		Community
Harwood et al 1997 [17] United Kingdom	IQCODE	N = 21 N _{CON} = 180	76.0		Hospital

None of the studies had neuropathological confirmation of diagnosis.

* Age for index cases/controls/patients.

AD = Alzheimer's disease; BCS = Behavioral cognitive scale; CDR = Clinical dementia rating scale; CON = Controls; DECO = Détérioration cognitive observée [9]; DEM = Dementia; DEPR = Depression; IQCODE = Informant questionnaire on cognitive decline in the elderly [3]; MCI = Mild cognitive impairment; MMSE = Mini-mental state examination; MSRQ = Memory self report questionnaire [18]; NA = Not applicable; S-IQCODE = Spanish version of the IQCODE; VaD = Vascular dementia

Diagnostic criteria	Condition	SS %	SP %	LR+	LR–	Quality of study	Reviewers' comments
DSM-III-R	Dementia vs not dementia	79	83	4.6	0.25		
NINCDS + ICD-10	Dementia vs not dementia	76	96	19	0.25		
ICD-9	Dementia vs not dementia	79	65	2.3	0.32		
DSM-III-R	Dementia vs not dementia	100	86	7.1	0		

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14. Neuropsychological Tests as a Diagnostic Marker of Dementia

Conclusion

There is strong evidence that neuropsychological tests contribute substantially to the diagnosis of dementia and AD (Alzheimer's disease). Because few accepted studies compare the diagnostic entity of MCI with dementia or AD, or relate it to healthy individuals, no conclusions can be stated on this issue.

Introduction

Chapter II.3.1 and II.4.4 of "Evidence-based Dementia Practice" describe state-of-the-art research regarding evidence-based evaluation of neuropsychological tests as a means for predicting dementia [1]. In addition, there are a number of review articles on this issue [2,3]. These studies typically make use of various methods for evaluation. Many review studies refer to the likelihood ratio (LR). However, methods other than LR are frequently utilized as well. In these studies, regression analysis or predictive value or validity may be used as the operational means of evaluation [4].

Aim

The aim was to perform a systematic review of (sets of or single) neuropsychological tests in order to predict dementia or allow a differential diagnosis of dementia.

Search strategy

A prerequisite for the present study is the definition of a neuropsychological test. It is defined as a task-based assessment of cognitive function, including documented scaling properties and norms that refer to a normal population. The search was performed on neuropsychological tests in the Medline database using a search profile (description) to cover research from 1966 through July 2004.

Dementia
AND
Neuropsychological Tests
Wechsler Scales
Psychiatric Status Rating Scales
MMSE [Text Word]
AND
Sensitivity and Specificity
Sensitivity [Text Word]
Specificity [Text Word]
Accuracy [Text Word]
NOT
Letter [Publication Type]
Editorial [Publication Type]
Review [Publication Type]
Case Report

Description of studies included and excluded

There were 399 articles found subjected to a selection procedure. First, all studies lacking information on sensitivity and specificity were excluded. The remaining studies were evaluated with regard to methodological quality as described in Methods. Studies were excluded due primarily to a lack of comprehensiveness (at least 4 out of 6 domains: general, verbal, spatial, executive, attention and memory) of cognitive domains assessed ($n = 27$), as well as the absence of brain imaging ($n = 15$) and

insufficiently comprehensive clinical investigation ($n = 11$). Nine studies were ultimately included. Worth noting is that the selection procedure excluded studies, such as MMSE, and DRS, that use screening tests to assess cognitive function. A separate section entitled “Single tests as a diagnostic marker of dementia” deals with this topic.

The majority of accepted studies compared two or more levels of cognitive deterioration, starting with 1) unimpaired cognitive function and followed by 2) very mild and mild cognitive impairment and 3) pronounced cognitive deficits in moderate and severe dementia. Groups of patients with unspecified dementia were compared with controls ($n = 3$). Alternatively, patients with specified dementia (AD) were compared with controls ($n = 5$). Another group of studies investigated stages in the course of the disease. For instance, the focus might be on the transition from unimpaired to impaired cognitive function ($n = 1$), most often referred to as MCI. Worth noting is that the certainty of unimpaired cognitive function varies a good deal. Some studies include rigorous health screening, whereas others use a screening procedure or no evaluation of health status, although stating that the participants have normal cognitive function.

A minority of accepted studies concerned two or more diseases, such as a comparison of AD and VaD or AD and FTD.

Results

The mean and confidence interval of LR+ and LR– for various contrasts appear in Table 14.1.

The results (Table 14.1) indicate that strict methodological requirements left very few studies for our evidence-based assessment. In particular, few studies using neuropsychological methods are based on a definite neuropathological diagnosis. Furthermore, no studies were found that met the methodological requirements for specific dementia diseases other than AD. These findings may serve as a reminder for researchers to consider

the design of future studies in order to ensure valuable and reliable information on diagnosis that uses neuropsychological methods. However, we should point out that many excluded studies contain valuable information that largely agree with the conclusion presented in this review.

Discussion

A number of concerns will be discussed briefly: the contrast between groups that were compared, the arbitrariness of cut-off in the predictor variable, and group-based vs individual-based prediction of impairment.

A major problem concerns the degree of deterioration in clinical groups and the possible enrichment of the control group with regard to health status. The contrast between the studied clinic group and the control group may be enhanced by selecting a more advanced disease group. Similarly, the selection of a healthy control group may further increase the contrast. Choosing groups that differ markedly in terms of cognitive function may make the resulting likelihood ratio very large, while the diagnostic value may be relatively low. On the other hand, when the contrast between groups in terms of cognitive function is relatively modest, the value of a diagnostic method may be high even when the likelihood ratio indicates a low level of diagnostic power.

In the neuropsychological assessment of individuals, there is no consensus regarding the cut-off level for abnormal test results. In relation to the normal distribution of performance for control subjects, should the cut-off be -2 standard deviations or something else, perhaps the 5th percentile? This choice will have a large impact on the specificity value and consequently on the value of LR+. Giving priority to a high specificity value may make the LR+ extremely large. So the dilemma is to have some standard for the cut-off in cognitive function. The solution to the dilemma might be the “area under the curve” analysis (AUC).

In the research reviewed, all comparison is directed towards mean values of a group in focus in relation to a control group, ie, an *inter*-individual comparison based on population data of normal performance. However, the true comparison should be the premorbid value, in relation to the morbid value, of the same individual, ie, an *intra*-individual comparison. The dilemma is that there is seldom any reliable knowledge about true premorbid functioning. This difference between optimal and feasible comparison will introduce an error in the prediction that is beyond the reach of present routines for assessing cognitive function.

Table 14.1 Summary of accepted studies on neuropsychological tests that fulfilled criteria of inclusion showing median SS and SP as well as mean LR+ and LR– and grade of evidence in comparison of groups varying in degree of cognitive dysfunction and diagnosis (D, AD, MCI, and C).

Comparison group	No of studies	No of probands (1 vs 2)	SS median	SP median
D-C	3	184/167	0.82	0.95
AD-C	5	342/400	0.86	0.94
D-MCI	1	16/104	0.83	0.85

AD-MCI 0 (no study out of 3 accepted fulfilled the combined 3 criteria: SS >0,8 and SP >0,8 and LR+ >5).

MCI-C 0 (no study out of 3 accepted fulfilled the combined 3 criteria: SS >0,8 and SP >0,8 and LR+ >5).

AD = Alzheimer's disease; LR = Likelihood ratio; MCI = Mild cognitive impairment; SP = Specificity; SS = Sensitivity

LR+ median	LR– median	Grade of evidence
16.4	0.19	Strong
14.3	0.12	Strong
5.7	0.2	No evidence, one study only

Table 14.2 Neuropsychological test(s) as markers of type and degree of dementia based on studies with clinical (Arabic digit) and/or neuropathological verification (Roman digit) of diagnosis.

Author Year Reference Country	Sample (n) Follow-up (y)	Age (years)	Stage CDR/ MMSE/ GDS	Diagnostic criteria Clin/ neuropath	Inde- pendence
D-C n = 3					
Derrier et al 2001 [5] USA	D, n = 37 C, n = 37	74 74	CDR: I CDR: 0	NINCDS/no	
Storandt et al 1989 [6] USA	mD, n = 66 VmD, n = 41 C, n = 83	72±5 74±5 72±5	CDR: I CDR: 0.5 CDR: 0	DSM-III/no	Yes
Swearer et al 1998 [7] USA	D, n = 40 NoD, n = 47 C, n = 53	73 64 70	Mi-mod	DSM-IV/no NINCDS	
AD-C n = 6					
Albert et al 2001 [8] USA	qD, n = 123 C, n = 42 Follow-up: 3	72.2 71.4	CDR: 0.5 CDR: 0	NINCDS/no CDR: ISP	
Kluger et al 1999 [9] USA	Decl, n = 74 NoDec, n = 139 AD, n = 56 NoDec, n = 123	72.7±8.6 69.7±8.2	GDS: >3 GDS: 1–3	Follow-up: 3.6 years/no	Yes
La Rue 1989 [10] USA	AD, n = 19 DNUD, n = 20 Depr, n = 41	60–90 60–90 60–90	17.2±5.6 19.8±4.7 23.9±4.0	DSM-III/no	Yes

Contrast	Test(s)	SS	SP	LR+/-	Quality of study	Reviewers' comments
D C	WlistA LM VR	1	0.95	20/0.00	1a	
mD-C	LM+ BN+ DiSy	0.82	0.95	16.4/0.19	1a	
VmD-C		0.65	0.95	13		
D NoD	Orient LM: Im+De	0.81	0.98	40.5/0.19	1a	
C-AD	CVLT, VR, TMTB	0.83	0.94	13.8/0.18	1a	Plus: cog Communitybased volunteer, selected samples Covariance analysis: ApoE ns
C-qD	CVLT, SOT	0.71	0.81	3.7		
qD-AD	CVLT, VR, TMTB	0.74	0.83	4.4		
Dec	DePR NoDecl DiSy	0.73 AL	0.91	8.1	1a	Plus: demo incl
NoDec	DSf	0.89	0.97	27.9/0.12		
AD vs depr	Fuld: Learn + del MMSE	0.9 0.67	0.83 0.76	5.2/0.12 2.7		Cross-sect Lim: mod cog dysf

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Table 14.2 *continued*

Author Year Reference Country	Sample (n) Follow-up (y)	Age (years)	Stage CDR/ MMSE/ GDS	Diagnostic criteria Clin/ neuropath	Inde- pendence
Locascio et al 1995 [11] USA	NC, n = 60 AD, n = 123 Follow-up: 5.5	68.9±11.2 70.7±8.5 Mi Mod Sev	IMC: 0.9 IMC: 11.6 IMC: 7.1 IMC: 13.4 IMC: 21.6	NINCDS/few	
Masur et al 1989 [12] USA	NE, n = 134 AD, n = 21 AD	79.5±3.0 68.3±11.2		NINCDS/no	

D-MCI n = 1

Hänninen et al 1995 [13] Finland	AAMI, n = 104 D, n = 16 MCI, n = 13 SubjM, n = 17 OthDis, n = 15 No, n = 9 Follow-up: 3.6	71.7±5.0		AAMI/no DSM-III-R	No
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AD-MCI n = 0

MCI-C n = 0

AD = Alzheimer's disease; BN = Boston Naming test; C = Control; CDR = Clinical dementia rating scale; D = Dementia; Dec = Deceased; Decl = Declaration; DiSy = Diagnostic system; DNUD = Dementia no ultimate definition; GDS = Geriatric depression scale; HD = Huntington's disease; mD = Mild dementia; MMSE = Mini-mental state examination; NC = Normal controls; NE = Normal examination; NoD = Not demented; prAAMI = Age associated memory impairment; qD = Demented women; StAAMI = Age associated memory impairment; VmD = Very mild dementia

Contrast	Test(s)	SS	SP	LR+/-	Quality of study	Reviewers' comments
NC vs miAD	NYUdR GeoFigR (Comb 2 test)	0.96	0.91	10.7/0.04	1b	Plus: cog No cog speed No attention
NE vs AD	SRT: sum + del + 2	0.8	0.95	16.0/0.21	1a	Bronx AS Cross-sec Cut-off 2SD
StAAMI prAAMI	All SRT VR AL VeFluL VeFluC Bent	0.83	0.85	5.6/0.20		Kuopio Wide cog

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15. Evidence-based Evaluation of Single Cognitive Tests as a Diagnostic Marker of Dementia

Conclusions

For Clock tests and CAMCOG, a sufficient number of studies could be included in order to summarize their effectiveness in diagnosing dementia and AD (Alzheimer's disease). For other tests, data are lacking and further studies are needed before a conclusion can be drawn.

There is moderately strong evidence that single cognitive tests such as CAMCOG contribute substantially to the diagnosis of dementia. There is also moderately strong evidence that Clock tests contribute substantially to the diagnosis of AD. There are no accepted studies on the diagnostic entity of MCI compared to dementia or AD and in relation to healthy individuals. Thus, no conclusions can be stated concerning this issue. There is no evidence and/or no accepted studies on other single cognitive tests (though many are in use) for diagnosing dementia, AD or MCI. Because there is only one study on MMSE that is of acceptable quality, no conclusion can be stated with regard to evidence.

Introduction

Previous studies regarding evidence-based evaluation of single cognitive tests as an aid in the diagnosis of dementia are presented in a chapter of "Evidence-based Dementia Practice" [1]. Single tests have been claimed to be useful in distinguishing between both specified and unspecified dementia and control subjects. However, the data are often based on a clinical setting where representative samples of patients with dementia

are compared to unrepresentative samples of normal, high-functioning controls. That may lead to an overestimate of likelihood ratios. The tests included in the present study were MMSE, various Clock tests, ADAS-Cog, CAMCOG, CERAD, and a single memory, fluency or other specific test of cognitive function. Before a final conclusion on the value of single cognitive tests in a dementia workup can be reached, future studies should be designed to include an appropriate spectrum of participants.

The aim was to review single cognitive tests in order 1) to predict dementia or a specific dementia disease and 2) to arrive at a differential diagnosis of dementia.

Search strategy

Single cognitive tests were specified as a prerequisite for the present study. A single cognitive test was defined as any task-based assessment of global cognitive function or specific single cognitive function. The search was performed on tests in the Medline database using a profile of [dementia AND (ADAS-Cog OR CERAD OR CAMCOG OR Clock test OR 7MS OR any of many other tests) AND (sensitivity AND specificity)] to identify research dated from 1966 through January 2005. A total of 549 articles were found. The articles underwent a two-step selection procedure. First, all abstracts were read and evaluated in terms of relevance to the aim. Studies were excluded from further evaluation because they dealt with a combination of various cognitive tests, used psychiatric or non-behavioral methods, or did not correspond to the intentions of the review for some other reason. This step left 130 studies. In the second step, studies were evaluated with regard to methodological quality as described above. The primary reasons for exclusion were an insufficient number of clinical examinations and inadequate data to calculate sensitivity and specificity. After the methodological evaluation, 52 studies remained for evidence-based analysis of the value of single cognitive tests in dementia workups.

The majority of accepted studies concerned a comparison between two or more levels of cognitive deterioration, from 1) unimpaired cognitive

function to 2) mild cognitive impairment (MCI) to 3) pronounced cognitive deficits in mild, moderate and severe dementia associated with certain disease (such as AD) or any type of cerebrovascular disorder. Most often (26 studies), groups of unspecified dementia patients were compared with controls. Another 19 studies compared specified dementia, such as AD with controls. Two studies investigated various stages in the course of the disease, such as the transition from unimpaired cognitive function to MCI. One study concerned the transition from MCI to mild dementia or from mild to more advance dementia. Finally, one study compared different dementia disorders, as exemplified by VaD and unspecified non-AD. Worth noting is that the certainty regarding unimpaired cognitive function varies considerably. Some studies included rigorous health screening, whereas others use a simple screening procedure or no clinical evaluation of health status, while stating that the participants had normal cognitive function. A couple of studies included more than one comparison.

The most frequently used single cognitive tests were MMSE [2], Clock tests ($n = 11$, including various formats of task and scoring), CAMCOG [3], Category fluency ($n = 3$), MIS [4] and 7MS [5]. Thirtynine different tests were used in only 1 or 2 studies. These tests concerned episodic memory ($n = 12$), any other specific cognitive function ($n = 8$) or a global measure of cognition ($n = 19$). Very high LR+ values ($LR+ > 20$) were reported for some of the tests, the majority of which assess episodic memory. However, since the data were based on a single study, they are not presented in this review. Given that the instruments are common to clinical dementia research and clinical trials, neither ADAS-Cog nor CERAD appeared in the final set of studies to review. It was possible to obtain some information on the utility of various cut-off levels in MMSE and the scoring method for Clock tests.

Results

Below are data on sensitivity, specificity, LR+ and LR– for various contrasts between groups and in terms of three single cognitive tests: MMSE, Clock tests, and CAMCOG (see Table 15.1). MMSE is a test of limited evidence, particularly for assessing dementia compared to people

without dementia in similar age-groups. However, only one study on MMSE comparing Alzheimer to controls, corresponded to the criteria for inclusion. It is also obvious that a Clock test is valuable in diagnosing dementia. Both MMSE and Clock tests can be easily administered in a relatively short period of time by nurses, paramedical personnel, physicians, etc, without requiring extensive training. For CAMCOG, administration is more demanding and does not offer clear advantages in terms of utility, as documented by LR+.

Worth noting is that maximum LR+ and minimum LR– do not occur in the same study. Thus, LR+ or LR– must be prioritized before the test is chosen.

Furthermore, not only the specific test, but the way that it is to be administered, must be decided in advance, given the possible impact on LR+ and LR–. Some studies examined the effect on sensitivity and specificity by varying the cut-off level. These studies demonstrated greater sensitivity despite unchanged specificity when the cut-off level was increased [6,7].

Regarding the Clock test, considerable variation in terms of administration formats leads to varying degrees of difficulty (such as telling the time given the clock outline and hands, setting the hands given the time and clock outline, drawing clock outlines and hands given the time, etc). In addition, various scoring procedures have been suggested, and some studies have compared the effectiveness of different scoring procedures. According to these studies, the Wolf-Klein method [8] and the Sunderland method [9] are associated with the most favorable LR+ in diagnosing unspecified dementia.

Discussion

In summary, the results of the present review indicate that strict methodological requirements leave very few studies for our evidence-based evaluation. Furthermore, no study was found that used diagnostic criteria based on a neuropathological examination. Another striking discovery was that the overwhelming majority of studies were on dementia and

AD – only one was exclusively devoted to VaD. Moreover, no accepted study investigated MCI. These findings may serve as a reminder for future researchers when designing studies aimed at validating single cognitive tests for dementia. Finally, many excluded studies contained valuable information that largely agreed with the conclusion presented in this review.

Before stating a final conclusion, some critical points will be discussed. The typical age of patients and controls ranges from 70 to 90, whereas only few studies have examined younger people or a broader age range. Moreover, the stage of cognitive deterioration for people with and without dementia varies considerably among the studies, making a comparison difficult or even unjustified, given that large differences between contrast groups result in favorable LR+ values for the cognitive test under evaluation. This point is critical in the evidence-based evaluation of diagnostic procedures, since it can be influenced by increasing group contrasts. For instance, the dementia group may be biased by virtue of a conservative diagnosis and/or strict selection of people without dementia by health screening so as to avoid those on the borderline between cognitive intactness and pronounced impairment. As an example, in order to evaluate the utility of a Clock test, people with dementia who have MMSE <15 and people without dementia who have MMSE close to 30 are to be preferred, given that both the test and MMSE are associated with global cognitive function. As a result, there is not a clear correlation between the predictor in focus and the degree of cognitive deterioration in contrasting groups, but rather a confounding of the evidence-based evaluation.

In applications of single tests for screening purposes, there is no consensus concerning the cut-off level. In relation to the normal distribution of performance for control subjects, should the cut-off level be 2 SD below the mean for controls or something else, such as the 5th percentile? This choice will have a major impact on the specificity value and thereby on the LR+. Prioritizing a high specificity value may overstate the LR+. The challenge is to find a standard for the cut-off in various single tests. The solution might be to present the area under the curve (AUC).

A review of MMSE has pointed out that its performance is clearly related to both the age and education of the subject [10]. Thus, a universal cut-off point is inappropriate when diagnosing dementia – both age and education must be taken into account when making diagnostic decisions.

In the research reviewed, all test results for the patient group are compared to the control group (inter-individual comparison). That is based on the assumption that the two groups are similar in essential background factors. However, the true comparison should be between the premorbid and morbid value for the same person (intra-individual comparison). A second dilemma is that there is seldom any reliable knowledge about true premorbid functioning. This difference between an optimal and a possible comparison introduces an error in the prediction that is out of reach of present routines for assessing cognitive function. That suggests that future screening instruments should be based on intra-individual changes [11], be insensitive to demographic status, or take advantage of age-based and education-based norms.

Table 15.1 Summary of accepted studies on single cognitive tests (MMSE, Clock tests, and CAMCOG) that fulfilled criteria of inclusion showing median SS and SP as well as mean LR+ and LR– and grade of evidence in comparison of groups varying in degree of cognitive dysfunction and diagnosis (D, AD, MCI, and C).

Comparison group	No of studies	No of probands 1 vs 2	SS median
MMSE			
D vs C	5	321/1 167	0.86
AD vs C	1	68/114	0.85
Clock tests			
D vs C	2	128/62	0.86
AD vs C	4	237/116	0.89
CAMCOG			
D vs C	3	203/315	0.88

AD = Alzheimer's disease; C = Control; D = Dementia; LR = Likelihood ratio;
SP = Specificity; SS = Sensitivity

	SP median	LR+ median	LR– median	Grade of evidence
	0.85	5.7	0.16	Limited
	0.98	42.5	0.15	No evidence. Only one study
	0.85	5.7	0.16	Limited
	0.94	14.8	0.12	Moderate
	0.91	9.8	0.13	Moderate

Table 15.2 Single cognitive tests as markers of type and degree of dementia based on studies with clinical (A) and neuropathological verification (B) of diagnosis.

Author Year Reference Country	Sample (n) Follow-up (y)	Age (years)	Stage CDR/ MMSE/ GDS	Diagnostic criteria Clin/ neuropath	Independence
Schramm et al 2002 [12] Germany	D, 79 C, 44	44–90	GDS	DSM-IV/no	No
Wolf-Klein et al 1989 [8] USA	AD, 105 NoD, x	76.8	<15 _{MMSE} 28 _{MMSE}	NINCDS/no	Yes
Heinik et al 2003 [13] Israel	D, 88 Dep/anx, 26	78.3±6.0 74.7±6.6	18.5±4.8 27.3±2.2	DSM-IV/no	Yes
Hogervorst et al 2002 [14] United Kingdom	D, 82 C, 114 AD, 68 Follow-up: ?	75±7	20.0±4.6 28.5±1.5 25.3±5.2	DSM-IV/no NINCDS NINDS	Yes
de Koning et al 1998 [15] The Netherlands	D, 55 NoD, 229	73.0±7.3 68.2±8.0	19.9±5.2 26.7±2.7	DSM-III-R/no	Yes
Tuokko et al 1992 [16] USA	AD, 58 NE, 62	70.6±7.5 71.3±8.1	15.5±7.7	NINCDS/no DSM-III-R	Yes
Watson et al 1993 [17] USA	D, 40 NoD, 36	55–92	CDR	NINCDS/no	

Contrast	Test(s)	SS	SP	LR+/-	Quality of study	Reviewers comments
D-noD	Clock _{Shulman}	0.81	0.79	3.86	2a	Shulman meth recommended
D-noD	Clock _{Sunderland}	0.56	0.91	6.22		
D-noD	Clock _{Wolf-Klein}	0.39	0.95	7.80		
D-noD	Clock _{Watson}	0.56	0.80	2.80		
D-noD	Clock _{Manos}	0.67	0.86	4.79		
D-noD	CDT+SKT	0.92	0.98	46		
D-noD	CDT+MMSE	0.90	1.00	∞		
D-noD	MMSE _{23/24}	0.80	1.00	∞/0.20		
D-noD	SKT _{8/9}	0.75	0.98	37.5		
AD-noD	CDT _{Wolf-Klein}	0.867	0.927	11.9/14		Cross-sectional
D-noD	MMSE _{23/24}	0.96	0.81	5.05/0.05		Cross-sectional
	CDT-Fr _{11/12}	0.85	0.89	7.7/0.17		
	CDT+MMSE	1.00	0.91	11.1		
	CAMCOG	1.00	0.91	11.1/0.00		
D-noD	HopVLTot/ _{14.5}	0.87	0.98	43.5		OPTIMA. Cross-sectional
AD-noD	HopVLMem/ _{24.5}	0.91	0.98	45.5		
D-noD	MMSE _{23/24}	0.83	0.98	41.5/0.17		
AD-noD	MMSE _{23/24}	0.85	0.98	42.5/0.15		
D-noD	CAMCOG _{69/70}	0.85	0.90	8.5/0.17		Rott Stroke. Cross-sectional
	MMSE _{23/24}	0.75	0.15	5		
AD-NE	CDTdraw	0.92	0.86	6.6/0.09		Cut-off maximized. Cross-sectional
AD-NE	CDTset	0.87	0.97	29.0/0.13		
AD-NE	CDTread	0.92	0.85	6.1/0.09		
AD-NE	CDTcomb	0.94	0.93	13.4/0.06		
D-noD	CDT	0.87	0.82	4.8/0.16		Cross-sectional

The table continues on the next page

Table 15.2 *continued*

Author Year Reference Country	Sample (n) Follow-up (y)	Age (years)	Stage CDR/ MMSE/ GDS	Diagnostic criteria Clin/ Neuropath	Independ- ence
Neri et al 1998 [18] Italy	D, 60 noD, 60 Follow-up: 1 year	~75 ~75	10–26 27–30	DSM-III-R/no	No
van Gorp et al 1999 [7] USA	AD, 22 VaD, 19 NC, 12	68.9±7.7 69.8±7.7 69.4±6.5	– – –	DSM-III-R/no	Yes
Mendez et al 1992 [19] USA	AD, 46 NE, 26	CDIS	13–21	NINCDS/no	
Cossa et al 1999 [20] Italy	D, 35 NoD, 733	60+ 60+	– –	DSM-III-R/no	Yes
Heun et al 1998 [21] Germany	D, 37 NoD, 250 Population based	89.1±6.2 74.7±10.0	19.4±5.5 27.9±1.7	ICD-10/no DSM-III-R	Yes
Brodaty et al 1997 [22] Australia	AD, 28 C, 28 Hospital based	73.1±8.9 69.5±7.7	19.5±5.3 28.7±1.4	DSM-III-R/no NINCDS	Yes

AD = Alzheimer's disease; C = Control; CDR = Clinical dementia rating scale;
 CDT = Clock drawing task; D = Dementia; GDS = Global deterioration scale;
 HopVLT = Hopkins verbal learning test; MMSE = Mini-mental state examination;
 MODA = Milan overall dementia assessment; NE = Normal examination; NoD =
 Not demented; SKT = Syndrom kurztest (or syndrom short test)

Contrast	Test(s)	SS	SP	LR+/-	Quality of study	Reviewers' comments
D-noD	CAMCOG _{79/80}	0.983	0.750	3.93		Cross-sectional
D-noD	CAMCOGorg _{79/80}	0.817	1.00	∞ /0.18		
D-noD	CAMCOGshort	0.883	0.983	51.9/0.19		
D-noD	CAMCOGorgshort	0.933	1.000	∞ /0.07		
D-NC	MMSE _{25/26}	0.71	1.00	∞		Cross-sectional
D-NC	MMSE _{26/27}	0.98	1.00	∞ /0.02		
	Mattis _{133/134}	0.83	1.00	∞		
	NCSEtot	0.48	1.00	∞		
AD-NE	CDT _{Shulman}	0.91	1.00	∞ /0.09		Cross-sectional
D-noD	MODA	1.00	0.716	3.52		Cross-sectional
D-noD	MMSE	0.857	0.9	8.57/0.16		
D-noD	MMSE _{23/24}	0.92	0.96	23.0/0.08	2a	
D-noD	SIDAM _{42/43}	0.97	0.91	10.8		
D-noD	CatFIAn _{13/14}	0.81	0.83	4.76		
D-noD	WoReclm _{12/3}	0.82	0.77	3.57		
D-noD	TMT _{39/40}	0.81	0.71	2.79		
AD-C	CDT _{Shulman 0.2/3}	0.86	0.96	6.1/0.15	2a	Hospital
AD-C	CDT _{Sunderland 0.8/9}	0.79	0.93	11.3		
AD-C	CDT _{Wolf-Klein 0.8/9}	0.79	0.89	7.18		
AD-C	MMSE _{23/24}	0.71	1.00	∞		

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16. Genetic Markers

Conclusion

ApoE genotyping has a small impact on the pretest probability of AD (Alzheimer's disease). It neither contributes significantly to the diagnosis of AD nor differentiates AD from other dementia disorders. No study in this review had a sensitivity of 80% or above and a LR+ of 5 or above. Thus, there is no evidence for the use of ApoE genotyping in the diagnostic and differential diagnostic of AD.

Causative genes for dementia disorders

Familial AD is rare, less than 1% of all patients with AD. Familial cases of VaD (cerebral autosomal arteriopathy with subcortical infarction and leucoencephalopathy = CADASIL), frontotemporal lobe dementia (FTLD) and dementia due to Creutzfeldt-Jakob disease (CJD) are even less frequent. For AD, mutations of three genes are known: presenilin genes 1 (Chromosome 14) and 2 (Chromosome 1) and the gene that codes for the amyloid precursor protein (Chromosome 21). For CADASIL, the only form of hereditary VaD, the Notch3 gene on chromosome 19 is identified. For FTLD, the tau gene on chromosome 17 and a yet unidentified gene on chromosome 3 is identified. For CJD, the prion gene on chromosome 20 is identified.

A search was conducted in Medline that included articles from 1975 to June 1, 2004. The keywords were "dementia/diagnosis", "dementia/genetics", "genetic counseling", "genetic testing", and "genetic screening". The search produced 207 titles of relevance. None of the abstracts contained information that disagreed with the findings and recommendations described in Chapter II.4.5 of "Evidence-based Dementia Practice" [1].

That chapter and consensus guidelines established in the United States and United Kingdom may be summarized as follows:

1. People who have a family history of AD with early onset are candidates for genetic testing.
2. A person who tests positive for pathogenic mutation has a chance of developing AD that is close to 100%.
3. A genetic test cannot predict age at onset of AD.
4. AD can still develop in people who test negative for a specific pathogenic mutation.
5. Tau mutation analysis can be used for the differential diagnosis of FTLT, but only patients with a family history of FTLT and typical symptoms are candidates for genetic testing.
6. Notch3 gene testing can be performed to confirm CADDIL in patients with a family history and typical symptoms of the disease.
7. Genetic counseling should be offered both before and after testing by a genetic specialist.

Apolipoprotein E ϵ 4 as a diagnostic marker of AD

Background

The causes of sporadic AD, which is by far the most prevalent form, are not known. It is believed that genetic factors may interact with brain aging and various environmental factors, thus contributing to the development of AD. Apolipoprotein E (ApoE) gene on chromosome 19 is involved. It has three alleles: ϵ 2, ϵ 3 and ϵ 4. The frequency of the three alleles varies across from continent to continent. In the Western world, where frequency is studied the most, the prevalence is about 15% for ϵ 4, 75% for ϵ 3 and 10% for ϵ 2 [2].

According to several studies over the last ten years, people with the ApoE ϵ 4 allele have an increased risk of developing AD and there is a dosage effect [3]. ApoE ϵ 4 has also been shown to lead to earlier onset of AD, an effect that is dosage dependent. ApoE ϵ 2 reduces the risk of

AD more than $\epsilon 3$ [4]. Consistent with this finding, healthy centenarians have a higher frequency of $\epsilon 2$ than the general population [5]. We also know that 40–50% of all people with at least one ApoE $\epsilon 4$ will eventually develop AD. But even among people who have the greatest risk, those with $\epsilon 4/\epsilon 4$ appear to have a 50% chance of escaping AD. The ApoE gene is a risk factor, not a cause of AD. We do not know exactly how the ApoE protein influences the pathophysiology of AD. One claim is that patients with AD who have ApoE $\epsilon 4$ deteriorate more rapidly than those without the allele, and that those with $\epsilon 4$ respond more poorly to acetylcholinesterase inhibitors, but the evidence for such assertions is not very good [6–10].

The use of ApoE as a diagnostic marker of AD has been addressed by several committees of experts, particularly in the United States and United Kingdom. The committees are from the American College of Medical Genetics, the Alzheimer's Disease Genetic Consortium, the United Kingdom Alzheimer's Disease Genetic Consortium, the National Institute of Aging, and Alzheimer's Disease International. So far, all consensus statements conclude that ApoE genotyping has no role in symptom-free people. This view is supported by a meta-analysis, which shows that with at least one ApoE $\epsilon 4$ allele, LR+ is 2.3, and that LR– of 0.55 separates AD patients from normal controls [4]. Using $\epsilon 4/\epsilon 4$ as a diagnostic marker in the same meta-analysis, LR+ rose to 8, whereas LR– was 0.87. A subcommittee of the American Academy of Neurology outlined guidelines and recommendations for the diagnostic workup of people with suspected dementia based on a literature review process [11]. The authors concluded that there is no evidence that Apo E genotyping is useful in the diagnostic workup of patients with suspected AD. Payami [1], the author of chapter II.4.5, “Genetic markers in differential diagnosis” in “Evidence-based Dementia Practice” came to the same conclusion after reviewing 69 case-control studies [1].

Some claims have been made that ApoE testing could be useful in differentiating AD from other dementia disorders. A study by Mayeux et al in 1998 on a large neuropathologically confirmed cohort of patients suggested that ApoE genotyping may be useful for this purpose in patients referred to a specialized assessment when the pre-test probability of AD

is very high [12]. The study demonstrated that the presence of at least one $\epsilon 4$ allele, along with clinical data, slightly increased the accuracy of a clinical diagnosis of AD, whereas the absence of an ApoE $\epsilon 4$ allele had little value in either confirming or refuting a clinical diagnosis of AD. Thus, ApoE testing seems to confer negligible diagnostic benefits. The groups in the United States and United Kingdom that have formulated consensus guidelines regarding ApoE genotyping do not recommend ApoE testing as part of the differential diagnostic assessment [13]. But Payami never concluded whether or not ApoE testing might be useful in the differential diagnostic workup [1]. He left the question open, pointing to a paper by Mayeux et al [12] and stated that “further research is needed to assess the applicability of this finding to the general population and to determine the predictive value of ApoE by gender, ethnicity and age”.

The aim of this literature review was to further investigate new evidence for the clinical utility of ApoE testing to 1) differentiate people with AD from normal elderly, 2) differentiate AD from other dementia disorders, and 3) differentiate AD patients from people with mild cognitive impairment (MCI). We also searched for evidence that gender, age and ethnicity influence the predictive value of ApoE genotyping.

Literature search strategy

Searches were conducted in Medline among papers published between January 1, 1990 and June 1, 2004. The keywords were “dementia/genetics”, “apolipoprotein”, “comparative or control studies” and “cohort and family studies”. Only papers written in English were requested. A thorough review of the references from “Evidence-based Dementia Practice” was also performed [14].

Results

There were 737 titles and abstracts found and reviewed for relevancy, 128 articles allowed calculation of the sensitivity, specificity and likelihood ratio (LR). Many high-quality papers reported only the frequency of the three alleles ($\epsilon 2$, $\epsilon 3$, $\epsilon 4$), making it impossible to calculate the sensitivity, specificity and likelihood ratio. Of the 128 papers, 28 more were

excluded because they did not use standardized criteria for the diagnosis of AD or other dementias, contain a description of a sufficient clinical diagnostic workup, include a comparison group, examine at least 20 + 20 individuals, or perform an independent blind evaluation of the test against the diagnosis. Some of these studies were significant [15–19].

Many studies have examined the utility of ApoE as a diagnostic marker both for AD and in the differential diagnosis of dementia. Thus, references appear in more than one table. To study age dependency, 80 was used as a cut-off. Early onset AD was compared with late onset AD. The results in Tables 16.1–5 are based on the assumption of at least one ApoE $\epsilon 4$ allele.

We found only one paper that included a sufficient number of patients and controls to permit calculation of sensitivity (19%), specificity (97%) and likelihood ratio (LR+ 5.7, LR– 0.84) for $\epsilon 4/\epsilon 4$ as a marker of AD [20]. No conclusions can be drawn from a single study.

ApoE $\epsilon 4$ allele as a marker of AD in comparison with controls

The results of the grade I and II studies are shown in Table 16.2. Polvikoski et al in Finland conducted the only grade Ia study [21]. It reported a LR+ of 2.07 and LR– of 0.69 and included a rather small number of AD patients. We found no association between LR and age in the five studies. All studies were conducted among Caucasians, so that the influence of ethnicity cannot be estimated. Sufficient information was not reported in order to analyze the influence of gender.

Table 16.3 shows the results from Population based studies and from studies with highly-selected patients for which clinical diagnosis was the gold standard. Most studies were conducted in the United States and Europe on Caucasians. The few Population based studies of African-Americans showed a somewhat lower LR+ than those among Caucasians, Asians and Hispanics. The only study of Arabs reported a very low prevalence of one ApoE $\epsilon 4$ allele: 5% in AD patients and 6% in elderly without dementia [22]. However, the differences for LR+ and

LR– among studies of different ethnicity, age and onset were not statistically significant (ANOVA and independent t-test). Gender-specific prevalence rates of ApoE $\epsilon 4$ were reported in only few studies, rendering statistical testing meaningless.

LR+ test was highest and LR– test was lowest among grade 2b studies (Table 16.1). But those studies are not representative of any clinical setting, because the controls are not representative of the cohort referred to clinical settings such as memory clinics or specialized outpatient clinics. Only three studies reported LR+ above 5, which is a significant predictive value for a diagnostic test, whereas none of these studies showed LR– below 0.4. All three were grade 2b studies with an extremely low prevalence of ApoE $\epsilon 4$ alleles among the controls [23–26].

ApoE $\epsilon 4$ allele as marker of AD compared to other dementia disorders

Table 16.4 shows the studies that compared ApoE $\epsilon 4$ allele as a marker for distinguishing AD from other dementias. Seventeen of the studies in Table 16.4 compared the presence of at least one ApoE $\epsilon 4$ allele in AD and VaD. The results of those studies averaged LR+ of 1.8 (1.4–2.2, 95% CI) and LR– of 0.74 (0.64–0.84, 95% CI), indicating that ApoE genotyping is a poor diagnostic method for differentiating AD from VaD. No differences in LR+ and LR– were found with regard to ethnicity and age. The information on gender and age at disease onset was not sufficient to allow for statistical testing.

Two grade 1b studies – one from the United States and one from the United Kingdom – were identified that contained reliable information regarding ApoE genotype in Diffuse Lewy Body Dementia (DLBD), with LR+ of 1.9 and LR– of 0.55 differentiating AD from DLBD in one study and LR+ of 1.0 and LR– of 1.0 differentiating AD from DLBD in the other study [27,28]. These results confirm that ApoE genotyping is not useful in the differential diagnostic workup of DLBD.

ApoE ϵ 4 allele as marker of AD compared to MCI

Eight studies of sufficient quality were found that describe the discriminatory effect of having at least one ApoE ϵ 4 allele between patients with AD and MCI. Four studies used the diagnostic term MCI, one used the term Age Associated Memory Impairment (AAMI) and one used the term Questionable Dementia (QD). As can be seen from Tables 16.1 and 16.5, LR+ varied between 0.7 and 2.4 and LR– varied between 0.64 and 1.1. This is not surprising given the suggestion that more than 50% of patients with MCI will develop AD. Comparisons of LR across ethnicity, gender and age at onset were not meaningful given the small number of patients and studies.

ApoE ϵ 4 allele as marker of FTLD compared to normal controls

The search revealed 16 studies that compared the ApoE genotype between patients suffering from FTLD and elderly controls [29–45]. Two studies recruited their respondents from Population based studies, whereas the remaining 14 included highly-selected patients and controls. Most studies included very few patients and controls, and some did not report sufficient information in order to calculate sensitivity and specificity [29,33,35,37,41,43,45], leaving few studies with enough respondents to calculate sensitivity, specificity and LR+. Verpillat et al, 2002, who conducted the largest of these studies with 94 patients and 392 controls, reported a sensitivity of having at least one ApoE ϵ 4 allele of 19.1% and a specificity of 69.1% [42]. A meta-analysis of seven case-control studies yielded a sensitivity of 26.7%, specificity of 73.8%, LR+ of 1.0 and LR– of 1.0 [42]. According to the meta-analysis, ApoE genotyping is not helpful in diagnosing FTLD.

Table 16.1 ApoE $\epsilon 4$ as a diagnostic marker of Alzheimer's disease (AD).
Summary of results.

Comparison of groups	Number of studies	Number of people	Sensitivity Median (range)
AD vs controls	5	700 718	58% (43–65)
AD vs controls	32	3 860 23 749	45% (5–73)
AD vs controls	44	5 220 7 317	55% (19–87)
AD vs controls	81	9 780 31 784	49% (5–87)
AD vs OD	9	2 807 823	58% (32–83)
AD vs VaD	17	2 191 1 045	51% (32–65)
AD vs MCI	8	1 554 856	55% (5–62)

AD = Alzheimer's disease; MCI = Mild cognitive impairment; OD = Other dementias except VaD; VaD = Vascular dementia

Specificity Median (range)	LR+ Median (range)	LR- Median (range)	Quality of study
75% (70–79)	2.1 (2.0–2.7)	0.58 (0.37–0.72)	I/II a+b
74% (60–94)	1.7 (0.8–5.6)	0.73 (0.38–1.0)	1a+b
79% (64–95)	2.4 (1.7–5.7)	0.60 (0.16–0.9)	2a+b
77% (60–95)	2.1 (0.8–5.7)	0.64 (0.16–1.0)	All grades
67% (40–88)	1.9 (1.0–4.9)	0.77 (0.20–1.0)	All grades
70% (50–88)	1.5 (0.8–3.6)	0.73 (0.46–1.2)	All grades
57% (39–93)	1.2 (0.7–2.4)	0.84 (0.64–1.1)	All grades

Table 16.2 ApoE $\epsilon 4$ (≥ 1 $\epsilon 4$) as a diagnostic marker. Alzheimer's disease vs non-demented controls. Quality of study I and II (neuropathologic diagnosis).

Author Year Reference Country	Sample	Age (years)	Clinical criteria	Path Criteria
Polvikoski et al 2001 [21] Finland	AD = 41 Co = 329	85+	NINCDS ADRDA DSM-III-R	CERAD
Tsuang et al 1999 [46] USA	AD = 94 Co = 38	80 \pm 7	NINCDS ADRDA DSM-III-R	CERAD
Singleton et al 2002 [28] United Kingdom	AD = 194 Co = 111 EOAD = 40 LOAD = 73	41+	NINCDS ADRDA	>5 SP >1 NFT
Bennett et al 2003 [47] USA	AD = 51 Co = 77		NINCDS ADRDA	CERAD
Nielsen et al 2003 [48] Denmark	AD = 320 Co = 163		DSM-III-R	CERAD Braak

AD = Alzheimer's disease; CERAD = Consortium to establish a registry for Alzheimer's disease; Co = Non-demented controls; EOAD = Early onset Alzheimer's disease; LOAD = Late onset Alzheimer's disease; LR+ = Likelihood ratio; NFT = Neurofibrillary tangles; SN = Senile plaques; SS = Sensitivity; SP = Specificity

SS	SP	LR+	LR–	Quality of study	Comments
0.47	0.77	2.07	0.69	Ia	
0.59	0.71	2.03	0.58	Ib	
0.58	0.75	2.3	0.56	Ib	
0.53	0.74	2.1	0.64		EOAD LOAD
0.59	0.72	2.1	0.57		
0.43	0.79	2.1	0.72	Ib	
0.65	0.7	2.7	0.37	IIb	

Table 16.3 ApoE $\epsilon 4$ (≥ 1 $\epsilon 4$) as a diagnostic test. Alzheimer's disease (AD) vs non-demented controls. Quality of study 1 and 2 (clinical diagnosis).

Author Year Reference Country	Sample	Age (years)	Clinical criteria	SS
Lannfelt et al 1994 [49] Sweden	AD = 124 Co = 508	80+	NINCDS ADRDA DSM-III-R	0.73 0.38
van Duijn et al 1994 [50] The Netherlands	AD = 175 Co = 159	63 \pm 4	NINCDS ADRDA	0.53
Kukull et al 1996 [51] USA	AD = 234 Co = 304	80 \pm 7	DSM-III-R/IV	0.52
Myers et al 1996 [52] USA	AD = 43 Co = 962	80 \pm 6	NINCDS ADRDA	0.49
Tang et al 1996 [53] USA	AD = 305 Co = 485	65+	NINCDS ADRDA	0.36 0.47 0.34
Evans et al 1997 [54] USA	AD = 88 Co = 490	79 \pm 6	NINCDS ADRDA	0.23
Katzman et al 1997 [55] China	AD = 65 Co = 363	60–96	NINCDS ADRDA DSM-III-R	0.46
Sahota et al 1997 [56] USA	AD = 60 Co = 216	83 \pm 6	NINCDS ADRDA DSM-III-R ICD-10	0.50

SP	LR+	LR–	Quality of study	Comments
0.72 0.72	2.7 1.3	0.38 0.86	1a	Familial Sporadic
0.73	1.96	0.64	1a	
0.74	2.02	0.66	1a	
0.79	2.37	0.65	1a	
0.61 0.82 0.81	0.92 2.66 1.79	1.0 0.65 0.79	1a	Afro-American Caucasian Hispanic
0.84	1.70	0.92	1a	
0.80	2.62	0.68	1a	Asian
0.61	1.27	0.82	1a	Afro-American

The table continues on the next page

Table 16.3 *continued*

Author Year Reference Country	Sample	Age (years)	Clinical criteria	SS
Slooter et al 1997 [57] USA	AD = 70 Co = 507	80±7	NINCDS ADRDA DSMIV	0.39
Notkola et al 1998 [58] Finland	AD = 27 Co = 397	70–89	NINCDS ADRDA DSM-IV	0.45
Skoog et al 1998 [59] Sweden	AD = 52 Co = 303	85	NINCDS ADRDA	0.56
Slooter et al 1998 [60] The Netherlands	AD = 97 Co = 997	82±7	NINCDS ADRDA	0.53
Tang et al 1998 [61] USA	AD = 221 Co = 1 079	75±6	NINCDS ADRDA	0.34 0.30 0.27
Tilvis et al 1998 [62] Finland	AD = 41 Co = 474	75+	DSM-III-R	0.51
Devi et al 1999 [63] USA	AD = 106 Co = 220	78±7	NINCDS ADRDA	0.35
Tsuang et al 1999 [46] USA	AD = 94 Co = 38	80±7	NINCDS ADRDA DSM-III-R	0.49

SP	LR+	LR-	Quality of study	Comments
0.73	1.45	0.84	1a	
0.74	1.73	0.74	1a	
0.61	1.44	0.72	1a	
0.72	1.90	0.65	1a	
0.60 0.77 0.75	0.85 1.30 1.08	1.00 0.91 0.97	1a	Afro-American Caucasian Hispanic
0.76	2.13	0.64	1a	
0.73	1.30	0.89	1a	
0.84	3.06	0.61	1a	

The table continues on the next page

Table 16.3 *continued*

Author Year Reference Country	Sample	Age (years)	Clinical criteria	SS
Lilius et al 1999 [64] Sweden	AD = 94 Co = 176	80±5	DSM-III-R	0.47
Ganguli et al 2000 [65] USA/India	AD = 115 Co = 754 AD = 28 Co = 4 414	70+	NINCDS ADRDA DSM-III-R	0.28 0.29
Johnston et al 2000 [66] USA	AD = 102 Co = 375	80±5	NINCDS ADRDA DSM-III-R	0.28
Kardaun et al 2000 [67] USA/Honolulu	AD = 105 Co = 3 459	71–93	NINCDS ADRDA	0.31
Molero et al 2001 [68] Venezuela	AD = 121 Co = 1 165	78±9	NINCDS ADRDA	0.32
Bowirrat et al 2002 [22] Israel	AD = 98 Co = 173	60+	DSM-IV	0.05
Romas et al 2002 [69] Carribean	AD = 306 Co = 218		NINCDS ADRDA	0.53

SP	LR+	LR-	Quality of study	Comments
0.74	1.80	0.72	1a	
0.80	1.36	0.98	1a	USA
0.86	2.03	0.83		India
0.79	1.39	0.91	1a	
0.82	1.70	0.84	1a	Asian-American
0.80	1.60	0.85	1a	Hispanic
0.94	0.80	1.00	1a	Arab
0.61	1.40	0.77	1a	

The table continues on the next page

Table 16.3 *continued*

Author Year Reference Country	Sample	Age (years)	Clinical criteria	SS
Kukull et al 2002 [70] USA	AD = 151 Co = 215	65+	NINCDS ADRDA DSM-IV	0.41
Kim et al 2002 [26] Korea	AD = 104 Co = 52	76±6	NINCDS ADRDA	0.45
Chandak et al 2002 [71] India	AD = 49 Co = 100	40+	NINCDS ADRDA	0.35
Miech et al 2002 [72] USA	AD = 122 Co = 3 099	65+	NINCDS ADRDA DSM-III	0.43
Qui et al 2004 [73] Sweden	AD = 206 Co = 779	75+	DSM-III-R	0.35
Hsiung et al 2004 [74] Canada	AD = 140 Co = 582	82.7 75.6	NINCDS ADRDA DSM-III-R	0.36
Jobst et al 1997 [75] United Kingdom	AD = 80 Co = 105	57–100	NINCDS ADRDA DSM-III-R	0.70
Breitner et al 1998 [76] USA	AD = 37 Co = 344	62–73	NINCDS ADRDA	0.57

SP	LR+	LR–	Quality of study	Comments
0.67	1.30	0.88	1a	
0.92	5.60	0.60	1a	Asian
0.83	2.00	0.78	1a	Asian
0.70	1.40	0.81	1a	
0.83	2.10	0.50	1a	
0.80	1.80	0.56	1a	
0.60	1.75	0.50	1b	
0.73	2.10	0.59	1b	

The table continues on the next page

Table 16.3 *continued*

Author Year Reference Country	Sample	Age (years)	Clinical criteria	SS
Moceri et al 2001 [77] USA	AD = 200 Co = 237	60+	NINCDS ADRDA DSM-III-R/-IV	0.56
Stengard et al 1995 [78] Finland	AD = 27 Co = 353	70–89	DSM-III-R	0.44
Mullan et al 1996 [79] USA	AD = 107 Co = 248	74±10	NINCDS ADRDA	0.55
Bickeboller et al 1997 [80] France	AD = 417 Co = 1 030	50+	NINCDS ADRDA DSM-III-R	0.57
Quiroga et al 1999 [81] Chile	AD = 95 Co = 187	65+	NINCDS ADRDA DSM-IV ICD-10	0.62
Poirier et al 1993 [82] Canada	AD = 91 Co = 71	75±10	NINCDS ADRDA	0.63
Payami et al 1993 [83] USA	AD = 53 Co = 56	60+	NINCDS ADRDA	0.85
Ueki et al 1993 [23] Japan	AD = 42 Co = 96	76±8	DSM-III-R	0.60

SP	LR+	LR–	Quality of study	Comments
0.74	2.12	0.59	1b	
0.74	1.72	0.76	2a	
0.73	2.04	0.62	2a	
0.72	2.04	0.60	2a	
0.64	1.73	0.59	2a	Hispanic
0.78	2.86	0.47	2b	
0.71	2.93	0.21	2b	
0.90	5.74	0.44	2b	Asian

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Table 16.3 *continued*

Author Year Reference Country	Sample	Age (years)	Clinical criteria	SS
Dai et al 1994 [84] Japan	EOAD = 29 LOAD = 59 Co = 93	75±9	NINCDS ADRDA	0.72 0.49
Liddell et al 1994 [85] United Kingdom	AD = 86 Co = 77	74±10	NINCDS ADRDA	0.48
Tsai et al 1994 [86] USA	AD = 77 Co = 77	81±9	NINCDS ADRDA DSM-III-R	0.58
Nunomura et al 1996 [87] Japan	EOAD = 21 LOAD = 51 Co = 83	50–96	ICD-10	0.57 0.51
Tsuang et al 1996 [88] USA	AD = 55 Co = 99	71±7	NINCDS ADRDA	0.73
Yang et al 1996 [89] Australia	AD = 30 Co = 50		NINCDS ADRDA	0.87
Almeida et al 1997 [90] Brazil	AD = 55 Co = 56	45–89	NINCDS ADRDA	0.36
Kalman et al 1997 [91] Hungary	AD = 50 Co = 71	76±9	NINCDS ADRDA DSM-II-R	0.46

SP	LR+	LR- of study	Quality	Comments
0.82 0.82	4.00 2.73	0.34 0.62	2b	Asian
0.78	2.16	0.67	2b	
0.74	2.25	0.57	2b	
0.85 0.85	3.94 3.53	0.51 0.58	2b	Asian
0.70	2.40	0.39	2b	
0.80	4.33	0.16	2b	
0.82	2.03	0.78	2b	
0.87	3.54	0.62	2b	

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Table 16.3 *continued*

Author Year Reference Country	Sample	Age (years)	Clinical criteria	SS
Palumbo et al 1997 [24] Italy	EOAD = 32 LOAD = 64 Co = 40	50–88	NINCDS ADRDA	0.19 0.61
Wang et al 1997 [92] Taiwan	AD = 98 Co = 98	71±7	NINCDS ADRDA	0.34
Kowalska et al 1998 [25] Poland	EOAD = 25 LOAD = 39 Co = 43	30–94	NINCDS ADRDA	0.24 0.56
Lopez et al 1998 [93] USA Spain	AD = 66 Co = 49 AD = 209 Co = 58	75±9 73±8	NINCDS ADRDA	0.44 0.60
Bretsky et al 1999 [94] USA Canada	AD = 80 Co = 115	81±7	NINCDS ADRDA	0.71
Kim et al 1999 [95] Korea	AD = 110 Co = 226	50–85	NINCDS ADRDA	0.39
Lilius et al 1999 [64] Sweden	AD = 175 Co = 62	65±9	NINCDS ADRDA	0.74

SP	LR+	LR-	Quality of study	Comments
0.90 0.90	1.88 6.10	0.90 0.54	2b	
0.88	2.93	0.75	2b	
0.95 0.95	4.80 11.2	0.80 0.46	2b	
0.76 0.64	1.83 1.67	0.74 0.63	2b	Caucasian Hispanic
0.64	2.00	0.45	2b	
0.82	2.22	0.74	2b	Asian
0.69	2.42	0.38	2b	

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Table 16.3 *continued*

Author Year Reference Country	Sample	Age (years)	Clinical criteria	SS
Nakayama et al 1999 [96] Japan	EOAD = 33 LOAD = 25 Co = 1 090	48±10 72±6	NINCDS ADRDA	0.44 0.61
Scacchi et al 1999 [97] Italy	AD = 83 Co = 152	86±4	NINCDS ADRDA DSM-III-R	0.3
Traykov et al 1999 [98] France	AD = 155 Co = 51	79±6	NINCDS ADRDA	0.56
Siest et al 2000 [99] Italy	AD = 489 Co = 429	75±9	NINCDS ADRDA DSM-IV	0.54
Kim et al 2001 [100] Korea	EOAD = 45 LOAD = 65 Co = 239		NINCDS ADRDA DSM-IV	0.44 0.35
Rigaud et al 2001 [101] France	AD = 42 Co = 98	68±5 67±5	NINCDS ADRDA	0.57
Zill et al 2001 [102] Germany	AD = 89 Co = 118	73±9	NINCDS ADRDA	0.57
Yang et al 2001 [103] China	AD = 191 Co = 218	65+	NINCDS ADRDA	0.48

SP	LR+	LR-	Quality of study	Comments
0.86 0.86	2.06 4.21	0.65 0.45	2b	Asian
0.89	2.69	0.79	2b	
0.86	4.05	0.51	2b	
0.77	2.40	0.60	2b	
0.82 0.82	2.52 1.44	0.68 0.92	2b	Asian
0.71	2.00	0.61	2b	
0.71	1.99	0.61	2b	
0.79	2.31	0.66	2b	Asian

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Table 16.3 *continued*

Author Year Reference Country	Sample	Age (years)	Clinical criteria	SS
Huang et al 2002 [104] Taiwan	AD = 99 Co = 96		NINCDS ADRDA	0.32
Graff-Radford et al 2002 [20] USA	AD = 338 Co = 301		NINCDS ADRDA	0.65
Frank et al 2002 [105] Spain	AD = 83 Co = 97		NINCDS ADRDA	0.54
Solfrizzi et al 2002 [106] Italy	AD = 61 Co = 63	68±7	NINCDS ADRDA	0.30
Panza et al 2003 [107] Italy	AD = 49 Co = 45	72±9	NINCDS ADRDA	0.31
Hawi et al 2003 [108] Ireland	AD = 110 Co = 217	65+	NINCDS ADRDA	0.65
Styczynska et al 2003 [109] Poland	AD = 100 Co = 100	70±7 74±7	NINCDS ADRDA	0.58

	SP	LR+	LR–	Quality of study	Comments
	0.84	2.00	0.81	2b	Asian
	0.67	2.00	0.52	2b	Afro-American
	0.87	4.20	0.53	2b	Hispanic
	0.87	2.40	0.80	2b	
	0.85	2.00	0.81	2b	
	0.65	1.90	0.54	2b	
	0.79	2.80	0.36	2b	

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Table 16.3 *continued*

Author Year Reference Country	Sample	Age (years)	Clinical criteria	SS
Souza et al 2003 [110] Brazil	AD = 68 Co = 58	65–82	NINCDS ADRDA	0.46
Wehr et al 2003 [111] Poland	AD = 29 Co = 41	48–83	NINCDS ADRDA DSM-IV	0.48
Finckh et al 2003 [112] Germany	AD = 347 Co = 291	74±9 68±12	NINCDS ADRDA	0.60
Feldman et al 2003 [113] Canada	AD = 290 Co = 65	73.1+9 61.3+12	DSM-III-R	0.62
Borroni et al 2004 [114] Italy	AD = 157 Co = 134	72.2±8	NINCDS ADRDA	0.41
Luthra et al 2004 [115] India	AD = 29 Co = 76	66.6±9 63,2±10	NINCDS ADRDA	0.52

AD = Alzheimer's disease; Co = Non-demented controls; EOAD = Early onset Alzheimer's disease; LOAD = Late onset Alzheimer's disease; LR+ = Likelihood ratio; SP = Specificity; SS = Sensitivity

SP	LR+	LR–	Quality of study	Comments
0.79	2.20	0.45	2b	
0.83	2.80	0.35	2b	
0.75	2.40	0.42	2b	
0.75	2.50	0.40	2b	
0.84	2.60	0.70	2b	
0.83	3.10	0.33	2b	Asian

Table 16.4 ApoE $\epsilon 4$ (≥ 1 $\epsilon 4$) as a diagnostic marker. Alzheimer's disease vs other dementias.

Author Year Reference Country	Sample	Age (years)	Clinical criteria	Path criteria
Polvikoski et al 2001 [21] Finland	AD = 41 OD = 47	85+	NINCDS ADRDA	CERAD
Welsh-Bohmer et al 1997 [116] USA	AD = 139 OD = 23	77 \pm 8	NINCDS ADRDA	CERAD
Mayeux et al 1998 [12] USA	AD = 1 770 OD = 418	72 \pm 10	NINCDS ADRDA DSM-III-R	CERAD Khachatu
Rosenberg et al 2001 [27] USA	AD = 181 LBD = 81	77 at death		CERAD Braak
Singleton et al 2002 [28] United Kingdom	AD = 194 LBD = 76	41+	NINCDS ADRDA Newcastle	>5 SP >1 NFT
Nielsen et al 2003 [48] Denmark	AD = 320 VaD = 163		DSM-III-R	CERAD Braak
Myers et al 1996 [52] USA	AD = 43 OD = 25	80 \pm 6	NINCDS ADRDA DSM-III	

SS	SP	LR+	LR–	Quality of study	Comments
0.47	0.59	1.16	0.90	Ia	
0.83	0.83	4.88	0.20	Ib	
0.65	0.68	2.03	0.51	IIb	CERAD used in some cases
0.63	0.67	1.90	0.55	IIb	
0.58	0.40	1.00	1.00	Ib	
0.65	0.70	2.20	0.46	IIb	
0.49	0.64	1.36	0.80	1a	

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Table 16.4 *continued*

Author Year Reference Country	Sample	Age (years)	Clinical criteria	Path criteria
Katzman et al 1997 [55] China	AD = 65 VaD = 27	60–96	NINCDS ADRDA DSM-III-R	
Slooter et al 1997 [57] USA	AD = 70 VaD = 90	80±7	NINCDS ADRDA NINDS-AIREN	
Notkola et al 1998 [58] Finland	AD = 27 VaD = 20	70–89	DSM-III-R	
Skoog et al 1998 [59] Sweden	AD = 52 MID = 34	85	DSM-III-R	
Tilvis et al 1998 [62] Finland	AD = 41 VaD = 35	75+	DSM-III-R	
Molero et al 2001 [68] Venezuela	AD = 121 VaD = 34	78±9	NINCDS ADRDA ADDTC	
Hsiung et al 2004 [74] Canada	AD = 140 VaD = 51	82.7 77	NINCDS ADRDA DSM-III-R	
Kalman et al 1997 [91] Hungary	AD = 50 OD = 60	76±9	NINCDS ADRDA DSM-III-R	

SS	SP	LR+	LR–	Quality of study	Comments
0.46	0.67	1.38	0.81	1a	
0.39	0.64	1.08	0.95	1a	
0.45	0.65	1.35	0.85	1a	
0.56	0.50	1.12	0.88	1a	
0.51	0.66	1.50	0.74	1a	
0.32	0.88	2.75	0.77	1a	
0.36	0.57	0.80	1.20	1a	
0.46	0.82	2.56	0.66	2b	

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Table 16.4 *continued*

Author Year Reference Country	Sample	Age (years)	Clinical criteria	Path criteria
Nakayama et al 1999 [96] Japan	AD = 55 VaD = 45	72±10	NINCDS ADRDA NINDS-AIREN	
Traykov et al 1999 [98] France	AD = 155 VaD = 21	79±6	NINCDS ADRDA NINDS-AIREN	
Yang et al 2001 [103] China	AD = 191 VaD = 124	65+	NINCDS ADRDA NINDS-AIREN	
Traykov et al 2002 [117] France	AD = 219 VaD = 45	78±6	DSM-IV NINDS-AIREN	
Huang et al 2002 [104] Taiwan	AD = 99 VaD = 70		NINCDS ADRDA DSM-IV	
Huang et al 2002 [104] Taiwan	AD = 99 OD = 23		NINCDS ADRDA DSM-IV	
Frank et al 2002 [105] Spain	AD = 83 VaD = 26		NINCDS ADRDA ICD-10	
Wehr et al 2003 [111] Poland	AD = 29 VaD = 46	48–83	DSM-IV	

SS	SP	LR+	LR-	Quality of study	Comments
0.53	0.76	1.67	0.62	2b	
0.56	0.76	2.33	0.58	2b	
0.48	0.71	1.40	0.73	2b	
0.54	0.80	2.70	0.58	2b	
0.32	0.82	1.70	0.83	2b	
0.32	0.88	2.70	0.77	2b	
0.54	0.85	3.60	0.54	2b	
0.48	0.78	2.20	0.46	2b	

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Table 16.4 *continued*

Author Year Reference Country	Sample	Age (years)	Clinical criteria	Path criteria
Engelborghs et al 2003 [118] Belgium	AD = 504 Co = 189	78.9±8 58.8±17	NINCDS ADRDA DSM-IV	
Feldman et al 2003 [113] Canada	AD = 290 OD = 70	73.1±9	DSM-III-R	
Luthra et al 2004 [115] India	AD = 29 VaD = 25	66.6±9 65.3±10	NINDS AIREN	

AD = Alzheimer's disease; LBD = Lewy body dementia; LR = Likelihood ratio; MID = Multiinfarct dementia; OD = Other dementias; SP = Specificity; SS = Sensitivity; VaD = Vascular dementia

SS	SP	LR+	LR-	Quality of study	Comments
0.56	0.57	1.30	0.77	2b	
0.62	0.49	1.20	0.79	2b	
0.52	0.56	1.20	0.85	2b	Asian

Table 16.5 ApoE $\epsilon 4$ (≥ 1 $\epsilon 4$) as a diagnostic marker. Alzheimer's disease vs MCI.

Author Year Reference Country	Sample	Age (years)	Clinical criteria
Katzman et al 1997 [55] China	AD = 65 QD = 72	60–96	NINCDS ADRDA DSM-III-R
Bowirrat et al 2002 [22] Israel	AD = 92 AAMI = 136	60+	DSM-IV
Hsiung et al 2004 [74] Canada	AD = 140 CIND = 337	82.7 75.6	NINCDS ADRDA DSM-III-R
Traykov et al 1999 [98] France	AD = 155 MCI = 45	79 \pm 6	NINCDS ADRDA Mayo
Zill et al 2001 [102] Germany	AD = 89 MCI = 32	73 \pm 9	NINCDS ADRDA Mayo
Traykov et al 2002 [117] France	AD = 219 MCI = 45	79 \pm 7	NINCDS ADRDA Mayo

SS	SP	LR+	LR-	Quality of study
0.46	0.80	2.36	0.68	1a
0.05	0.93	0.70	1.00	1a
0.36	0.77	1.60	0.64	1a
0.56	0.49	1.14	0.90	2b
0.57	0.44	1.02	0.98	2b
0.54	0.61	1.40	0.75	2b

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Table 16.5 *continued*

Author Year Reference Country	Sample	Age (years)	Clinical criteria
Engelborghs et al 2003 [118] Belgium	AD = 504 MCI = 44	78.9±8 73.7±8	NINCDS ADRDA DSM-IV
Feldman et al 2003 [113] Canada	AD = 290 CIND = 145	73.1±9	DSM-III-R

AAMI = Age Associated Memory Impairment; AD = Alzheimer's disease; CIND = Cognitive impairment, no dementia; LR+ = Likelihood ratio; MCI = Mild cognitive impairment; QD = Questionable dementia; SP = Specificity; SS = Sensitivity

SS	SP	LR+	LR-	Quality of study
0.56	0.39	0.90	1.10	2b
0.62	0.52	1.30	0.77	2b

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17. Structural and Functional Imaging of the Brain in Dementia Workup

Conclusions

There is strong evidence (Evidence Grade 1) that atrophy of the medial temporal lobe structures (whole medial temporal lobe, hippocampus, enthorinal cortex), estimated by means of MRI/CT, contributes to a diagnostic workup that differentiates AD (Alzheimer's disease) patients from controls and AD from other dementia disorders (Tables 17.1–17.2).

The conclusion is based on studies that used clinical diagnosis as the gold standard. No studies were included that used histopathology as the gold standard.

The majority of studies were based on degree of quality 2b, reflecting populations at memory clinics, usually at university hospitals. There were no studies that could be referred to a general practitioner setting – of the 3 studies of quality 1a [1–3], 1 was population based [3] and the other 2 were at university hospitals with heterogeneous study populations. Two of these studies investigated AD patients vs controls and 2 investigated patients with other dementia disorders vs controls. Both comparisons resulted in high LR+ values.

We cannot generalize these findings into a general practice setting, given that we found no studies that used medial temporal lobe atrophy or any other brain structure as a diagnostic method in such a setting.

For diagnostic workups performed in specialized settings, evaluating atrophy of medial temporal lobe structures contributed to diagnostic reliability.

Although we included more recent high-quality studies, our results do not differ from EBDP [4,5].

Introduction

This evaluation has investigated Computed Tomography (CT), Magnetic Resonance Imaging (MRI), Single Photon Computed Tomography (SPECT), Positron Emission Tomography (PET) and Electro Encephalography (EEG) as diagnostic methods for the detection and differentiation of dementia disorders. The structural imaging methods (CT and MRI) are used to find secondary disorders, such as tumors, subdural hematomas and normal pressure hydrocephalus. That has not been addressed in the evaluation, since it is obvious that CT and MRI are effective in detecting those diseases and are recommended by the American Association of Neurology.

Search strategy

Medline 1980–2004 (July)

Dementia/radionuclide imaging
Dementia AND Diagnostic Imaging
Dementia AND Electroencephalography
AND
Comparative Study
Sensitivity and Specificity
Sensitivity [Text Word]
Specificity [Text Word]
Accuracy [Text Word]
AND Human
 >18 years

NOT Case Report
Editorial [Publication Type]
Letter [Publication Type]
Review [Publication Type]
HIV [Text Word]

434 hits were found.

Structural imaging

Results

After the screening procedure, we selected 36 articles concerning MRI or CT. Papers were excluded due to:

- inclusion of too few subjects
- insufficient description of the investigation procedure or diagnostic criteria
- insufficient description of statistical methods or
- irrelevance.

Generally, the papers presented a limited number of cases and focused largely on AD patients versus controls. Few studies proceeded from a longitudinal prospective. Most of the brain structures studied were part of the medial temporal lobe. That is most evident starting in 1990, probably reflecting the increased use of MRI, which allows for better visualization of the medial temporal lobes than computer tomography. During the “CT era” the size of lateral ventricles and other CSF spaces were most common studied structure. Diagnostic parameters are clustered around the medial temporal lobe.

No paper was found that addressed white matter changes or infarcts as diagnostic tools.

Studies on very early cases of AD, such as “mild cognitive impairment”, have been published recently [6–14]. The main focus in those papers is on studying the accuracy of imaging for detecting AD cases in the large

heterogeneous group of mild cognitive impairment subjects (conversion to dementia).

The majority of papers investigated hospital populations, and only two studies took a Population based approach [15,16].

As mentioned earlier, many of the papers compared AD patients with controls. That is a limitation in clinical practice. A method designed to differentiate between common dementia disorders (such as frontotemporal dementia, Lewy Body Dementia LBD or VaD) and AD is more valuable. Several studies addressed this issue [17–25].

However, only a limited number of research groups contributed to the scientific literature, and several authors presented more than one paper. Whether or not the same population was used in the different studies is unknown.

One paper concerned diseases other than AD – Zidler et al studied Creutzfeldt-Jakob disease and a variant of Creutzfeldt-Jakob disease and the pulvinar sign [26]. This sign is found on MRI and yields a specificity value of 1.0 with an infinite positive likelihood ratio. One study used magnetic resonance spectroscopy as a diagnostic tool [25].

The question of workup bias (unreliable results due to the results of the imaging method having influenced the diagnostic procedure) was taken into consideration. Very few studies explicitly stated that the method was evaluated independently of the imaging results [14,17]. Given that a structural image is used routinely in clinical workup, we assumed a high risk of dependence between study results and diagnostic procedure.

We calculated the mean LR+ and LR– values and tabulated LR+ according to degree of quality (Table 17.1).

One problem with LR+ values is the dependency on sensitivity and specificity figures yielded by the test's cut-off values. Cut-off values were rarely stated along with sensitivity and specificity values. Thus, the influence of various cut-off values is not known.

Table 17.1 MR-CT. Distribution of articles according to degree of quality.

Degree of quality	Not fulfilling basal evidence criteria	Reference	Fulfilling basal evidence criteria*	Reference
Ia	2	[15,16]	–	
Ib	–		1	[27]
IIa	–		–	
IIb	–		1	[26 ⁺]
1a	–		3	[14,17,28]
1b	2	[8,21]	3	[6,7,29 ⁺⁺]
2a	5	[19,20,22,23,25]	3	[18,25,30]
2b	5	[9,10,12,24,31]	11	[11,32–41]

* Sensitivity >80%; specificity >80% LR+ ≥5.

⁺ CJD (Creutzfeldt-Jakob disease) – “pulvinal sign”.

⁺⁺ Magnetic resonance spectroscopy.

Table 17.2 CT/MRI studies of brain morphology.

Author Year Reference Country	Method	Sample (n)	Age	Population/ selection	Diagnostic criteria	Global cognitive function
Barber et al 1999 [23] United Kingdom	MRI	26 DLB 28 AD 24 VaD 26 C	76 77 77 76	Hospital referrals	DSM-IV NINDS-AIREN NINCDS LBD	13 15 18 28
Bigler et al 2001 [28] USA	MRI	175 mixed dementia 375 controls	65–99 68–87	Population based, random selection “Cache County”		– –
Bottino et al 2002 [13] Brazil	MRI	39 AD 21 MCI 20 C	73 69 69	Hospital referrals	DSM-III-R ICD-10	20 26 29
de Leon et al 1993 [7] USA	MRI	54 C 32 MCI	70 71	Research, longi- tudinal prospective Follow-up: 4 years	NINCDS	– –
DeCarli et al 1995 [37] USA	MRI	31 AD 29 C	69 68	Hospital referrals	NINCDS	20 – ESD
Denihan et al 2000 [20] Ireland	MRI	60 AD 17 VaD 14 depression 9 paraphrenia	74 78 73 74	Referrals from psychiatric and memory clinics	NINCDS (AD) ADDTC (VaD) DSM-IV (Depression and paraphrenia)	19 20 25 25
Du et al 2003 [40] USA	MRI	21 AD 23 C	74 76	Hospital referrals Follow-up: 2 years	NINCDS ADRDA	22 29

Work up bias	SS	SP	LR+	LR–	Comparison	Structure	Quality of study
No info	0.38 0.28	1.00 0.88	– 2.3	0.62 0.81	DLB-AD DLB-VaD	Visual MTA rating	2a
No info	0.95 0.91 0.91 0.86	0.96 0.94 0.90 0.87	23 11 10 6.6	0.05 0.09 0.09 0.16	OD-C OD-C OD-C OD-C	Whole brainvolume Ventricle/brain Temporalthorn/brain Hippocampus/brain	1a
No info	0.90 0.83 0.81	0.85 0.71 0.80	6 3 4	0.11 0.24 0.23	AD-C AD-MCI MCI-C	Hippocampus Amygdala	2b
No info	0.91	0.89	8.3	0.10	AD-C	Visual rating of hippocampal formation	1b
No info	0.87	0.83	5.1	0.16	AD-C	Temporal lobes Visually rated atrophy	2b 2b
No info	0.75 0.61	0.90 0.91	7.5 6.7	0.28 0.42	AD-other Mild AD-other	Minimal distance of MTL	2a
No info	0.78 0.86	0.76 0.76	3.3 3.6	0.28 0.18	AD-C	Entorhinal cortex Rate of atrophy	2a

The table continues on the next page

Table 17.2 *continued*

Author Year Reference Country	Method	Sample (n)	Age	Population/ selection	Diagnostic criteria	Global cognitive function
El Fakhri et al 2003 [11] USA	MRI	56 MCI 26 AD	72 73	Longitudinal prospective 2–3 years	NINCDS ADRDA	CDR 0.5 MMSE 29 CDR 5.25
Erkinjuntti et al 1993 [31] Finland	MRI	34 AD 39 C	70 70	Hospital referrals	NINCDS DSM-III-R	193 245
Frisoni et al 1996 [35] Italy	MRI	44 AD 31 C	71 70	Hospital referrals spouses	NINCDS	18 29
Frisoni et al 2002 [38] Italy	MRI	42 AD 29 C	76 70	Hospital referrals	NINCDS Path	21 27
Gao et al 2004 [41] Canada	MRI	41 C 49 AD	71 70	Hospital referred	NINCDS- ADRDA	28 18
Golebiowski et al 1999 [36] Poland	MRI	50 AD 25 C	67 65	Hospital referrals	NINCDS	20 25
Gosche et al 2002 [27] USA	MRI	24 AD 32 C	90 88	“Nun study”	Khachaturian	MMSE 10 25

Work up bias	SS	SP	LR+	LR–	Comparison	Structure	Quality of study
No info	0.80	0.90	8	0.22	MCI-AD predictiv value	Combinded of atrophy in limbic system	1b
No info	0.60 0.80 0.60 0.35	0.96 0.82 0.87 0.92	20 4 4.6 4.4	0.41 0.24 0.46 0.70	AD-C	EC TH HC	
No info	0.86 0.81	0.95 0.95	20 16	0.15 0.20	Mild AD-C AD-C	Combinded measure of linear measure- ments of medial temporal lobe	2b
No info	0.93	0.97	13	0.07	AD-C	Radial width of the temporal horn	2b
No info	0.86 0.84 0.84	0.93 0.81 0.76	11.7 4.3 3.4	0.15 0.19 0.21	TMTL HC AD-C		2b
No info	0.95	0.92	12	0.05	AD-C	Hippocampus volume	2b
No info	0.83	0.80	6.3	0.21	AD-C	Hippocampus	1b

The table continues on the next page

Table 17.2 *continued*

Author Year Reference Country	Method	Sample (n)	Age	Population/ selection	Diagnostic criteria	Global cognitive function
Jobst et al 1998 [15] United Kingdom	CT	44 AD and 10 non-AD drawn from 167 patients and 75 C	57– 94	General practi- tioners and hospital referrals OPTIMA study	Khachaturian criteria	–
Juottonen et al 1998 [34] Finland	MRI	30 AD 32 C	70 72	Hospital referrals	NINCDS- ADRDA	20 28
Kantarci et al 2002 [12] USA	MRI	61 C 24 MCI 22 AD	80 82 79	Hospital referred	DSM-III-R NINCDS ADRDA	MMSE 29 28 26
Killiany et al 2002 [6] USA		136 16 mild AD 21 converters to AD 73 question- able (MCI) 28 controls	70 74 72 72	Prospective, longi- tudinal study. Follow-up: 3 years. Recruited thorough advertisement	DSM-IV NINCDS	MMSE 24 29 29 –
Kitagaki et al 1997 [24] Japan	MRI	22 FTD 22 AD 16 NC	65.3 65 63.5	Hospital referrals	NINCDS Lund- Manchester	17 18
Koslow et al 1992 [39] France	CT	58 AD 59 C	68	Clinic/research	NINCDS	MMSE 19 29
Laakso et al 2000 [32] Finland	MRI	57 AD 34 C	70 72	Hospital referrals	NINCDS	MMSE 22 28

Work up bias	SS	SP	LR+	LR–	Comparison	Structure	Quality of study
No info	0.85	0.78	4	0.19	AD-C	Min dist of MTL cut-off 0.79	1a
No info	0.80 0.80	0.91 0.94	13 9	0.21 0.21	AD-C	EC Hippocampus	2b
No info	0.79 0.86 0.75	0.80 0.80 0.80	4 4.3 2.5	0.26 0.17 0.27	AD-C MCI-C MCI-AD	Hippocampus	2b
No info	0.82 0.76	0.94 0.76	13 3	0.50 0.91	C-“MCI” C-AD	Entohrinal cortex Hippocampus	1b
No info	0.86 0.86 0.64 0.64	0.94 0.73 1.00 0.96	– – 16	0.26 0.38	FTD-C FTD-AD FTD-C FTD-AD	T2-frontal WMH T2-frontal WMH Frontal atrophy Frontal atrophy	2b
No info	0.83	0.30	5.9	0.22	AD-C	Combination of CT measurement	2b
No info	0.86 0.83	0.85 0.94	6 13	0.16 0.18	AD-C	Right Hippocampus Left Hippocampus	2b

The table continues on the next page

Table 17.2 *continued*

Author Year Reference Country	Method	Sample (n)	Age	Population/ selection	Diagnostic criteria	Global cognitive function
Laakso et al 1998 [30] Finland	MRI	55 AD	70	Hospital referrals. Random selection from population based study	NINCDS	22
		44 AAMI	70			28
		42 C	72			28
Laakso et al 1995 [9] Finland	MRI	54 AD	70	Hospital referrals	NINCSD NIH-criteria Spouses	22
		40 AAMI	70			27
		27 C	71			28
Laakso et al 1995 [10] Finland	1995	54 AD	70	Clinic, research	NINCDS- ADRDA	22
		38 AAMI	70			23
		(MCI)				28
		34 C old	72			
		20 C young	29			
Lavenu et al 1997 [19] France	MRI	77 AD	72	Hospital referrals	NINCDS	19
		48 other dementias	71			22
O'Brien et al 2001 [29] United Kingdom	MRI SPECT	30 AD	71	Hospital referrals Follow-up: 3 years spouses	NINCDS	8
		22 C	72			28
O'Brien et al 2000 [22] United Kingdom	MRI	69 AD	80	Hospital referrals	NINCDS NINDS-AIREN DLB DSM-IV	16
		25 VaD	77			20
		9 DLB	81			13
		13 depression	76			26
O'Brien et al 1997 [18] United Kingdom	MRI	77 AD	71	Consecutive referrals/research Mixed controls	NINCDS	MMSE
		61 major depression	71			17
		44 other	69			25
		40 C	?			24
						28

Work up bias	SS	SP	LR+	LR–	Comparison	Structure	Quality of study
	0.84	0.90	12	0.17	AD-C AD-OD	Hippocampus	2a
No info	0.37	0.72	1.3	0.90	AD-OD	Interuncal distance	2b
No info	0.76	0.72	2.7	0.33	AD-C	Amygdala	2b
No info	0.77 0.34	0.93 0.93	11 6	0.24 0.68	MMT <18 MMT >18	Combination of medial temp lobe atrophy and reduced rCBFin par/temp area	2a
No info	0.93 0.77	0.86 0.82	7 4	0.08 0.28	AD-C	SPECT+MR SPECT	1b
No info	0.51 0.56 0.54	0.72 0.32 0.72	2 1 1	0.68 1.37 0.64	AD-Depr AD-DLB AD-VaD	Minimal of MTL width	2a
No info	0.83 0.83 0.83	0.88 0.97 0.98	7 27 41.5	0.19 0.17 0.17	AD-C AD-MD AD-OD	Visual rating of different temp lobe structures	2a

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Table 17.2 *continued*

Author Year Reference Country	Method	Sample (n)	Age	Population/ selection	Diagnostic criteria	Global cognitive function
O'Brien et al 1994 [33] United Kingdom	MRI	43 AD 32 major depression	70 71	Consecutive referrals	DSM-III-R	MMSE 15 26 MMSE
Pennanen et al 2004 [14] Finland	MRI	48 AD 65 MCI 59 C	71 72 72	Population based cohort	DSM-IV NINCDS- ADRDA Petersen criteria for MCI	21 24 27
Rossi et al 2004 [16] United Kingdom/Italy	CT	20 AD 23 C	75 35	OPTIMA study	CERAD	15 28
Shonk et al 1995 [25] USA	MRS	65 AD 39 OD 20 C	73 75 71	Research, recruited from a clinical trial	NINCDS- NINDS-AIREN OD research crit	— — —
Varma et al 2002 [21] United Kingdom	MRI	23 AD 21 FTD 20 VaD	63 63 60	Hospital referrals Follow-up: 3 years	NINCDS NINDS-AIREN Lund- Manchester	18 21 21
Wahlund et al 2000 [17] Sweden	MRI	41 AD 35 OD 66 C	63 69 68	Hospital referrals Follow-up: 6 months	DSM-IV-R NINCDS NINDS-AIREN Lund- Manchester	MMSE 18 21 28

Work up bias	SS	SP	LR+	LR–	Comparison	Structure	Quality of study
No info No info	0.93 0.81	0.84 0.94	3.5 13.5	0.08 0.20	AD-MD	Visually rated: Ant HC Amygdala EC Cerebr cortex	2b
No bias	0.60 0.88 0.81	0.71 0.93 0.83	2 17 5	0.84 0.13 0.23	MCI-C AD-C AD-MCI	EC HC + EC HC	1a
No info	0.80	0.83	4.7	0.24	AD-C	rWTH	1a
No info	0.83 0.82	0.95 0.64	16.6 2.3	0.18 0.28	AD-C AD-OD	MRS MI/Cr	2a
No info	0.71 0.71	0.93 0.76	10 3	0.31 0.38	FTD-non-FTD FTD-non-FTD	Frontal atrophy or Asymetry. Parietal atrophy and rCBF	1b
No bias	0.88 0.93 0.78 0.82 0.68 0.78	0.96 0.98 0.96 0.95 0.53 0.64	22 46 19 16 1.5 2.5	0.13 0.07 0.22 0.19 0.60 0.34	AD-C OD-C OD-AD	Volumetry MTL Visual rating MTA Volumetry MTL Visual rating MTA Volumetry MTL Visual rating MTA	2b

The table continues on the next page

Table 17.2 *continued*

Author Year Reference Country	Method	Sample (n)	Age	Population/ selection	Diagnostic criteria	Global cognitive function
Xu et al 2000 [8] USA	MRI	30 C 30 MCI 30 AD	79 78 79	Recruited from prospective study, MCI	DSM-III-R NINCDS Peterson criteria	MMSE 28 25 20
Zeidler et al 2000 [26] Germany	MR	CJD	30	Hospital referrals	Criteria for CJD and Variant CJD	–

AAMI = Age Associated Memory Impairment; AD = Alzheimer's disease; C = Control; CDR = Clinical dementia rating; CJD = Creutzfeldt-Jakob disease; CR = Creatinine; CT = Computerized tomography; DLB = Dementia with Lewy body; EC = Entorhinal cortex; FTD = Frontotemporal dementia; HC = Hippocampus; MCI = Mild cognitive impairment; MD = Manic-depressiv disorder; MI = Myo-inositol; MMSE = Mini-mental state examination; MMT = Mini mental test; MRI = Magnetic resonance imaging; MRS = Magnetic resonance spectroscopy; MTA = Medral temporal lobe atrophy; MTL = Medral temporal lobe; NC = Normal controls; OD = Other dementias; rCBF = Regional cerebral blood flow; rWTH = Radical width of temporal horn; TH = Temporal horn; TMTL = Total medral temporal lobe; VaD = Vascular dementia; WMH = White matter MR

Work up bias	SS	SP	LR+	LR–	Comparison	Structure	Quality of study
No info	0.63	0.8	3	0.46	C-MCI	} Hippocampus	1b
	0.8	0.8	4	0.25			
	0.6	0.8	3	0.46	C-AD		
	0.6	0.8	3	0.50	AD-MCI		
	0.76	0.8	4	0.30		} Entorhinal cortex	
	0.6	0.8	3	0.50			
					C-MCI		
					C-AD		
					AD-MCI		
0.78	1	0	0.78	Variant CJD-C	“Pulvinal sign”	IIb	

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18. Functional Imaging's Diagnostic Tool in Dementia Workup Search Strategies

Conclusions

There is moderately strong evidence that SPECT/PET helps the diagnostic workup differentiate AD (Alzheimer's disease) patients from controls and AD from non-AD dementia (Evidence Grade 2).

From this evaluation, it is not obvious that PET is superior to SPECT in differentiating AD patients from controls or AD from other dementia disorders. The likelihood ratios were similar regardless of whether PET (using glucose metabolism) or SPECT was employed.

Our results are in agreement with those in AAN's guidelines, as well as Jagust et al in "Evidence-Based Dementia Practice" [1].

Moreover, a systematic review by Dougall et al calculated the LR+ as 7 for the comparison of AD patients to controls using SPECT and reduction of blood flow in parietotemporal areas. Our results are in the same neighborhood.

Introduction

The American Academy of Neurology has suggested that the use of functional imaging (SPECT and PET in this evaluation) in dementia workup is not to be recommended as part of routine evaluation (guideline). Nevertheless, it is often used, particularly in specialized memory clinics and as a complement to structural imaging in difficult differential diagnostic evaluations.

From the original 434 articles, 24 were selected. The reasons for exclusion were:

- too few subjects
- lack of information concerning diagnostic procedure or selection of the population or
- lack of information concerning ratio calculations.

By functional imaging we mean regional blood flow (rCBF) and glucose metabolism (GLU met) performed with either SPECT (HMPAO) Xenon SPECT or PET. Several areas of the brain were studied, mainly rCBF and GLU met in the temporal and parietal cortex. Sometimes other areas, such as posterior gyrus cinguli, were reported. A reduction in blood flow or glucose metabolism in parietotemporal areas was the most common diagnostic criterion. A cut-off value of 2 or 3 standard deviations below the age norm was usually presented. The most common comparisons between diagnostic groups were: AD patients vs controls and AD vs non-AD dementia. For the sake of simplicity, the following diagnostic groups comprised non-AD dementia: VaD, Lewy Body dementia, frontotemporal dementia and certain other rare diseases.

Search strategy

Medline 1980–2004 (July)

Dementia/radionuclide imaging
Dementia AND Diagnostic Imaging
Dementia AND Electroencephalography
AND
Comparative Study
Sensitivity and Specificity
Sensitivity [Text Word]
Specificity [Text Word]
Accuracy [Text Word]

AND	Human
	>18 years
NOT	Case Report
	Editorial [Publication Type]
	Letter [Publication Type]
	Review [Publication Type]
	HIV [Text Word]

434 hits were found.

Results

Ten studies compared AD patients to controls [2–11] and 12 studies compared AD to non-AD dementia [5,12–22]. Two studies with a likelihood ratio of 2.6 [15,16] compared AD to MCI and 1 study with a likelihood ratio of 4 compared patients with frontotemporal dementia to controls. The majority of studies were classified as having a quality of 2b. Five studies were reported with histopathological verification Ia, IIb. There were no major difference in the likelihood ratio between studies with histopathological verification and those with clinical diagnosis as the gold standard. The evidence is based on studies with quality 2b from memory clinic settings. Only 1 study reported results from a Population based setting [19]. There were no studies from general practice settings. As is the case with structural imaging, caution must be exercised when interpreting LR+ values. Workup bias: 6 studies stated explicitly that there was no workup bias [3,6,11,20,22,24]. The other studies did not provide any information in that regard.

Table 18.1 SPECT/PET. Distribution of articles according to degree of quality.

Study quality	Not fulfilling	Reference	Fulfilling basal evidence criteria*	Reference
Ia	—		1	[19]
Ib	1	[24]	—	
IIa	—		—	
IIb	3	[4,21,23]	—	
1a	3	[3,13,16]	—	
1b	—		2	[8,9]
2a	1	[20]	3	[7,12,22]
2b	5	[5,14,15,18,25]	5	[2,6,10,11,17]

* Specificity >0.8, Sensitivity >0.8, LR+ \geq 5.

Table 18.2 Functional imaging (SPECT/PET).

Author Year Reference Country	Type of functional imaging	Population/ selection	Sample (n)	Age	Clinical criteria	Path criteria
Azari et al 1993 [2] USA	PET	Referred to university hospital	19 probable AD 22 controls	52–81 53–75	NINCDS- ADRDA	
Bergman et al 1997 [16] Canada	SPECT	Referred to university hospital Follow-up 6–2 months	58 AD 17 VaD 25 CIND/MCI 20 controls	76 79 73 68	NINCDS- ADRDA	
Bonte et al 1997 [4] Belgium	SPECT	Referred to university hospital	54 AD 29 controls	58–80 55–87	–	Yes (54 patients) Histo- pathology
Claus et al 1994 [7] The Netherlands	SPECT	Referred to hospital	48 AD 60 controls	72 74	NINCDS- ADRDA	
El Fakhri et al 2003 [9] USA	SPECT	Prospective long hospital based	83 MCI 27 AD	72 73	NINCDS- ADRDA	

Global cognitive function	Study design	Workup bias	SS	SP	LR+	LR-	Comments	Quality of study
AD: MMSE = 20 Subject at risk: MMSE = 28	Cross-sectional for AD and controls	No info	0.89 0.80 –	0.86 0.81	6.3 4.2	0.13 0.25	AD vs controls: – Frontal-parietal – Other areas	2b
MMSE = 22 MMSE = 22.4 MMSE = 27 Controls: MMSE = 29	Cross-sectional	No info	0.21 0.29 0.55	0.80 0.75 0.65	1.0 1.1 1.2		AD vs controls: B pattern as positive B or C as positive B or C or D as positive (B: lateral posterior temporal and/or parietal cortex defects. C: bilateral posterior temporal and/or parietal cortex defects with additional defects. D: unilateral posterior temporal and/or parietal cortex defects with or without additional defects)	1a
	Cross-sectional	No info	0.86	0.73	3.1	0.19	AD vs controls Posterior regions	11b
AD: MMSE = 20 Controls: MMSE = 28	Cross-sectional	No info	0.42 0.56 0.79	0.9 0.9 0.9	4.2 5.6 7.9	0.64 0.48 0.23	Temporal rCBF Mild AD Moderate AD-C Severe AD-C	2a
MMSE 29 CDR 0.5 5.25		No info	0.8	0.8	5.0	0.25	AD-nAD comb of areas	1b

The table continues on the next page

Table 18.2 *continued*

Author Year Reference Country	Type of functional imaging	Population/ selection	Sample (n)	Age	Clinical criteria	Path criteria
Hanyu et al 1993 [12] Japan	SPECT	Referred to university hospital	56 AD 81 VaD 165 OD 25 normal	75 73 ~70 74 —	NINCDS- ADRDA for AD diagnosis DSM-III-R for other dementia diagnosis	
Herholz et al 2002 [10] Germany/ USA	PET	Hospital based	110 C 395 AD	57 69	NINCDS- ADRDA	
Hoffman et al 2000 [23] USA	PET	Referred to university hospital	22 patients with memory loss or dementia 15 AD 6 non AD	65	NINCDS- ADRDA	Yes (all patients) (CERAD)
Ishii et al 1996 [5] Japan	SPECT	Referred to university hospital	42 AD 51 non AD	68	NINCDS- ADRDA for AD	
Jobst et al 1998 [19] United Kingdom	SPECT	General practi- tioners and hospital-based service. Referred to university hospital	200 dementia (104 autopsy) 80 AD 14 controls 24 OD		NINCDS- ADRDA DSM-III-R	118 dementia (CERAD)
Johnson et al 1993 [6] USA	SPECT	Referred to university hospital	29 AD 78 controls	73 70	NINCDS- ADRDA	

Global cognitive function	Study design	Workup bias	SS	SP	LR+	LR-	Comments	Quality of study
	Cross-sectional	No info	0.82	0.89	7.4	0.2	AD vs non-AD: Parieto-temporal Hypoperfusion	2a
>24 19	–	No info	0.93 0.84	0.93 0.93	13 12	0.07 0.17	AD-C Post cing temporo/ parietal glucose metabol	2b
	Cross-sectional	No info	0.93	0.63 0.37	2.5	0.11	AD vs non-AD Bilateral temporo- parietal hypometabolism	IIb
	Cross-sectional	No info	0.95	0.57	2.1	0.08	AD vs non-AD Temporoparietal deficits	2b
AD: CAMCOG = 45 Other dementia: CAMCOG = 57	Cross-sectional Longitudinal		0.89 0.85 0.8	0.8 0.78 0.93	4.5 3.9 8.5	0.14 0.19 0.21	AD vs controls Parietal temporal lobe CT MTL atrophy Komb CT/SPECT	Ia
AD: (BDS) = 24.7	Cross-sectional	No bias	0.91	0.86	6.5	0.1	AD vs controls	2b

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Table 18.2 *continued*

Author Year Reference Country	Type of functional imaging	Population/ selection	Sample (n)	Age	Clinical criteria	Path criteria
Lobotesis et al 2001 [18] United Kingdom	SPECT	Community- dwelling population of patients referred to local old-age psychiatry services	50 AD 23 DLB 20 controls	82 79 78	NINCDS- ADRDA DLB consensus criteria	6 patients
Mattman et al 1997 [15] Canada	SPECT	Referred to university hospital	128 AD 54 not demented MCI Obs	70 65	NINCDS- ADRDA DSM-III-R	
O'Brien et al 2004 [22] United Kingdom		Hospital	33 C 34 AD 23 DLB 38 PD	75 79 76 76	NINCDS "consensus für DLB"	
Pasquier et al 2002 [25] France	SPECT	Hospital based	34 LBD 28 AD	74 76	Mackeith NINCDS- ADRDA	
Sackeim et al 1993 [14] USA	¹³³ Xe techni- que	Referred to university hospital	30 AD 30 major depression 30 controls	67 66 64	DSM-III-R NINCDS- ADRDA HRSD	

Global cognitive function	Study design	Workup bias	SS	SP	LR+	LR-	Comments	Quality of study
MMSE = 17 MMSE = 16 MMSE = 28	Cross-sectional	No info	0.63	0.95	13	0.39	Visual rating Occ+medial+temporal (AD+DLB) vs controls	2b
FRS	Cross-sectional	No info	0.6 0.53	0.66 0.75	2.6 1.17	0.59 0.62	AD vs not demented. Left temporal lobe Temp/or parietal bilateral	2b
28 17 16 26		No bias	0.78 0.84 0.78 0.82	0.94 0.94 0.94 0.94	15 16 12 14		C-DLB C-PD AD-KLB AD-PD R01 measurement of uptake in basal ganglia	2a
MMSE 16 17	—	No info	0.70	0.70	2.0	0.43	AD-nAD Occipital/temporal rCBF	2b
AD: Modified MMSE = 30.80	Cross-sectional	No info	0.97 0.78	0.7 0.84	3.2 5.0	0.04 0.26	AD-C AD-Depression	2b

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Table 18.2 *continued*

Author Year Reference Country	Type of functional imaging	Population/ selection	Sample (n)	Age	Clinical criteria	Path criteria
Silverman et al 2001 [21] USA	PET	Hospital	97 AD 23 non AD	– –	Histo- pathology	
Silverman et al 2003 [24] USA	PET	Hospital Follow-up 10 years	167 pat with cogn deficit		Histo- pathology	
Sjögren et al 2000 [17] Sweden	SPECT	Mölndal prospective dementia study in the Dept of Neuropsychiatry at Sahlgrenska university hospital	16 FTD 52 AD 19 SWD (subcortical white matter dementia)	62 67 71	Lund- Manchester criteria NINCDS- ADRDA History, CT risk factors, NINDS- AIREN	
Smith et al 1992 [8] USA	PET	Aging and Dementia Research Center of the New York university medical center	45 AD 20 controls	68 69	NINCDS- ADRDA	

Global cognitive function	Study design	Workup bias	SS	SP	LR+	LR–	Comments	Quality of study
AD/nonAD MMSE 53% 26–30 25% 20–25 18% <20		–	0.94 0.94	0.73 0.78	3.5 4.3	0.08 0.08	AD-nAD Dem-nDem “PET pattern”	IIB
24		No work up bias	0.95	0.79	5.0		Predictive value for PET to forecast progression	Ib
No degree of dementia reported	Cross-sectional	No info	0.875F TD-C 0.875F TD-AD 0.875F TD-SWD	0.786 0.85 0.78 0.78 0.78 0.78	4.0 6.0 4.0 4.0 4.0 4.0	0.15 0.14 0.15 0.15 0.15 0.15	FTD-C FTD-AD FTD-SWD	2b
Incipient + mild AD: GDS = 3.6 Moderate + severe AD: GDS = 5.3 Controls: GDS = 1.7	Cross-sectional Longitudinal	No info	0.955 0.886 0.886 0.864 0.818 0.773	0.762 0.810 0.524 0.857 0.81 0.667	4.0 4.7 1.8 6.1 4.3 1.8	0.05 0.15 0.21 0.16 0.21 0.34	Controls vs AD: Temporal lobe metabolic rate Parietal lobe metabolic rate Linear ventricular measure Controls vs mild AD: metabolic rate Temporal lobe Parietal lobe metabolic rate Linear ventricular measure	1b

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Table 18.2 *continued*

Author Year Reference Country	Type of functional imaging	Population/ selection	Sample (n)	Age	Clinical criteria	Path criteria
Talbot et al 1998 [13] United Kingdom	SPECT	Referred to university hospital. Follow-up 3 years	132 AD 78 VaD 24 LBD 58 FTD 81 OD	64 64 68 59 65	NINCDS- ADRDA + according to estab- lished criteria	Yes: 8 AD: 1 VaD: 3 LBD: 4 FTD: 2 progressive aphasia
Van Gool et al 1995 [3] The Netherlands	SPECTS	Controls: Amsterdam study of elderly (AMSTEL) + referred to university hospital	110 demented (68) AD 18 controls	79 76	DSM-III-R NINCDS- ADRDA	
Warkentin et al 2004 [11] Sweden		Hospital based	132 AD 92 C	74 39	NINCDS- ADRDA	
Varma et al 2002 [20] United Kingdom	SPECT	Hospital based	21 FTD 23 AD 20 VaD	63 63 86	Lund-M NINCDS NINDS	

AD = Alzheimer's disease; BDS = Blessed dementia scale; C = Control; CAMCOG = Cambridge cognitive examination; CDR = Clinical dementia rating scale; CERAD = Consortium to establish a registry for Alzheimer's disease; CIND = Cognitive impairment, no dementia; CT = Computerized tomography; DLB = Dementia with Lewy bodies; FTD = Frontotemporal dementia; KLB = Klotho with Lewy bodies; LBD = Lewy body dementia; LR = Likelihood ratio; MCI = Mild cognitive impairment; MMSE = Mini-mental state examination; MTL = Medial temporal lobe; OD = Other dementias; PD = Parkinson's disease; PET = Positron emission tomography; rCBF = Regional cerebral blood flow; SP = Specificity; SPECT = Single photon emission computed tomography; SS = Sensitivity; SWD = Subcortical white matter dementia; VaD = Vascular dementia

Global cognitive function	Study design	Workup bias	SS	SP	LR+	LR–	Comments	Quality of study
	Cross-sectional	No info	No info	No info	Likelihood ratios See ref for details on LR+:s		AD-non AD	1a
Dementia: MMSE = 16 Controls: MMSE = 27	Longitudinal follow-up 6 months	No bias	0.43 0.56 <80 0.29 >80 years	0.89 0.89 0.89	4.0 5.0 2.6	0.64 0.49 0.79	AD vs controls: Temporoparietal perfusion	1a
21 –	–	No bias	0.86	0.9	9.0	0.15	AD-C Combination of rCBF pattern “neuronal network”	2b
21 18 21	–	No work-up bias	0.77 0.52	0.63 0.93	2.3 7.8	0.36 0.51	AD-nAD FTD-nFTD	2a

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19. Evidence Based Evaluation of EEG (Quantitative EEG and Visual Rated EEG)

Conclusions

There is limited evidence that either visually rated EEG or qEEG helps the diagnostic workup differentiate AD (Alzheimer's Disease) patients from controls or AD from other dementia disorders (Evidence Grade 3).

Introduction

EEG and qEEG have been used in dementia workup for many years. The frequency varies, but generally they are associated with lower costs and less discomfort for patients than methods such as MRI, CT, SPECT and PET.

The American Academy of Neurology did not address EEG or qEEG in its Practice Parameter of 2001.

Search strategy

Medline 1980–2004 (July)

Dementia/radionuclide imaging
Dementia AND Diagnostic Imaging
Dementia AND Electroencephalography
AND
Comparative Study
Sensitivity and Specificity
Sensitivity [Text Word]

Specificity [Text Word]
Accuracy [Text Word]
AND Human
>18 years
NOT Case Report
Editorial [Publication Type]
Letter [Publication Type]
Review [Publication Type]
HIV [Text Word]

434 hits were found.

Twentyone articles were selected that contained information about sensitivity and specificity, eight of them were of high enough quality to be included.

The majority of the papers presented data on AD patients vs controls or patients with other dementia disorders vs controls. AD vs depression and Creutzfeldt-Jakob disease vs other dementia were also found. Two of the studies presented visually rated EEG, and the remaining presented quantitative EEG calculations [1,2]. The most common qEEG parameters were relative power alfa, beta, teta and delta power. One study presented evoked response potentials (EVP) [3] and one study presented data from EEG registrations during sleep [4].

The only Creutzfeldt-Jakob disease study included histopathological evaluation and was performed on a large population. However, the basal criteria for evidence (sensitivity, specificity >80%, LR+ >5) were not met. Another limitation is that there are no studies that present data on discriminating between AD and other dementia disorders.

Table 19.1 EEG/qEEG. Distribution of articles according to degree of quality.

Degree of quality	Not fulfilling	Reference	Fulfilling basal evidence criteria*	Reference
Ia	—	[1]	—	[3**]
Ib	1		—	
IIa	—		—	
IIb	—		—	
1a	—	[2] [4–8]	—	
1b	—		—	
2a	1		2	
2b	5		—	

* Sensitivity >80%; Specificity >80%; LR+≥5.

** Combination of CT and P200.

Table 19.2 Quantitative EEG (qEEG) and visual rated EEG.

Author Year Reference Country	Settings	Sample (n)	Age	Clinical criteria	Path criteria	Global cognitive function
Anderer et al 1994 [5] Austria/ Germany EEG	No info	111 dementia (Study I) 96 dementia (Study II) 56 controls	82 82 68	DSM-III		
Engedal et al 1989 [3] Norway EEG	Population based	20 AD 10 MID 11 other dementia 38 controls	81.7 (median) 81 (median)	NINCDS- ADRDA & DSM-III	7 dementia 2 controls	Dementia: MMS = 19.8 Controls: MMS = 28.8
Houck et al 1991 [4] USA EEG	University based	61 AD 77 elderly depressed patients	73.6 70.8	DSM-III SADS/RDC		Blessed Dementia: Rating Scale AD = 9.9 Depressed patients = 2.7
Poser et al 1999 [1] Germany EEG	Population based	364 suspected CJD (6 was excluded later)	?	Probable CJD: dementia, EEG periodic sharp waves, two of the following findings: myoclonus, visual/cere- bellar symp- toms, pyra- midal/extra- pyramidal signs Possible CJD: fulfill above criteria, but did not have typical EEG	Probable CJD: 95 Possible CJD: 21 Other disease: 20	

Study design	Workup bias	SS	SP	LR+	LR-	Comments	Quality of study
Cross-sectional	No info	Optimal selection 0.78 0.74 0.83	0.8 0.9 0.9	3.9 7.4 8.3	0.22 0.28 0.19	Dementia vs controls: The absolute delta+ theta power (qEEG)	2b
Cross-sectional Longitudinal	No info	0.92 0.96	0.75 0.83	3.68 5.6	0.1 0.05	AD vs controls: Cortical atrophy on CAT & FVER P200 latency (EVP)	2a
Cross-sectional	No info	0.80 5.0	0.77	3.5	0.25	AD vs depressed patients: EEG sleep data: The area under ROC curve is 0.86 (qEEG: sleep)	2b
Cross-sectional Longitudinal	No info	0.65	0.86	4.64	0.4	CJD vs others: Periodic sharp wave complexes in the EEG (Visual EEG)	1b

The table continues on the next page

Table 19.2 *continued*

Author Year Reference Country	Settings	Sample (n)	Age	Clinical criteria	Path criteria	Global cognitive function
Prinz et al 1989 [7] USA EEG	Community based	41 AD 22 depression 50 controls	70.9 62.3 67.5	NINCDS- ADRDA & DSM-III RDC		AD: MMS = 22.8 Depression: MMS = 29.2 Controls: MMS = 29.7
Reynolds et al 1988 [8] USA EEG	University based	49 AD 67 depressed 42 mixed dementia & depression 77 controls	72.8 70.3 72.6 69.3	DSM-III RDC		AD: MMSE = 16.5 Depressed: MMSE = 28.3 Mixed: MMSE = 19.2 Controls: MMSE = 29.4
Robinson et al 1994 [2] Canada EEG	Population based	86 AD 17 mixed AD & MID 56 controls	73.4 8.0 75.9 4.0	DSM-III-R ischemic score	105 patients	AD: ESD = 56.69 Mixed AD & MID: ESD = 97.88 Controls 1: ESD = 237.42 Controls 2: ESD = 242.71
Stevens et al 1998 [6] Germany/ United Kingdom EEG	Hospital based. Controls are from newspaper advertis- ment	31 elderly patients (cogni- tively impaired/ demented 30 elderly controls 35 young controls	69.6 68.6 31.1	DSM-III-R ICD-10 SIDAM		MMSE was done, but no mean scores were reported

AD = Alzheimer's disease; CAT = Computer aided tomography; CJD = Creutzfeldt-Jakob disease; ESD = Extended scale for dementia; EVP = Electroencephalography, visual, evaluated potentials; FVER = Functional verification; LR+ = Likelihood ratio; MID = Multiinfarct dementia; MMSE = Mini-mental state examination

Study design	Workup bias	SS	SP	LR+	LR-	Comments	Quality of study
Cross-sectional	No info	0.71	0.82	3.94	0.35	AD vs controls: Dominant occipital alpha rhythm	2b
		0.66	0.83	3.88	0.40	AD vs depression: Dominant occipital alpha rhythm (qEEG)	
Cross-sectional Longitudinal	No info	0.8	0.8	4.0	0.25	AD vs depressed (qEEG: sleep)	2b
Cross-sectional Longitudinal	No info	0.87 0.50	0.63 3.0 0.95	2.38 12.2	0.20 0.52	AD vs controls AD subgroup (4 years illness) vs controls (Visual EEG)	2a
Cross-sectional	No info	0.84	0.60	2.1	0.27	Dementia vs healthy elderly: Microstate duration & number of single peak segments during the resting state with eyes closed (qEEG)	2b

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20. Cerebrospinal Fluid Biomarkers in AD

Conclusion

There is strong evidence (Evidence Grade 1) that CSF T-tau, CSF A β 42 and the combination of CSF T-tau and A β 42 contribute, and moderately strong evidence (Evidence Grade 2) that CSF P-tau contributes, to the diagnostic workup in differentiating AD (Alzheimer's disease) patients from controls and from other dementia disorders.

Background

The CSF is in direct contact with the extracellular space of the brain and thus reflects biochemical changes in the brain. Because AD pathology is restricted to the brain, CSF is an obvious source of biomarkers for AD. Various studies have evaluated numerous potential CSF biomarkers for AD, but this review will focus on tau and β -amyloid only, for reasons outlined below.

Tau protein is a microtubule-associated protein located in the neuronal axons. Due to alternative splicing of tau mRNA, there are 6 isoforms ranging from 352 to 441 amino acids, with molecular weights of \approx 50–65 kDa (for review, see Buée et al, 2000 [1]). In AD, an abnormally hyperphosphorylated form of tau is the principal component of the paired helical filaments (PHFs), which make up the neurofibrillary tangles, neuropil threads and senile plaque neurites (for review, see Buée et al, 2000 [1]). Using different techniques, more than 30 phosphorylation sites have been described on tau in the brain (for review, see Buée et al, 2000 [1]). The CSF level of Total tau (T-tau) probably reflects the intensity of neuronal degeneration, while indirect evidence suggests that CSF P-tau (Phosphorylated tau) may specifically reflect the phosphorylation state of tau (for review, see Blennow and Hampel, 2003 [2]).

β -amyloid (A β) is the main protein constituent of plaques and is generated by proteolytic cleavage of its precursor, the amyloid precursor protein (APP) (for review see Andreasen and Blennow, 2002 [3]). There are several C-terminal forms of A β . The longest form, ending at Ala42 (A β 42), has been found to aggregate more rapidly than shorter A β variants and to be the initial form of A β deposited in diffuse plaques (for review, see Andreasen and Blennow, 2002 [3]). The reduced CSF level of A β 42 in AD was initially hypothesized to be caused by deposition of A β 42 in plaques, with lower levels diffusing to CSF, while subsequent studies have questioned this explanation (for review, see Blennow and Hampel, 2003 [2]).

Search strategy

Studies were identified by searches on Medline and from references in relevant articles. Numerous papers on potential CSF markers for AD have been published. For example, the terms “Alzheimer” and “cerebrospinal fluid” yielded 1 230 hits. This review considered only CSF biomarkers that have been evaluated in more than 10 publications by independent research groups. The biomarkers must also have been evaluated using different methods available to the research community. Thus, this review is restricted to the CSF markers T-tau, P-tau and the 42 amino-acid form of β -amyloid (A β 42). Thus, the Medline searches used “Alzheimer”, “CSF”, and either “tau” or “amyloid”.

Selection criteria

To be evaluated in this review, papers had to include a sufficient number of patients and controls. For studies on AD patients versus controls, the papers had to include more than 20 AD patients and 20 controls – or if the number of controls was insufficient, at least 30 cases total. For studies on early AD, the papers had to include more than 10 AD patients with an MMSE score of 25 or higher. For studies on MCI, the papers had to include more than 10 MCI patients that were followed clinically in order to verify progression to AD with dementia. For studies on other

dementia, psychiatric or neurological disorders, the papers had to include more than 10 patients.

Papers also had to contain an adequate description of the clinical investigation procedure and diagnostic criteria for both patients and controls, analytical methods and statistical methods. All papers had to be in English. Papers were excluded if they did not present sensitivity and specificity figures, if such figures could not be calculated from graphs, or if they included control groups consisting of patients with neurological or psychiatric symptoms.

The definition of Evidence Grade, which is also the basis for the subdivision of the papers in the tables, is outlined by Chui H in chapter II:1 of “Evidence-based Dementia Practice” [4].

Around half of the papers focused on the differentiation between AD patients and controls, while the other half also included other differential diagnoses. The majority of papers investigated hospital populations, while only a few were based on Population based materials or longitudinal prospective studies.

Analytical principles for CSF T-tau, P-tau and Aβ42

The following section presents a short description of the analytical methods, along with a summary of protein level changes in CSF in AD.

CSF total tau (T-tau)

The first report on CSF T-tau as a biomarker for AD was published in 1993. This paper used an ELISA with a polyclonal reporter antibody [5]. Subsequent studies used ELISA methods based on monoclonal antibodies that detect all isoforms of tau, independent of the phosphorylation state of tau [6–8]. Thus, they measure the total tau level in CSF.

Using all of these different ELISA methods, more than 80 studies consistently found a moderate to marked increase in CSF T-tau in AD. For the 2 most commonly used ELISA methods, the Innogenetics ELISA [6] and the Athena ELISA [8], the mean CSF T-tau level in the 36 evaluated studies was around 300% of that in controls (Table 20.1).

CSF β -amyloid (A β 42)

Eleven different methods have been published for quantification of A β 42 in CSF (Table 20.2). These include 6 different ELISA methods [9–14]. Other methods include variants of SDS-PAGE combined with Western blot [15–17], and SELDI-TOF [18].

Using these different methods, the majority of studies found a moderate to marked decrease in CSF A β 42 in AD, but 1 study found a 161% increase [12]. For the 2 most commonly used ELISA methods, the Innogenetics ELISA [9] and the Athena ELISA [10], the mean CSF A β 42 level in the 15 evaluated studies was around 50% of that in controls (Table 20.2).

CSF phosphorylated tau (P-tau)

Several ELISA methods have been developed for the measurement of P-tau phosphorylated on different epitopes. These include threonine 181+231 (Thr₁₈₁₊₂₃₁) [6], Thr₁₈₁, [19], Thr₂₃₁ and serine 235 (Thr₂₃₁+Ser₂₃₅) [20], Ser₁₉₉ [20], Thr₂₃₁ [21], and Ser₃₉₆₊₄₀₄ [22].

Using all these different ELISA methods, 25 studies have consistently found a moderate to marked increase in CSF P-tau in AD. For the 6 different ELISA methods in the 14 evaluated studies, the mean CSF P-tau level was around 430% of that in controls (Table 20.3).

Whether there is a difference in the diagnostic performance among ELISA methods for different P-tau epitopes has been discussed. However, a study that directly compared P-Tau₁₈₁, P-Tau₁₉₉ and P-Tau₂₃₁ in the same patient material found strong correlations among the ELISA methods [23].

Diagnostic performance of CSF markers for AD

The diagnostic performance of T-tau, A β 42 and P-tau is reviewed below. In general, one important factor for the variation in sensitivity, specificity, LR+ and LR– among studies is that several different principles were used to set the cut-off level. The International Federation of Clinical Chemistry (IFCC) recommends the use of a rank-based method, the 0.95 fractile, for reference values [24]. In the papers of CSF biomarkers for AD, the principles for setting the cut-off included variants of “best separation”, ROC curves, and the highest or lowest value in controls. If the highest or lowest value in controls was used, i.e. as specificity of 100%, it yielded an infinite LR+ value and an LR– value of 0. In these instances, the LR+ value was set to 40 and the LR– value to 0.025.

Results on sensitivity, specificity, LR+ and LR– for the differentiation between AD patients and controls are shown in Tables 20.1–20.4, for the performance of the CSF markers in early AD and MCI in Table 20.5, and for specificity vs other cognitive, psychiatric and neurological disorders in Table 20.6. A summary of the diagnostic validity of the CSF markers appears in Table 20.7.

CSF T-tau

The majority of studies used the Innogenetics ELISA method for CSF T-tau [6], which is also part of the clinical routine in Sweden, while two studies used the Athena ELISA method [8]. Sensitivity and specificity figures are available from 36 studies for CSF T-tau (Table 20.1). The mean sensitivity to differentiate AD patients from controls was 77.1%, while the specificity was 90%, resulting in an LR+ of 16.4 and an LR– of 0.16 (Table 20.1).

In the 9 class 1a studies (Table 20.1), the mean sensitivity to differentiate AD patients from controls was 83% at a specificity of 92%, yielding an LR+ of 16.0 and an LR– of 0.11. Thus, there is strong evidence that CSF T-tau is useful in the clinical diagnosis of AD.

Aβ42

The majority of studies used the Innogenetics ELISA method for CSF Aβ42 [9] (Table 20.2). This method is part of clinical routine in Sweden. Two studies used the Athena ELISA method for Aβ42 [10]. Sensitivity and specificity figures for CSF Aβ42 are available from 15 studies (Table 20.2). The mean sensitivity to differentiate AD patients from controls was 87%, while the specificity was also 87%, resulting in an LR+ of 11.7 and an LR– of 0.16 (Table 20.2).

In the 5 class 1a studies (Table 20.2), the mean sensitivity was 88% at a specificity of 89%, yielding an LR+ of 10.5 and an LR– of 0.13. Thus, there is strong evidence that CSF Aβ42 is useful in the clinical diagnosis of AD.

CSF P-tau

Although 14 papers presented sensitivity and specificity figures for CSF P-tau, there are few studies on each ELISA method or the P-tau epitope (Table 20.3). The 3 class 1a studies (Table 20.3) had a mean sensitivity of 62% at a specificity of 91%, yielding an LR+ of 7.9 and an LR– of 0.14. In the 14 studies, including 8 other class 2a studies, the mean sensitivity was 80.5% at a specificity of 91.7%, yielding an LR+ of 16.3 and an LR– of 0.12 (Table 20.3). Thus, there is moderately strong evidence that CSF T-tau is useful in the clinical diagnosis of AD.

In a specific comparison of the diagnostic performance of P-Tau₁₈₁, P-Tau₁₉₉ and P-Tau₂₃₁ in the same patient material, all three performed equally well in discriminating AD patients from controls without dementia [23].

Combination of CSF markers

Eighteen studies evaluated the diagnostic potential of combining CSF T-tau and Aβ42, P-tau and Aβ42, and T-tau and P-tau (Table 20.4). The most common combination was CSF T-tau and Aβ42 (Table 20.4). Several different methods of combining results, such as discrimination lines or quadrants in plots of T-tau and Aβ42, and cut-off levels were

used. The mean sensitivity to differentiate AD patients from controls was 85%, while the specificity was 92%, resulting in an LR+ of 18.1 and an LR– of 0.14 (Table 20.4).

Six class 1a studies examined the combination of CSF T-tau and A β 42 (Table 20.2), with a mean sensitivity of 90% at a specificity of 89%, yielding an LR+ of 14.8 and an LR– of 0.17. Thus, there is strong evidence that the combination of CSF T-tau and A β 42 is useful in the clinical diagnosis of AD.

Another diagnostic application for the combination of CSF markers is the identification of Creutzfeldt-Jakob disease (CJD). Several papers found a highly pronounced increase in CSF T-tau in CJD [25–31]. In contrast, there was only a mild to moderate increase in the CSF level of P-tau [27,30,31]. Thus, there is a significantly higher ratio of T-tau/P-tau in CSF in CJD, which has been found to discriminate CJD from AD and other dementia disorders with 100% accuracy [31,32].

CFS markers in early Alzheimer's disease and MCI

Nineteen studies examined CSF markers in early AD (with MMSE scores above 25) and MCI cases with progression to AD (Table 20.5). Only studies in which the CSF tap was taken at baseline and patients were monitored clinically to verify progression to AD with dementia were included in the present evaluation.

The mean sensitivity in these studies was 80% for CSF T-tau, 71% for CSF A β 42, 79% for CSF P-tau and 78% for the combination of CSF T-tau and A β 42 (Table 20.5).

CFS markers in other cognitive, psychiatric and neurological disorders

Fortytysix papers including a total of 1 116 cases evaluated the specificity of the CSF markers in other cognitive, psychiatric and neurological disorders (Table 20.6).

The specificity figures varied among different papers – both the number of cases with high or low CSF levels for the markers, depending on the cut-off level, and the degree of increase or decrease.

The data are summarized below. For Lewy body dementia, there is a mild to moderate increase in both CSF T-tau and P-tau in about 25% of cases, while CSF A β 42 decreases in the majority of cases (Table 20.6). That may be due to the large overlap in pathology between AD and Lewy body dementia [33].

For VaD, about half of the studies found a clear increase in CSF T-tau in the majority of cases, while the other half found normal levels (Table 20.6). The three studies on CSF A β 42 found a mild to moderate decrease in the majority of cases, while most of the 6 studies on CSF P-tau found a mild increase in around 25% of cases. These divergent results may reflect difficulties in making a clinical diagnosis of “pure” VaD. Indeed, neuropathological studies have shown that a high percentage (40–80%) of patients clinically diagnosed with VaD have notable concomitant AD pathology [34,35].

For frontotemporal dementia, most studies found a mild increase in CSF T-tau and P-tau and a mild decrease in A β 42 (Table 20.6).

Normal levels of CSF T-tau, or a mild increase in a minority of cases, have been found in cerebrovascular disease without dementia, amyotrophic lateral sclerosis, Parkinson’s disease without dementia, progressive supranuclear palsy, corticobasal degeneration, depression and alcoholism with dementia (Table 20.6).

In general, the specificity of CSF P-tau to differentiate AD from other dementias, as well as from other neurological and psychiatric disorders, seems to be higher than for T-tau and A β 42 (Table 20.6). However, the sensitivity and specificity figures of P-tau vary among different studies. Thus, additional large studies are needed to determine whether there is a difference in sensitivity and specificity figures for the various ELISA methods for P-tau. However, group separation was maximized between AD and FTD using P-Tau₂₃₁ and between AD and DLB using P-Tau₁₈₁ [23]. Thus, differences in the phosphorylation of specific tau epitopes among various dementia disorders may be reflected in the CSF level of the corresponding P-tau variant.

As mentioned above, for sporadic CJD, there is a very pronounced increase in CSF T-tau but normal or only mildly to moderately increased CSF P-tau levels, resulting in a markedly higher ratio of T-tau/P-tau, which has been found to discriminate CJD from AD and other dementia disorders with 100% accuracy [31,32].

The systematic review on CSF-tau, as part of the dementia-project, was one of the first to be finished (Februari 2004). An updated search of the literature reveals that additional studies have been published, and that these studies give support to the evidence that CSF p-tau can differentiate Alzheimer's disease from other forms of dementia (see [92–97]).

Table 20.1 CSF-total tau in Alzheimer's disease and controls.

Author Year Reference	Method	AD (n)	Controls (n)	Change in AD (% of controls)
Andreassen et al 1998 [36]	Innog	43	18	419
Sjögren et al 2000 [37]	Innog	60	32	242
Sjögren et al 2001 [38]	Innog	41	17	145
Sjögren et al 2001 [39]	Innog	47	12	237
Riemenschneider et al 2002 [40]	Innog	74	40	355
Sjögren et al 2002 [41]	Innog	19	17	269
Gomez-Tortosa et al 2003 [42]	Innog	33	46	250
Kapaki et al 2003 [43]	Innog	49	49	360
Wallin et al 2003 [44]	Innog	39	12	237

Sensitivity	Specificity	LR+	LR–	Quality of study	Comments
95	94	15.8	0.06	1a	Community-based patient sample
79	82	4.4	0.23	1a	Prospective, consecutive cases
85	95	17.1	0.06	1a	Prospective, consecutive cases
77	92	9.6	0.10	1a	Prospective, consecutive cases
95	98	47.5	0.02	1a	Consecutive cases
84	94	14.0	0.07	1a	Prospective, consecutive cases
73	80	3.7	0.27	1a	Prospective study
88	96	22.0	0.05	1a	Clinical practice, 3-year follow-up
72	93	10.3	0.10	1a	Prospective study

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Table 20.1 *continued*

Author Year Reference	Method	AD (n)	Controls (n)	Change in AD (% of controls)
Andreasen et al 1999 [45]	Innog	407	93	304
Arai et al 1995 [46]	Innog	70	19	858
Blennow et al 1995 [6]	Innog	44	31	283
Vigo-Pelfrey et al 1995 [8]	Athena	71	59	190
Arai et al 1998 [47]	Innog	69	17	443
Galasko et al 1998 [48]	Athena	82	60	171
Kanai et al 1998 [49]	Innog	93	41	226
Mecocci et al 1998 [50]	Innog	29	23	205
Shoji et al 1998 [51]	Innog	55	34	214
Vanderstichele et al 1998 [9]	Innog	81	15	178

Sensitivity	Specificity	LR+	LR–	Quality of study	Comments
93	86	6.6	0.15	1b	Community-based patient sample
100	100	40.0	0.025	2a	
84	97	28	0.04	2a	
39	100	40	0.025	2a	
89	100	40	0.025	2a	“Old” tau standard*
57	83	3.4	0.30	2a	Multicenter study
40	100	40	0.025	2a	Multicenter study
59	83	3.5	0.29	2a	
49	97	16.3	0.06	2a	Adjusted IFCC cut-off from graph
90	67	2.7	0.37	2a	

The table continues on the next page

Table 20.1 *continued*

Author Year Reference	Method	AD (n)	Controls (n)	Change in AD (% of controls)
Nishimura et al 1998 [52]	Innog	163	65	227
Hulstaert et al 1999 [53]	Innog	150	100	218
Kahle et al 2000 [54]	Innog	30	16	247
Kanemaru et al 2000 [55]	Innog	24	19	400
Sjögren et al 2000 [56]	Innog	21	18	200
Sjögren et al 2000 [56]	Innog	21	18	186
Kapaki et al 2001 [28]	Innog	38	47	358
Rösler et al 2001 [57]	Innog	27	49	320
Buerger et al 2002 [58]	Innog	80	21	N g
Hu et al 2002 [22]	Innog	52	56	226

Sensitivity	Specificity	LR+	LR–	Quality of study	Comments
66	83	3.9	0.26	2a	Multicenter study
79	70	2.6	0.38	2a	Multicenter study
63	75	2.5	0.40	2a	
83	95	16.6	0.06	2a	
76	85	5.1	0.20	2a	Early onset AD
57	85	3.8	0.26	2a	Late onset AD
90	92	11.3	0.09	2a	
89	100	40	0.025	2a	
81	91	8.6	0.12	2a	
79	100	40	0.025	2a	

The table continues on the next page

Table 20.1 *continued*

Author Year Reference	Method	AD (n)	Controls (n)	Change in AD (% of controls)
Shoji et al 2002 [59]	Innog	366	316	290
Motter et al 1995 [10]	Athena	37	20	192
Kurz et al 1998 [60]	Innog	40	36	442
Burger née Buch et al 1999 [61]	Innog	38	47	212
Hampel et al 1999 [62]	Innog	25	19	231
Morikawa et al 1999 [63]	Innog	36	23	505
Mulder et al 2002 [64]	Innog	20	20	223
Maruyama et al 2001 [65]	Innog	54	15	351
Sum Mean		2 628	1 540	289.3

* The “old” standard gave lower CSF tau levels.

AD = Alzheimer’s disease; Athena = Athena ELISA method [8]; IFCC = International Federation of Clinical chemistry; Innog = Innogenetics ELISA method [6]; LR = Likelihood ratio; N g = Not given

Sensitivity	Specificity	LR+	LR–	Quality of study	Comments
59	97	19.7	0.05	2a	Multicenter study
59	95	11.8	0.08	2b	Multicenter study
89	97	29.7	0.03	2b	
84	62	2.20	0.45	2b	
80	85	5.3	0.19	2b	
92	95	18.4	0.05	2b	
90	90	9	0.11	2b	
87	93	12.4	0.08	2b	Prospective follow-up
77.1	89.9	16.4	0.16		

Table 20.2 CSF-A β 42 in Alzheimer's disease and controls.

Author Year Reference	Variant of Aβ42	Method	AD (n)	Controls (n)	Change in AD (% of controls)
Maddalena et al 2003 [66]	A β 1–42	Innog	51	31	57
Riemenschneider et al 2002 [40]	A β 1–42	Innog	74	40	37
Sjögren et al 2000 [37]	A β 1–42	Innog	60	32	49
Sjögren et al 2002 [41]	A β 1–42	Innog	19	17	42
Kapaki et al 2003 [43]	A β 1–42	Innog	49	49	49
Andreasen et al 1999 [67]	A β 1–42	Innog	53	21	42
Hulstaert et al 1999 [53]	A β 1–42	Innog	150	100	57
Vanderstichele et al 1998 [9]	A β 1–42	Innog	81	51	75
Galasko et al 1998 [48]	A β X–42	Athena	82	60	56

Sensitivity	Specificity	LR+	LR–	Quality of study	Comments
78	90	7.8	0.13	1a	Consecutive cases
89	95	17.8	0.06	1a	Consecutive cases
93	85	6.2	0.16	1a	Prospective, consecutive cases
100	94	16.7	0.06	1a	Prospective, consecutive cases
82	80	4.1	0.24	1a	Clinical practice, 3-year follow-up
92	95	18.4	0.05	1b	Community-based sample
78	81	4.1	0.24	2a	Multicenter study
81	80	4.1	0.25	2a	
78	83	4.6	0.22	2a	Multicenter study

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Table 20.2 *continued*

Author Year Reference	Variant of Aβ42	Method	AD (n)	Controls (n)	Change in AD (% of controls)
Kanemaru et al 2000 [55]	Aβ 1–42	Innog	24	19	40
Kapaki et al 2001 [28]	Aβ 1–42	Innog	38	47	51
Rösler et al 2001 [57]	Aβ 1–42	Innog	27	49	48
Motter et al 1995 [10]	Aβ X–42	Athena	37	20	61
Mulder et al 2002 [64]	Aβ 1–42	Innog	20	20	46
Maruyama et al 2001 [65]	Aβ 1–42	Innog	54	15	71
Sum Mean			819	571	52.1

AD = Alzheimer's disease; Athena = Athena ELISA method [10]; Innog = Innogenetics ELISA method [9]; LR = Likelihood ratio

Sensitivity	Specificity	LR+	LR–	Quality of study	Comments
96	95	19.2	0.05	2a	
76	85	5.1	0.2	2a	
78	100	40	0.025	2a	
100	80	5	0.2	2b	Multicenter study
100	95	20	0.05	2b	
89	67	2.7	0.37	2b	Prospective follow-up
87.3	87	11.7	0.16		

Table 20.3 CSF-phospho tau in Alzheimer's disease and controls.

Author Year Reference	P-tau epitope	AD (n)	Controls (n)	Change in AD (% of controls)
Sjögren et al 2001 [38]	Thr ₁₈₁	41	17	145
Sjögren et al 2002 [41]	Thr ₁₈₁	19	17	164
Maddalena et al 2003 [66]	Thr ₁₈₁	51	31	193
Parnetti et al 2001 [68]	Thr ₁₈₁	80	40	N g
Hampel et al 2004 [23]	Thr ₁₈₁	108	23	186
Riemenschneider et al 2003 [31]	Thr ₁₈₁	42	43	359
Hampel et al 2004 [23]	Ser ₁₉₉	108	23	212
Ishiguro et al 1999 [20]	Ser ₁₉₉	36	20	N g

Sensitivity	Specificity	LR+	LR–	Quality of study	Comments
44	95	8.8	0.11	1a	Prospective, consecutive cases
58	94	9.7	0.1	1a	Prospective, consecutive cases
84	84	5.3	0.19	1a	Consecutive cases
84	88	7	0.14	2a	
85	91	9.4	0.11	2a	Two-center study
88	100	40	0.025	2a	
85	82	4.7	0.21	2a	Two-center study
94	80	4.7	0.21	2b	“Non-AD” controls

The table continues on the next page

Table 20.3 *continued*

Author Year Reference	P-tau epitope	AD (n)	Controls (n)	Change in AD (% of controls)
Buerger et al 2002 [58]	Thr ₂₃₁	82	21	N g
Hampel et al 2004 [23]	Thr ₂₃₁	108	23	1 906
Kohnken et al 2000 [21]	Thr ₂₃₁	27	31	N g
Hu et al 2002 [22]	Ser ₃₉₆₊₄₀₄	52	56	346
Ishiguro et al 1999 [20]	Thr ₂₃₁ + Ser ₂₃₅	36	20	N g
Blennow et al 1995 [6]	Thr ₁₈₁ + Thr ₂₃₁	40	31	348
Sum Mean		830	396	428.8

AD = Alzheimer's disease; LR = Likelihood ratio; N g = Not given

Sensitivity	Specificity	LR+	LR–	Quality of study	Comments
100	91	10.5	0.1	2a	Multicenter study
85	96	21.3	0.05	2a	Two-center study
85	97	28.3	0.04	2b	“Non-AD” controls
94	89	8.5	0.12	2a	Cut-off P-tau >100 pg/mL
53	100	40	0.025	2b	“Non-AD” controls
88	97	29.3	0.03	2a	
80.5	91.7	16.3	0.12		

Table 20.4 *Combination of CSF markers in Alzheimer's disease and controls.*

Author Year Reference	Marker and method	AD (n)	Controls (n)	Sensitivity
Sjögren et al 2000 [37]	Tau + Aβ42 (Innog)	60	32	73
Andreassen et al 2001 [39]	Tau + Aβ42 (Innog)	105	N a	94
Riemenschneider et al 2002 [40]	Tau + Aβ42 (Innog)	74	40	92
Sjögren et al 2002 [41]	Tau + Aβ42 (Innog)	19	17	100
Gomez-Tortosa et al 2003 [42]	Tau + Aβ42 (Innog)	33	46	84
Kapaki et al 2003 [43]	Tau + Aβ42 (Innog)	49	49	96
Andreassen et al 2001 [69]	Tau + Aβ42 (Innog)	35	19	92
Galasko et al 1998 [48]	Tau + Aβ42 (Athena)	82	60	90
Vanderstichele et al 1998 [9]	Tau + Aβ42 (Innog)	81	15	74

Specificity	LR+	LR–	Quality of study	Comments
84	4.6	0.22	1a	Prospective, consecutive cases
N a	N a	N a	1a	Community-based sample
95	18.4	0.05	1a	Consecutive cases
100	40	0.025	1a	Prospective, consecutive cases
79	4	0.25	1a	Prospective study
86	6.9	0.15	1a	Clinical practice, 3-year follow-up
90	9.2	0.11	1b	
80	4.1	0.22	2a	Multicenter study
93	10.6	0.09	2a	

The table continues on the next page

Table 20.4 *continued*

Author Year Reference	Marker and method	AD (n)	Controls (n)	Sensitivity
Hulstaert et al 1999 [53]	Tau + Aβ42 (Innog)	150	100	85
Rösler et al 2001 [57]	Tau + Aβ42 (Innog)	27	49	85
Takeda et al 2001 [70]	Tau + Aβ42 (Innog)	189	27	80
Motter et al 1995 [10]	Tau + Aβ42 (Athena)	37	20	59
Mulder et al 2002 [64]	Tau + Aβ42 (Innog)	20	20	100
Sunderland et al 2003 [14]	Tau (Innog) + AβX-42 (IGEN)	131	72	92
Kanai et al 1998 [49]	Tau + Aβ42 (Innog + Jap)	93	54	40
Maddalena et al 2003 [66]	P-Tau181 + Aβ42 (Innog)	51	31	86
Hu et al 2002 [22]	T-tau + P-tau _{396/404}	52	56	96
Sum Mean		1 228	675	85

AD = Alzheimer's disease; Athena = Athena ELISA method [8]; Innog = Innogenetics ELISA method [6]; LR = Likelihood ratio; N a = Not applicable

Specificity	LR+	LR–	Quality of study	Comments
87	6.5	0.15	2a	Multicenter study
100	40.0	0.025	2a	
100	40	0.025	2a	Multicenter study
95	7.4	0.08	2b	Multicenter study
95	20	0.05	2b	
82	5.1	0.2	2b	31 AD with neuropathological diagnosis
90	9.3	0.25	2a	Multicenter study
97	28.7	0.03	1a	Consecutive cases
100	40	0.025	2a	
91.8	18.1	0.14		

Table 20.5 CSF markers in early Alzheimer's disease and MCI.

Author, year, reference	Marker	Criteria	n
Andreasen et al, 1999 [45]	T-tau	AD et al, MMSE >23	205
Galasko et al, 1997 [71]	T-tau	AD et al, MMSE >25	12
Riemenschneider et al, 1996 [72]	T-tau	AD et al, MMSE >25	11
Kurz et al, 1998 [60]	T-tau	AD et al, MMSE >25	19
Arai et al, 2000 [73]	T-tau	MCI with progr to AD	20
Andreasen et al, 2003 [74]	T-tau	MCI with progr to AD	44
Maruyama et al, 2001 [65]	T-tau	MCI et al, 70% with progr to AD	19
Gottfries et al, 2001 [75]	T-tau	MCI with progr to AD	32
Arai et al, 1997 [76]	T-tau	MCI with progr to AD	10
Sum Mean			372
Andreasen et al, 1999 [67]	Aβ42	AD et al, MMSE >25	24
Andreasen et al, 2003 [74]	Aβ42	MCI with progr to AD	44
Maruyama et al, 2001 [65]	Aβ42	MCI 70% with progr to AD	19
Sum Mean			87

Change in AD (% of controls)	Sensitivity	Quality of study	Comments
288	94	1b	Community-based patient sample
N g	75	2a	
284	91	2b	
384	84	2b	
N a	80	1b	Consecutive cases
212	80	1b	Community-based patient sample
291	68	2a	
267	60	2a	
N a	90	2b	
287.7	80.2		
N g	88	1b	Community-based sample
57	77	1b	Community-based sample
94	47	2b	
75.5	70.7		

The table continues on the next page

Table 20.5 *continued*

Author, year, reference	Marker	Criteria	n
Galasko et al, 1998 [48]	T-tau + Ab β 42	AD et al, MMSE >23	24
Hulstaert et al, 1999 [53]	T-tau + Ab β 42	AD et al, MMSE >23	23
Andreasen et al, 1999 [77]	T-tau + Ab β 42	MCI with progr to AD	16
Riemenschneider et al, 2002 [78]	T-tau + Ab β 42	MCI with progr to AD	10
Sum Mean			73
Riemenschneider et al, 2003 [31]	P-Thr ₁₈₁	AD et al, MMSE >25	29
Arai et al, 2000 [73]	P-Thr ₂₃₁ + P-Ser ₂₃₅	MCI with progr to AD	20
Andreasen et al, 2003 [74]	P-Thr ₁₈₁	MCI with progr to AD	44
Sum Mean			93

AD = Alzheimer's disease; MCI = Mild cognitive impairment; MMSE = Mini-mental state examination; N a = Not applicable; N g = Not given

Change in AD (% of controls)	Sensitivity	Quality of study	Comments
N a	62	2a	Multicenter study
N a	70	2a	Multicenter
N a	88	1b	Community-based patient sample
N a	90	2a	
77.5			
303	97	2a	
N a	70	1b	Consecutive cases
202	70	1b	Community-based patient sample
79.0			

Table 20.6 CFS markers in other brain disorders.

Author Year Reference	Number of cases	CSF total tau Percent with increase	Degree of increase	Number of cases	CSF Aβ42 Percent with decrease
Lewy body dementia					
Kanemaru et al 2000 [55]	11	18	Normal-mild	11	73
Itoh et al 2001 [27]	13	15	Normal-mild		
Saez-Valero et al 2003 [79]	19	16	Normal-mild	19	74
Shoji et al 2002 [59]	14	7	Mild- moderate		
Buerger et al 2002 [58]	17	53	Moderate		
Hampel et al 2004 [23]					
Hampel et al 2004 [23]					
Hampel et al 2004 [23]					

Degree of decrease	Number of cases	CSF phospho tau Percent with increase	Degree of increase	Quality of study	Comments
Mild-moderate				2a	
	13	15	Normal-mild	2a	P-Ser ₁₉₉
Moderate	19	11	Normal	2a	P-Thr ₁₈₁
				2a	
	17	59		2a	P-Thr ₂₃₁
	22	36	Mild-moderate	2a	P-Thr ₂₃₁
	22	32	Mild-moderate	2a	P-Thr ₁₈₁
	22	50	Mild-moderate	2a	P-Ser ₁₉₉

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Table 20.6 *continued*

Author Year Reference	Number of cases	CSF total tau Percent with increase	Degree of increase	Number of cases	CSF Aβ42 Percent with decrease
Riemenschneider et al 2003 [31]					
Sum Mean	74	21.8		30	73.5
<i>Fronto-temporal dementia</i>					
Sjögren et al 2000 [37]	17	24	Normal-mild	17	41
Sjögren et al 2001 [38]	18	0	Normal		
Sjögren et al 2001 [39]	14	14	Normal-mild		
Sjögren et al 2002 [41]	14	14	Mild	14	50
Wallin et al 2003 [44]	14	21	Normal-mild		
Blennow et al 1995 [6]	11	36	Mild		
Mecocci et al 1998 [50]	10	0	Normal		

Degree of decrease	Number of cases	CSF phospho tau Percent with increase	Degree of increase	Quality of study	Comments
	18	N g	Mild	2a	15 with mild, 3 high P-tau
	133	33.8			
Mild				1a	
	18	0	Normal	1a	P-Thr ₁₈₁
				1a	
Moderate	14	0	Normal	1a	P-Thr ₁₈₁
				1a	
	11	55	Mild incr	2a	P-Thr ₁₈₁₊₂₃₁
				2a	

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Table 20.6 *continued*

Author Year Reference	Number of cases	CSF total tau Percent with increase	Degree of increase	Number of cases	CSF Aβ42 Percent with decrease
Green et al 1999 [80]	23	69	Moderate		
Hulstaert et al 1999 [53]	11	27	Mild- moderate	11	45
Vanmechelen et al 2000 [19]	18	0	Normal		
Sjögren et al 2000 [56]	18	11	Normal-mild		
Itoh et al 2001 [27]	16	25	Normal-mild		
Shoji et al 2002 [59]	14	36	Mild		
Buerger et al 2002 [58]	26	38	Mild		
Rosso et al 2003 [81]	17	35	Moderate	17	12
Hampel et al 2004 [23]					

Degree of decrease	Number of cases	CSF phospho tau Percent with increase	Degree of increase	Quality of study	Comments
				2a	
Mild-moderate				2a	
	18	0	Normal	2a	P-Thr ₁₈₁ Low P-tau in FTD
				2a	
	16	37	Mild-moderate	2a	P-Ser ₁₉₉
				2a	
	26	12	Mild	2a	P-Thr ₂₃₁
Mild	17	29	Mild-moderate	2a	
	24	8	Normal-mild	2a	P-Thr ₂₃₁

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Table 20.6 *continued*

Author Year Reference	Number of cases	CSF total tau Percent with increase	Degree of increase	Number of cases	CSF Aβ42 Percent with decrease
Hampel et al 2004 [23]					
Hampel et al 2004 [23]					
Fabre et al 2001 [82]	47	49	Mild- moderate		
Sum Mean	288	24.9		59	37
<i>Vascular dementia</i>					
Andreassen et al 1998 [36]	21	86	Moderate		
Sjögren et al 2000 [37]	25	52	Mild- moderate	25	68
Sjögren et al 2001 [38]	17	0	Normal		
Sjögren et al 2001 [39]	16	50	Mild		
Wallin et al 2003 [44]	17	47	Normal-mild		

Degree of decrease	Number of cases	CSF phospho tau Percent with increase	Degree of increase	Quality of study	Comments
	24	29	Mild	2a	P-Thr ₁₈₁
	24	58	Mild-moderate	2a	P-Ser ₁₉₉
				2b	
	192	22.8			
				1a	
Mild-moderate				1a	
	17	6	Mild	1a	P-Thr ₁₈₁
				1a	2 cases with marked increase
				1a	

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Table 20.6 *continued*

Author Year Reference	Number of cases	CSF total tau Percent with increase	Degree of increase	Number of cases	CSF Aβ42 Percent with decrease
Blennow et al 1995 [6]	17	76	Moderate		
Mori et al 1995 [7]	12	0	Normal		
Arai et al 1998 [47]	21	5	Normal		
Mecocci et al 1998 [50]	10	20	Normal-mild		
Hulstaert et al 1999 [53]	33	27	Moderate	33	67
Itoh et al 2001 [27]	23	39	Mild- moderate		
Gottfries et al 2001 [75]	14	44	Mild- moderate		
Shoji et al 2002 [59]	63	13	Normal		
Buerger et al 2002 [58]	20	40	Moderate		

Degree of decrease	Number of cases	CSF phospho tau Percent with increase	Degree of increase	Quality of study	Comments
	17	65	Moderate incr	2a	P-Thr ₁₈₁₊₂₃₁
				2a	
				2a	
				2a	
Mild-moderate				2a	
	23	17	Mild	2a	P-Ser ₁₉₉
				2a	
				2a	
	20	35	Moderate	2a	P-Thr ₂₃₁

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Table 20.6 *continued*

Author Year Reference	Number of cases	CSF total tau Percent with increase	Degree of increase	Number of cases	CSF Aβ42 Percent with decrease
Hu et al 2002 [22]	46	50	Mild- moderate		
Saez-Valero et al 2003 [79]	12	17	Normal-mild	12	58
Sum Mean	367	35.4		70	64.3
<i>Cerebrovascular disease without dementia</i>					
Vigo-Pelfrey et al 1995 [8]	16	0	Normal		
Arai et al 1995 [46]	19	16	Normal		
Nishimura et al 1998 [52]	38	13	Normal-mild		
Sum Mean	73	9.7			
<i>Normal pressure hydrocephalus</i>					
Hulstaert et al 1999 [53]	20	20	Mild- moderate	20	50

Degree of decrease	Number of cases	CSF phospho tau Percent with increase	Degree of increase	Quality of study	Comments
	46	7	Mild	2a	P-Ser ₃₉₆₊₄₀₄
Mild-moderate	7	14	Normal-mild	2a	P-Thr ₁₈₁
	130	24			
				2a	
				2a	16/19 normal tau
				2a	
Mild-moderate				2a	

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Table 20.6 *continued*

Author Year Reference	Number of cases	CSF total tau Percent with increase	Degree of increase	Number of cases	CSF Aβ42 Percent with decrease
Kudo et al 2000 [83]	20	55	Mild- moderate		
Tullberg et al 2000 [84]	43	N g	Normal-mild		
Sum Mean	83	37.5		20	50
<i>Amyotrophic lateral sclerosis</i>					
Sjögren et al 2002 [41]	19	45	Mild- moderate	19	100
Vigo-Pelfrey et al 1995 [8]	20	10	Normal-mild		
Kanai et al 1998 [49]	12	0	Normal		
Kapaki et al 2000 [85]	17	0	Normal		
Sum Mean	68	13.8		19	100

Degree of decrease	Number of cases	CSF phospho tau Percent with increase	Degree of increase	Quality of study	Comments
				2b	
				2b	
Moderate-severe	19	9	Mild	1a	P-Thr ₁₈₁
				2a	
				2a	
				2a	
	19	9			

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Table 20.6 *continued*

Author Year Reference	Number of cases	CSF total tau Percent with increase	Degree of increase	Number of cases	CSF Aβ42 Percent with decrease
<i>Parkinson's disease without dementia</i>					
Sjögren et al 2000 [37]	23	22	Mild	23	26
Sjögren et al 2001 [38]	15	0	Normal		
Sjögren et al 2002 [41]	15	0	Normal	15	27
Blennow et al 1995 [6]	15	27	Mild		
Holmberg et al 2003 [86]				48	4
Sum Mean	68	12.3		86	19
<i>Parkinson's disease without dementia</i>					
Holmberg et al 2003 [86]				15	0
Itoh et al 2001 [27]	21	0	Normal		

Degree of decrease	Number of cases	CSF phospho tau Percent with increase	Degree of increase	Quality of study	Comments
Mild				1a	
	15	0	Normal	1a	P-Thr ₁₈₁
Mild	15	0	Normal	1a	P-Thr ₁₈₁
	15	27	Mild	2a	P-Thr ₁₈₁₊₂₃₁
Normal				2a	
	45	9			
Normal				2a	
	21	19	Mild	2a	P-Ser ₁₉₉

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Table 20.6 *continued*

Author Year Reference	Number of cases	CSF total tau Percent with increase	Degree of increase	Number of cases	CSF Aβ42 Percent with decrease
Shoji et al 2002 [59]	14	7	Normal		
Urakami et al 2001 [87]	30	0	Normal		
Sum Mean	65	2.3		15	0
<i>Corticobasal degeneration</i>					
Itoh et al 2001 [27]	15	33	Normal-mild		
Shoji et al 2002 [59]	14	14	Normal-mild		
Sum Mean	29	23.5			
<i>Depression</i>					
Sjögren et al 2000 [37]	19	10	Normal-mild	19	0
Andreasen et al 1999 [45]	28	18	Normal-mild		
Blennow et al 1995 [6]	10	0	Normal		

Degree of decrease	Number of cases	CSF phospho tau Percent with increase	Degree of increase	Quality of study	Comments
				2a	
				2b	
	15	47	Mild-moderate	2a	P-Ser ₁₉₉
				2a	
	15	47			
Normal				1a	
				1b	
	10	0		2a	P-Thr ₁₈₁₊₂₃₁

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Table 20.6 *continued*

Author Year Reference	Number of cases	CSF total tau Percent with increase	Degree of increase	Number of cases	CSF Aβ42 Percent with decrease
Rösler et al 1996 [88]	11	9	Normal-mild		
Burger née Buch et al 1999 [61]	19	26	Normal-mild		
Buerger et al 2003 [89]					
Schonknecht et al 2003 [90]	25	0	Normal		
Sum Mean	112	10.5		19	
<i>Alcoholism</i>					
Morikawa et al 1999 [63]	20	5	Normal		
Sum Mean	20	5			
<i>Creutzfeldt-Jakob disease</i>					
Otto et al 1997 [25]	21	100	Very high		
Otto et al 2000 [91]				27	89

Degree of decrease	Number of cases	CSF phospho tau Percent with increase	Degree of increase	Quality of study	Comments
				2a	
				2b	
	34	Ng	Normal-mild	2a	
				2a	
	44	0			
				2b	With dementia
				IIB	Tau cut-off = 1 530 pg/mL
Moderate				IIB	

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Table 20.6 *continued*

Author Year Reference	Number of cases	CSF total tau Percent with increase	Degree of increase	Number of cases	CSF Aβ42 Percent with decrease
Kapaki et al 2001 [28]	14	100	Very high	14	64
Otto et al 2002 [29]	109	96	Very high		89
van Everbroeck et al 1999 [26]	29	74	Very high	29	N g
Itoh et al 2001 [27]	11	100	Very high		
van Everbroeck et al 2002 [30]	75	92	Very high		
Riemenschneider et al 2003 [31]	20	100	Very high		
Sum Mean	279	94.6		70	80.7

N g = Not given

Degree of decrease	Number of cases	CSF phospho tau Percent with increase	Degree of increase	Quality of study	Comments
Moderate-marked				IIB	Tau cut-off = 2 131 pg/mL
				IIB	Tau cut-off = 1 300 pg/mL
Moderate				2a	Tau cut-off = 1 530 pg/mL
	11	36	Mild	2a	P-Ser ₁₉₉
	75	Ng	Mild-moderate	2a	Tau cut-off = 1 350 pg/mL
	20	85	Mild	2a	P-Thr ₁₈₁
	106	60.5			

Table 20.7 Summary of diagnostic validity of CSF markers.

CSF marker	Degree of quality	Studies (n)	AD (n)	Controls (n)
T-tau	1-a	9	405	243
	1-a, 1b, 2a, 2b	36	2 628	1 540
A β 1-42	1-a	5	253	169
	1-a, 1b, 2a, 2b	15	819	571
P-tau	1-a	3	111	65
	1-a, 1b, 2a, 2b	14	830	396
Combination of T-tau, P-tau or A β 1-42	1-a	6	340	184
	1-a, 1b, 2a, 2b	18	1 228	675

AD = Alzheimer's disease; LR = Likelihood ratio

Sensitivity	Specificity	LR+	LR–	Grade of evidence
83.2 77.1	91.6 89.9	16 16.4	0.11 0.16	Grade 1 (strong)
88.4 87.3	88.8 87	10.5 11.7	0.13 0.16	Grade 1 (strong)
62 80.5	91 91.7	7.9 16.3	0.14 0.12	Grade 2 (moderately strong)
89.8 85	88.8 91.8	14.8 18.1	0.17 0.14	Grade 1 (strong)

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21. Interventions in Dementia Disorders

Background

Treatment of dementia is defined as any planned action to improve function, symptoms or other aspects of a dementia disorder, such as quality of life and economic consequences.

From a broad perspective, the treatment of cognitive decline in dementia can be described in terms of prevention (Figure 21.1). Primary prevention may be defined as averting a shift from normal cognitive functioning to a state of mild cognitive impairment (MCI). Secondary prevention is aimed at preventing or postponing a shift to cognitive impairment that is sufficient for a diagnosis of dementia. Tertiary prevention includes the treatment of manifest dementia. Primary prevention is included in the chapter on risk factors for dementia. This section on interventions focuses on tertiary prevention only, as well as other considerations in treating manifest dementia.

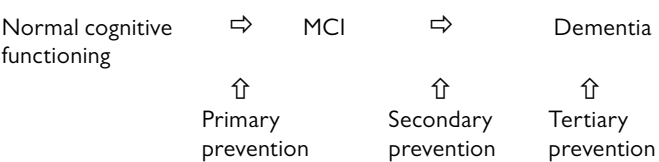


Figure 21.1 *Forms of prevention.*

One important aspect of intervention concerns pharmacological strategies to improve cognitive function. The negative impact of drugs on cognitive function is another important aspect, as are depression and behavioral and psychological symptoms in dementia (BPSD).

The environment also has an impact on the function of a person with dementia. Thus, strategies to adapt interpersonal communication or the physical environment to counteract some of the reduced function are important aspects of treatment.

Both pharmacological treatment and environmental intervention may influence function in dementia, though normally in different – sometimes complementary – ways. The relationship between environment and function is important, and different symptoms may be influenced by different intervention strategies.

A pharmacological study will lead to environmental changes, paying more attention to wellbeing, testing and regular visits. That is the only difference for the placebo group, while the treatment group is affected by the drug treatment as well.

Thus, interventions in dementia disorders include a variety of strategies, both pharmacological and non-pharmacological. An intervention can have a multifaceted impact, including cognitive function, non-cognitive symptoms, ADL functions, resource utilization and quality of life. Among the targets of an intervention may be biological functions of the brain, personal wellbeing and social performance, as well as existential questions and ethical considerations.

Questions of interest

The main questions of interest concerning interventions in dementia were framed as follows:

Questions regarding pharmacological interventions:

- What drugs have been tested for interventions in cognitive and non-cognitive disturbances in AD; VaD and other dementia disorders?
- What drugs have a significant impact on cognitive disturbances (defined as ADL functions, global scales, etc)?

- What drugs have a significant impact on non-cognitive disturbances (such as depression or quality of life)?
- What are the effects of drug treatment at different stages (mild, moderate, severe) of AD?
- Can drugs cause states of confusion (delirium) (with or without dementia)?

Specific questions regarding non-pharmacological interventions:

- What types of interventions in the physical environment have a relevant clinical effect in terms of patient outcome; day care, caregiver support, dementia-specific residential accommodations, nursing homes?
- Is there evidence for the impact of non-pharmacological intervention, such as reality orientation, validation, reminiscence therapy, music, dance, tactile massage, caring activities that promote integrity, mental training, physical activities, communication, debriefing and coping strategies?
- Is there evidence for the impact of professional caregiver support, such as education and instructions?
- Is there evidence for informal caregiver interventions, such as education, support, coping and dementia teams?

Study quality and levels of evidence

All included quantitative studies were analyzed for quality with regard to the reporting of specific aspects. On a general level, the study analysis concerned external validity, internal validity and precision. Included studies were rated on the basis of specific criteria as high, moderate or limited quality. Using a checklist, members of the project group independently rated each study. They did not assess their own published studies.

Given the quantitative studies included, the scientific evidence was assigned one of four evidence grades:

Evidence Grade 1, strong scientific evidence:

Based on two or more RCTs of high quality or one systematic review based on RCTs of high quality, with all studies having the same orientation.

Evidence Grade 2, moderate scientific evidence:

One study of high quality and at least one study of medium quality, or three or more studies of medium quality, or a meta-analysis of studies of medium quality, with all studies having the same orientation.

Evidence Grade 3, limited scientific evidence:

Two studies of medium quality, with all studies having the same orientation.

Insufficient evidence:

None of above.

Methodological aspects of pharmacological studies

Selection and setting

The results of a study should be generalizable, ie the effects in the study population should hold true in any other population with the same diagnosis (high external validity). However, patients in clinical trials are highly selected with respect to both inclusion and exclusion criteria. They commonly come from a university hospital memory clinic. Furthermore, they have dedicated caregivers with expected high compliance and a low rate of non-cognitive symptoms (BPSD) that interfere with participation. They have a “pure” diagnosis, fewer other concomitant medical conditions and less medication. The results of the various trials must also be evaluated in light of the different settings, whether

memory clinics in Western Europe, nursing homes in the United States or institutions in other parts of the world.

Thus, a study population differs from a “clinical” population. However, how that may affect the results is difficult to assess. On one hand, the expected prognosis may be more favorable than for patients with dementia disease in general. On the other hand, patients with more rapid progression and thus a more unfavorable prognosis may be more inclined to go to a specialized memory clinic, so that their expected prognosis would be more favorable than for patients with dementia diseases in general.

Which patients were included in the efficacy analyses: ITT, ITT-LOCF or OC?

In all studies, some patients will drop out at some point and some will not. Dropouts stand out in several respects, including non-response to treatment, adverse events, impaired compliance due to BPSD or caregiver-related factors and severe disease. Analysis based on ITT (intention-to-treat) populations include all randomised patients (regardless of whether they dropped out or not) who received at least one dose of study medication and had at least one assessment after baseline while taking the medication. Many publications also show the results of ITT-LOCF analyses (Last Observation Carried Forward). This method replaces the results of a missing scheduled assessment with those of the immediately preceding assessment, provided that the patient was still on treatment at that time. For instance, if patients drop out before the study end at 6 months, their results from the previous assessment at 3 months are carried forward to the final analysis. Because dementia patients are expected to deteriorate over time, ITT-LOCF analyses underestimate the expected decline. That is a minor problem if patients in the active and placebo group drop out of the study at similar times. However, in the case of frequent early dropouts due to a higher rate of adverse events in patients receiving active treatment, and frequent late dropouts due to lack of efficacy in placebo patients, ITT-LOCF analyses may yield a false, excessively high, favorable impact of active treatment. Conversely, ITT-LOCF analyses do not differ substantially from ITT analyses if the

rates and times of discontinuation due to adverse events are similar in the active treatment and placebo groups. A retrieved dropout analysis (RDO) would be preferable, but the motivation to participate in follow-up studies is lower among patients with diseases that are gradually becoming more severe, so that they do not attend the follow-ups.

In contrast to ITT analyses, analyses of the OC population (observed cases, or TPP, treated per protocol) refer to all randomised patients who were still on treatment at the designated assessment time, such as at the end of the study. Thus, the analyses exclude all patients who dropped out due to adverse effects, lack of efficacy or other reasons. Thus, OC analyses are based on selected patients and do not reflect how all randomised patients responded. In the case of a large dropout rate due to adverse effects in the group receiving active treatment, OC results yield a false, excessively favorable impression of the active compound.

In summary, the efficacy results in each trial must be assessed in terms of the types of patients who participated, the number of – and reasons for – dropouts, and the rate of adverse events.

Long-term effects

In order to analyze the effects of any intervention in dementia (or other incurable, chronic, progressive disorders, such as diabetes and rheumatoid arthritis), the entire course of the disease should ideally be covered, from the early signs to death. There are two reasons for this theoretical position. First, early interventions may influence survival in a way that is of interest for analytical purposes. Second, there may be transitions of outcomes during the course of the disease. Thus, the desirable time frame for the analysis might be 10–15 years or more. Due to practical (and perhaps ethical) considerations, it is difficult to maintain an RCT design for patients who have dementia disorders for extended periods of time. Thus, if describing long-term effects is of interest, alternative designs must be used even if validity in terms of evidence is poorer. In the case of open follow-up studies, or pragmatic design, longer periods of empirical data may be used. Due to selection effects, high attrition and problems in finding controls, such studies are subject to certain

limitations such that whether they may be regarded as controlled becomes questionable. Observational studies are by definition uncontrolled, and how patients are selected for treatment or comparison groups is insufficiently known. Models can describe potential long-term effects, and their sensitivity analyses can examine various effects. But such studies are not ultimately empirical. Meta-analysis and sequence-analysis (which incorporates studies covering different stages of dementia) may be an option, but the selection of studies is crucial. To sum up, no ideal method exists for describing long term-effects, so that a synthetic approach using a variety of designs may be needed.

Concepts of care

Interventions in dementia consist of both pharmacological and non-pharmacological strategies. This report follows that structure in order to identify evidence based on assessments of published scientific studies.

Because biological changes differ among various dementia disorders, the effects of pharmacological interventions might be specific to a particular diagnosis. Some pharmacological interventions, and a majority of non-pharmacological interventions, are valid for a broad range of disorders. Thus, the need for specification of the diagnosis differs among various types of intervention.

Different kinds of social programs/environmental interventions and care concepts have been used in dementia care for several decades. The concepts refer to a variety of interventions, such as day care, caregiver support/counseling and long-term care (LTC)/housing programs. Due to the heterogeneity of such programs, formulating a general statement about them is often difficult. Furthermore, the programs must also be seen in the light of their social context. It may be of interest to study the effects of institutions, such as caregiver time by professional staff, or of emergency hospital care. Following is a brief presentation of different programs. The programs may be the aim of the studies, but they may also form the basis for evaluating various intervention settings.

Quality of life

Quality of life (QOL) is regarded as one of the most important and clinically most relevant outcomes when analyzing interventions in dementia care [1].

The QOL dimension encompasses physical health, functional status, psychological and cognitive health, social wellbeing, etc [2]. The assessment of QOL in dementia has its own special difficulties. Assessments of QOL are normally self-rated, but that is often impossible to achieve in the case of dementia, so that the results – such as the subjective wellbeing of the patient as compared to the views of proxies – may be unreliable [3]. Alternatively, or as a complement, observations of the patient's behavior can be used [4].

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22. Pharmacological Treatment of Dementia – Established Drugs

Conclusions

The following may be concluded on the basis of this systematic review of randomised, placebo-controlled clinical trials:

There is moderately strong evidence that treatment with donepezil for 6–12 months and with galantamine for 6 months improves or maintains global function in Alzheimer's disease (AD) with mild to moderate dementia. The effect can be expressed as a mean rate of stabilization or improvement of symptoms in 57–75% of patients with active treatment, as opposed to 42–56% of placebo patients.

There is moderately strong evidence of limited effects on cognitive performance after 6 months of donepezil, rivastigmine or galantamine treatment (12 months as well when it comes to donepezil). The magnitude of the effect is 1 to 1.5 points better on the Mini-mental state examination (MMSE).

There is limited evidence that treatment with memantine for 6 months has some effect in improving or maintaining global and cognitive function in AD with moderate to severe dementia (MMSE 3–14 points).

Patients with AD and concomitant cerebrovascular respond similarly to treatment as patients with pure AD. The efficacy of acetylcholinesterase inhibitors in patients with pure VaD is small.

There is moderately strong evidence that memantine treatment is equivalent to placebo with respect to global function in VaD. The small positive effect that memantine has on the cognitive function of these patients is considered to be of doubtful clinical value.

Adverse events

With the exception of donepezil 5 mg daily, there is moderately strong evidence that nausea and vomiting are more common in patients receiving acetylcholinesterase inhibitors than placebo. Adverse events are generally mild and transient.

There is strong evidence that rates of adverse events are equal in treatment with memantine 20 mg daily and placebo.

Other conclusions

The patient and his/her caregiver/informant must be motivated to make follow-up appointments. Impressions of global and cognitive function (MMSE) should be documented before treatment starts.

The short-term purpose of treatment is an improvement in or maintenance of functions over several months. The long-term treatment is to slow the rate of deterioration. If those goals are not achieved, treatment should be interrupted.

The deterioration rate in patients who were treated with placebo and who completed the trials was slower than previously assumed about the natural course of AD. That may be due to the selection of patients or to the effects of participation in a clinical trial.

Enhancing acetylcholinergic transmission would enhance alertness. This effect may be reflected as an increased ability to focus and thus to perform better on cognitive tests dealing with immediate memory and recall, as well as improved initiation and participation in ADL and social activities. However, the effects compared to placebo are small. Furthermore, rates of progression in AD are highly variable and functions may even be preserved over several months in untreated patients. In other words, the effects may be difficult to determine for the individual patient.

For all trials that compared rivastigmine with placebo, discontinuation due to adverse events was more common in patients who received active

treatment. That can be partly avoided by means of a slower dose titration rate.

Only one study found a beneficial effect of combining memantine and donepezil as opposed to monotherapy. Thus, no evidence may currently be adduced.

Regarding potential effects on behavioral disturbances and psychological symptoms, the results of trials on acetylcholinesterase inhibitors and memantine are conflicting. There is a lack of studies that specifically address this issue.

Background

Currently (July 2004), 4 agents are registered in Sweden with symptomatic treatment of dementia as a primary target. Donepezil (Aricept), rivastigmine (Exelon), and galantamine (Reminyl) are acetylcholinesterase inhibitors indicated for mild to moderate dementia due to AD. Memantine (Ebixa) is indicated for moderate to severe dementia due to Alzheimer's disease (AD).

Acetylcholinesterase inhibitors (AChEIs)

Among the widespread neuronal damage and neurotransmitter losses in AD are cholinergic neurons. Based on the cholinergic deficit hypothesis, one therapeutic strategy has been to enhance cholinergic neurotransmission by using acetylcholinesterase inhibitors to delay the breakdown of acetylcholine released into synaptic clefts. A pilot study published in 1986 showed the benefits of treatment with the acetylcholinesterase inhibitor tetrahydroaminoacridine (THA, tacrine) [1], which later became the first agent registered for treatment of mild to moderate AD in Sweden (1995). However, the benefits of tacrine in clinical practice were small, due to a high frequency of adverse effects, including vomiting and hepatotoxicity, and it was withdrawn a few years later. Several other acetylcholinesterase inhibitors – including oral physostigmine, metrifonate, and eptastigmine – have been investigated in clinical trials but have not been approved by the regulatory authorities. The results of

these studies have been reviewed elsewhere [2,3]. Donepezil (Aricept, registered in 1997) was the first, second-generation acetylcholinesterase inhibitor that is selective to the central nervous system. It was followed by rivastigmine (Exelon, registered in 1998) which is an inhibitor of acetyl- and butyrylcholinesterase, and by galantamine (Reminyl, registered in 2000), which also acts as a modulator at nicotinic cholinergic receptor sites.

Memantine

The excitatory activity of L-glutamate plays a role in the pathogenesis of AD and in the damage caused by ischemic brain lesions. Memantine (Ebixa, registered in 2002) is a low affinity antagonist to N-Methyl-D-aspartate (NMDA) type receptors intended to prevent excitatory amino acid neurotoxicity while not interfering with the physiological action of glutamate that is required for memory and learning.

Aim

The aim of this review is to assess the extent to which these compounds improve the wellbeing of patients with dementia due to AD and with other dementia disorders. At the time of the literature search, there were no published studies on AD patients with mild cognitive impairment.

Methods

Strategy for searching the literature

Medline (1970 through July 1, 2004) was searched on “donepezil”, “rivastigmine”, “galantamine”, and “memantine” for all RCTs published in English. As a second step, Medline was searched without limiting it to these four agents. Additional references and data from unpublished studies were collected from the Cochrane reviews, most recent amendments, September 2003 [4–7]. Data on efficacy measurements and adverse events were gathered from both the original publications and the Cochrane reviews, after which they were checked for agreement.

Included trials: Acetylcholinesterase inhibitors in AD

The majority of the hits in the literature search were discarded because they did not meet the quality criteria. Many were open-label extension studies. With this design, all patients that remain at the end of the double-blind phase are offered treatment with the active drug. During the extension phase, patients are compared to a fictive placebo group based on calculations of the disease progression or on historical controls. Thus, the design does not allow for an efficacy evaluation given that the patients are not randomised and the treatments are not concealed. Furthermore, patients are highly selected. Only double-blind, placebo-controlled RCTs were included in this review. All were rated as either high or medium quality. Almost all were multicenter studies, and all were parallel, with the exception of one that had a crossover design. All studies defined AD according to at least one of the following criteria: NINCDS-ADRDA, ICD-10 and DSM-III, DSM-III-R or DSM-IV. Other inclusion criteria varied, but the most recent studies on mild to moderate dementia patients state that CT or MR should be consistent with a diagnosis of AD, and a modified Hachinski ischemic score <5 was commonly used to further differentiate AD from VaD [8]. Mild to moderate dementia was defined as CDR 1 to 2, with most patients in CDR 1, and/or as MMSE 10 to 26 p or narrower. Most studies stated that they only included patients with a reliable caregiver who could monitor medications, report AEs and attend evaluations of ADL. Information on AEs was collected by questioning the caregiver at each assessment, but the papers rarely described this procedure in detail.

Donepezil

Searching on “donepezil” for RCTs produced 70 hits. Fourteen publications covering 4 159 patients were considered to be original reports on AD patients and met the qualitative inclusion criteria for evaluation. The Cochrane review identified three more RCTs [4]. They were excluded from this review due to inadequate dosage (study 134) or because they are unpublished and not amenable to checking: studies 205 and 306, with 51 patients altogether [4]. Twelve of the 14 studies enrolled mild to moderate dementia cases (mean MMSE 17–21, ranges 10–26 points). One of the

other two trials studied patients with moderate to severe dementia who lived at home or at assisted living facilities (mean MMSE 12–14 points) [8], while the other one included very old patients in US nursing homes with mild to severe dementia (MMSE 5–26 points) [9]. The long-term AD2000 study differed from the others in that there was a large dropout rate and the inclusion criteria specified that “the doctor had to be substantially uncertain that the individual would obtain worthwhile clinical benefit from donepezil” [10]. Three pilot studies included patients who were able to participate in investigations with SPECT [11], PET [12] and MRI [13]. In addition to these placebo-controlled studies, one comparative study between donepezil and rivastigmine [14] and one study comparing donepezil with galantamine [15] are commented on.

Rivastigmine

Medline yielded 29 hits, including 6 original RCTs. In addition, data from 2 large unpublished trials were collected from the Cochrane review [5], for a total of 3 397 patients (Table 22.1). All trials covered mild to moderate AD (mean MMSE 18–20, range 10–26 points).

Galantamine

Six published, original RCTs were among 31 hits on Medline, together with data from one unpublished trial from the Cochrane review [6]. These trials assessed mild to moderate dementia due to AD ($n = 3\,587$, mean MMSE 17–19, ranges 10–24 points) (Table 22.1).

Included trials: Memantine

Out of 28 hits for “memantine”, 7 trials were identified that met the required quality criteria (Table 22.2). These studies were heterogeneous with regard to dementia diagnosis – vascular or unspecified dementia in the three early studies – severity (mild to severe), duration (6–28 weeks), setting (Latvian nursing homes, US and Western European outpatients), and memantine dosage (10–30 mg/day). Randomization procedures, concomitant disorders and medications were not described in detail in the earlier publications. Two large recent studies on mild to moderate VaD had equivalent designs [16,17]. The Latvian nursing home trial

lasted for 12 weeks and included AD and MID patients in equal proportions [18]. Only one longer trial included (community dwelling) patients with severe AD [19]. One recent trial examined the effects of memantine/placebo when added to a stable dosage of donepezil in patients with moderate to severe AD [20].

Measurements of efficacy

Efficacy was assessed in most cases by measurements of global function and cognitive tests as primary outcomes. Measures of ADL and behavioral disturbances were mainly used as secondary outcome parameters. Following is a brief presentation of the most commonly used rating scales.

Global function

A Clinician's Interview-Based Impression of Change with caregiver input (CIBIC-plus) provides a global rating of patient function in four areas: general, cognitive, behavioral and ADL [21]. All patients are scored on global severity at baseline, and subsequent assessments are made on a scale of 1 to 7, with 1 indicating pronounced improvement, 3 mild improvement, 4 no change and 7 pronounced deterioration. Information, which is obtained from the caregiver and the patient, is generally rated by an independent clinician who is blinded to all other measurements. Rather than mean CIBIC scores, results are commonly presented as the percentage of responders in treatment and placebo groups, defined either as "improved" (1–3) or "unchanged or improved" (1–4). The older studies used a Clinician's Global Impression of Change (CGI-C) scale without specifying any details. A global measurement of dementia severity, the Clinical Dementia Rating Scale (CDR) is usually reported as a score of 0.5, 1, 2, or 3, indicating questionable, mild, moderate or severe dementia. The scores are derived from ratings in 6 domains (memory, orientation, judgment and problem solving, community affairs, home and hobbies, and personal care), each scored from 0 (normal) to 3 (severe dementia). The sum of the ratings (0–18) provides the CDR Sum of Boxes (CDR-SB) [22]. The Global Deterioration Scale (GDS) is a crude measurement of disease severity [23]. The Gottfries, Bråne, and Steen scale (GBS) is a comprehensive scale for rating dementia symptoms

based on a semi-structured interview with the caregiver [24]. A 7-point scoring system from 0 (normal function) to 6 (maximum disturbance/presence of symptoms) measures orientation, memory and concentration (12 items), ADL (6 items), emotional function (3 items), and pathological aspects of behavior (6 items). The Mental Function Impairment Scale (MENFIS) is a modification of the GBS [25]. The BGP care dependency subscale reflects cognitive and functional characteristics associated with increased need for care [26]. Other global assessment scales are the Sandoz Clinical Assessment Geriatric Scale (SCAG) [27], the Functional Rating Scale (FRS) [28], and NOSGER [29].

Cognitive function

The Cognitive part of the Alzheimer's Disease Assessment Scale (ADAS-cog) is the most widely used test to evaluate changes in cognitive function in AD over time [30]. Notably, this scale was also used in VaD trials, although its sensitivity to changes in VaD has been insufficiently studied. The most common version of ADAS-cog consists of 11 individual tests: spoken language ability (0–5 points), comprehension of spoken language (0–5 points), recall of test instructions (0–5 points), word finding difficulty (0–5 points), following commands (0–5 points), naming object (0–5 points), construction drawing (0–5 points), ideational praxis (0–5 points), orientation (0–8 points), word recall (0–10 points) and word recognition (0–12 points). The total score ranges from 0 to 70 points in ascending degree of impairment. The results are commonly presented as a comparison of changes from the baseline between active treatment and placebo or as responders in each group. A 2 point change on the ADAS-cog scale roughly reflects a 1 point change on the Mini-mental state examination (MMSE) [31,32], a widely used screening test for dementia in clinical practice [33]. MMSE is easy to administer, the score ranging from 0 (severe impairment) to 30 (normal). MMSE was used to define the study populations, as well as a secondary endpoint in several studies. The Severe Impairment Battery (SIB) is designed to assess changes in cognitive function in patients with severe dementia (0–100 points in descending level of performance) [34]. Early memantine trials used the Syndrom-Kurtztest (SKT) as a cognitive test [27].

ADL

Virtually all ADL scales are based on information provided by the caregiver regarding performance in basic (personal) and instrumental (complex) ADL. The Progressive Deterioration Scale (PDS) is a disease-specific measure of changes in 29 items of ADL [35]. The Disability Assessment for Dementia (DAD) is a 10-domain, 40-item instrument that measures instrumental and basic ADL proceeding from a caregiver interview of what the patient actually did during the weeks before assessment [36]. A wide variety of other ADL scales were used in the reviewed trials: among them were Interview for Deterioration in Daily living activities in Dementia (IDDD) [37], AD Cooperative Study Activities of Daily Living (ADCS-ADL) [38], IADL+ and PSMS+ [39], the Alzheimer's Disease Functional Assessment and Change Scale (ADFACS) [40] and the Caregiver Modified Chrichton Scale (CMCS) [41]. Many of the global scales also include ADL measurements.

Behavioral disturbances and psychological symptoms

The Neuropsychiatric Instrument (NPI) is a 12-item, caregiver-rated instrument to evaluate behavioral and neuropsychiatric symptoms, including delusions, hallucinations, agitation/aggression, depression/dysphoria, anxiety, elation/euphoria, apathy, disinhibition, irritability, aberrant motor behavior, nighttime behavior, and appetite/eating disorder [42]. A lower score indicates improvement. The Behavioral Rating Scale for Geriatric Patients (BGP) is an observer-rated scale for geriatric inpatients that measures ADL and behavioral disturbances [18].

Measurements of acceptability and safety

Acceptability of treatment was measured by rates of withdrawal from trial. Safety was measured by rates of withdrawal due to adverse events (AE), and by the numbers of different AEs in a comparison between active treatment and placebo groups. Information on AEs was collected by questioning the caregiver at each assessment.

Acetylcholinesterase inhibitors in AD

Presentation of results

We focused on studies that lasted 6 months or longer and used the doses that are recommended for clinical practice in the Nordic countries, ie, donepezil 5 to 10 mg/day, rivastigmine 6 to 12 mg/day and galantamine 16 to 24 mg/day. Table 22.3 shows all primary and secondary outcomes, as well as rates of discontinuation (all causes, including AEs), in each study. Unless otherwise indicated, all figures are based on ITT or ITT-LOCF analyses. Results are presented as the measurement differences between baseline and end of the study for each treatment group. Although the results for the three cholinesterase inhibitors appear in the same table, the data cannot be used to compare the various agents, but only to show the differences between active treatment and placebo in each study.

Effects on global function, primary outcomes: CIBIC+ and GBS

Eleven included studies that lasted 6 months had CIBIC+ as a primary efficacy measurement of global function (Table 22.3). For studies with donepezil 5 or 10 mg daily, global function improved (CIBIC+ 1–3) in 21–26% of patients on active treatment and 11–14% of patients on placebo [31,43]. Treatment effects were higher in the Japanese study [41]. One rivastigmine study showed significant differences between active treatment (37% improvement) and placebo (20% improvement) [32]. But rivastigmine treated patients did not significantly improve on CIBIC+ (22–25% for active treatment and 15–25% for placebo) in the other 3 studies. Two galantamine trials reported CIBIC+ 1–3 as an endpoint, and the differences between active and placebo treatment were not significant (17–22% and 12–16%) [44,45]. When responders were de-fined as those who were unchanged or improved (CIBIC+ 1–4), the results significantly favored galantamine in all 3 studies [44–46].

Unchanged/improved global ratings averaged 66% (62–73%) for galantamine 24 mg/day and 52% (49–57%) for placebo. These figures are

comparable to donepezil studies, for which 57–67% (5 mg/day) and 63–75% (24 mg/day) were unchanged or improved for active treatment, as opposed to 49–55% for placebo. The rivastigmine publications did not state this endpoint. In the Nordic study, 31% of donepezil patients and 22% of placebo patients had improved from baseline on the GBS total score after 52 weeks [47].

Effects on global function, secondary outcomes

Treatment with 5 mg and 10 mg donepezil daily had significantly greater effects than placebo in terms of disease severity in terms of CDR-SB in all 24–54-week studies [9,31,41,43,48]. A meta-analysis based on these studies found that patients treated with donepezil 10 mg/day ($n = 511$) were virtually unchanged after 24 weeks (-0.09 to -0.02), while the scores of placebo patients ($n = 517$) had declined by 0.36 to 0.70 p [4]. For the Nordic study, twice as many patients in the active group showed post-baseline improvement on the GDS after 52 weeks as in the placebo group [47].

The rate of progression to moderate/severe dementia or worse ($GDS \geq 5$) after 26 weeks did not differ between rivastigmine treatment and placebo in study B304 (Unpublished I) [49] or B352 [50], but it was significantly lower among patients treated with rivastigmine in the B303 [32] and B351 (Unpublished II) [49] studies. The B352 study showed that patients treated with rivastigmine deteriorated significantly less than placebo patients on the GDS (mean scores) [50]. Thus, these 4 studies yielded conflicting results.

Effects on cognitive function, primary outcomes: ADAS-cog

Ten studies showed a change in ADAS-cog (70 point scale) from baseline as a primary endpoint (Table 22.3). The mean differences between active treatment and placebo groups varied from 1.4 to 3.9 points (ITT). After 6 months of treatment, the mean ADAS-cog scores tended to be slightly better than baseline in patients treated with donepezil and

slightly worse in placebo patients [13,31,41,43]. A meta-analysis found the mean differences between active treatment and placebo after 24 weeks to be -2.02 (-2.77 to -1.26) for donepezil 5 mg/day and -2.92 (-3.74 to -2.10) for donepezil 10 mg/day (minus denotes better results, ITT-LOCF) [4]. The results of all four 26-week rivastigmine trials showed a significantly smaller impairment in active treatment than placebo. A meta-analysis showed the mean difference between active treatment and placebo after 26 weeks to be -2.09 p (-2.65 to -1.54) for rivastigmine 6 to 12 mg/day, ITT [5]. A meta-analysis of 3 trials [32,49,50] found that the main items in ADAS-cog that differed between active and placebo treatment were word recall, word recognition, orientation, remembering test instructions and concentration [51]. The differences in ADAS-cog from baseline after 6 months of treatment with galantamine 16 to 24 mg/day and placebo were significant in all 3 trials [44–46], ranging from 2.9 to 3.9 points, ITT. A meta-analysis of these studies showed the mean differences between active treatment and placebo after 5 to 6 months to be -3.1 (-4.1 to -2.1) p for galantamine 16 mg/day and -3.3 (-3.9 to -2.7) points for galantamine 24 mg/day, ITT [6].

A meta-analysis by SBU of 4 RCTs with dropout rates of $<30\%$ and ADAS-cog as primary outcome (Figure 22.1) [31,41,44,45] examined the effect size. A moderate statistical and clinical difference between drug treatment and placebo was identified from an effect size of 0.49.

Effects on cognitive function: secondary outcomes

As a secondary outcome, some studies reported the rates of ‘responders’ in ADAS-cog, in actively treated patients compared to placebo patients. The figures are shown in Table 22.3.

Some of the donepezil and rivastigmine studies measured the change in MMSE from baseline to the end of the study. Two of the three 24-week studies found patients treated with donepezil to have significantly higher MMSE scores than placebo patients. The mean differences for 10 mg donepezil vs placebo were 1.4–1.8 points (Table 22.3). The third (nursing home) study yielded results favoring donepezil at weeks 8 to 20

but not at the end [9]. After 1 year, donepezil-treated patients performed 0.5 points worse and placebo patients performed 2.2 points worse ($\Delta 1.7$ points) [47]. In the AD2000 study, the donepezil group's mean MMSE had improved by 0.9 points from baseline after 12 weeks. Scores deteriorated over time, but a significant difference between treatment and placebo of approximately 0.8 points was maintained over 2 years. All 4 rivastigmine studies showed the difference in MMSE between rivastigmine 6–12 mg and placebo after 26 weeks to be significant, mean 0.7–0.8 p (Table 22.3).

Effects on ADL

The 54-week donepezil study used time to clinically evident decline in ADL (ADFACS) as a primary endpoint [48]. There was a significant delay in time to decline in patients who received active treatment: 41% ($n = 84/207$) reached the endpoint in 1 year, as opposed to 56% ($n = 116/206$) of placebo patients (Table 22.3). The median time to decline (Kaplan-Meier survival curves) was 5 months longer in the donepezil group. In 4 donepezil studies over 6–12 months, ADL measurements were secondary endpoints. Three of these showed that donepezil patients had a slower rate of ADL decline than placebo patients [41,43,47]. The Winblad study found that 3 single items of the PDS – memory, using the phone and self-care – differed significantly between the treatment and placebo group [47]. The nursing home study did not detect any significant differences in basic ADL preservation (PSMS) between patients treated with donepezil and placebo [9]. In the AD2000 study, the primary endpoint of progress of disability – defined as loss of 2/4 basic ADLs or 6/11 instrumental ADLs – was equal for donepezil and placebo patients after 2 years. Donepezil showed small, statistically significant advantage in mean scores on the BADL scale (secondary endpoint), persisting from week 24 to week 108. With respect to the efficacy of rivastigmine on ADL function, the results were conflicting (Table 22.3). There were significant differences between patients treated with galantamine (16 mg and 24 mg/day) and placebo patients on the ADCS-ADL 6 months after baseline [45]. In terms of the DAD, changes in ADL functions did not reach statistical significance for galantamine 24 mg daily versus placebo in the other 2 studies [44,46]. Thus, the results were conflicting.

Effects on BPSD

Many trials on community-living patients with mild to moderate AD did not aim to examine the potential effects on behavioral disturbances and emotional symptoms. Behavioral problems are expected to be infrequent at baseline in these patients. The nursing home version of NPI was the primary outcome measurement in the US nursing home study [9]. Total NPI scores did not differ significantly between donepezil and placebo treatment after 24 weeks. Donepezil showed significant effects in one variable, agitation/aggression. Similarly, in the Nordic Study, NPI scores did not differ between placebo and active treatment at 1-year follow-up [47]. Nor were there any differences in NPI scores between groups in the AD2000 study [10]. The moderate-severe AD study found significant improvements for donepezil, in contrast to placebo, on the total NPI score ($\Delta 5.6$ points out of 144 points) [52]. A meta-analysis of this study, including patients with moderate AD only (MMSE 10–17 points, $n = 290$), showed positive effects for donepezil on the NPI items of delusions, apathy and aberrant motor behavior [53]. NPI scores in patients treated with 16 mg and 24 mg galantamine were unchanged after 6 months, while placebo patients exhibited a small deterioration, mean difference 2.1 points [45].

Moderate to severe dementia

The donepezil study on moderate to severe AD included patients with MMSE 5 to 17 points [52]. The results favored donepezil vs placebo in all included measurements: global function (unchanged or improved CIBIC+ in 63% vs 42%), cognitive function, ADL and NPI scales, as well as caregiver strain (Table 22.3). Rates of withdrawal, as well as withdrawals due to AE, did not differ between treatment groups. A meta-analysis of patients with moderate to severe AD (GDS 5) in 3 rivastigmine studies [32,49,50] showed significant differences after 6 months between active treatment ($n = 819$) and placebo ($n = 642$) on the PDS [54]. When patients from the same studies were stratified according to GDS stage, those with moderate to severe dementia showed greater benefits from rivastigmine compared to placebo than those with mild dementia [55]. A post hoc analysis of 4 galantamine studies

[44–46,56] looked at patients with advanced moderate AD, ie, MMSE 10 to 12 points and/or ADAS-cog >30 points [14]. The mean change in ADAS-cog score from baseline was 6.5 points better after 5 to 6 months in patients treated with galantamine 24 mg/day (n = 705) than placebo patients (n = 714). The rates of unchanged or improved global functioning (CIBIC+ 1–4) were 49% to 55% in the treatment groups and 23% to 32% in the placebo groups, ie, the differences were greater than for patients with mild dementia.

Acceptability and tolerability

Table 22.3 shows rates of discontinuation before the end of the study for any reason. Donepezil treatment for 24 weeks was not associated with an increased risk of all-cause discontinuation in 4 of the 5 larger studies over 6 months (5 mg/day 12–22%, 10 mg/day 16–26%, placebo 14–26%). In the fifth study, dropouts were significantly more frequent for donepezil 10 mg daily (32%) than placebo (20%) [31]. After 52-week treatment in the Nordic Study, dropouts were equal for the donepezil (33%) and placebo groups (33%) [47]. The AD2000 study found discontinuation during the 12-week run-in period to be more frequent in the donepezil group. But after re-randomization and 48 weeks of treatment, the groups did not differ. Attrition was high, partly due to physicians' attitudes to treatment having changed during the course of the trial, and many patients were switched to open-label treatment. The total rates of withdrawal for patients treated for 6 months with 6–12 mg rivastigmine daily were significantly higher in all 4 trials (24–43%) than for placebo (13–25%). The total number of dropouts among patients treated with galantamine 16 or 24 mg/day for 6 months did not differ from placebo in 2 studies (20–22% vs 14–16%) but was significantly higher in the third one (32 vs 19%).

When it came to discontinuation due to adverse events (AE), no difference between donepezil 5 mg/day (2–9%) and placebo (4–10%) emerged in any study (Table 22.3). Patients treated with 10 mg daily had rates of 8–18%, a significant difference compared to placebo, in 2 of 4 studies. However, the 52-week Nordic study showed significantly lower corresponding figures of 7% and 6%. In the rivastigmine studies, 17–29%

of the patients on active treatment with 6–12 mg daily for 26 weeks withdrew before the end due to AE, significantly more often than placebo patients (7–12%). Patients treated with galantamine 16 mg/day for 6 months did not discontinue due to AE more often (7%) than placebo patients (7%). The rate was higher for patients on 24 mg/day (10–23%), a significant difference vs placebo in 1 study.

The two most frequent AEs for all 3 acetylcholinesterase inhibitors, as well as the most common reasons for early withdrawal, were nausea and vomiting. The rates of discontinuation due to AEs were related to the speed at which the doses were raised. In most studies with slow titration, dropout rates were the same between active and placebo patients. Frequencies of nausea did not differ between treatment with donepezil 5 mg/day and placebo in any study, nor between donepezil 10 mg/day and placebo in the Nordic study (11% vs 9%). Mean rates of nausea in the other studies comparing donepezil 10 mg/day and placebo were 17% vs 5%, OR 3.31 (2.34–4.68). The total frequency of nausea in the 4 rivastigmine studies comparing 6–12 mg daily with placebo was 47% vs placebo 12%, OR 5.40 (4.44–6.58). Nausea rates in the galantamine studies varied from 16% to 37% (24 mg/day), as opposed to 3% to 13% in the placebo groups. In no study did rates of vomiting differ between donepezil 5 mg/day and placebo. Mean frequencies of vomiting in the 24-week studies were 12% for donepezil 10 mg/day and 5% for placebo. However, in the 52-week Nordic study, vomiting occurred in less than 5% of patients in both groups. Mean rates of vomiting in all four rivastigmine studies were 30% vs 6%, OR 5.28 (4.19–6.65). Rates of vomiting were significantly higher in all galantamine studies comparing 16 to 24 mg/day (15–21%) with placebo (4–7%). The less frequent AEs of anorexia, diarrhea, weight loss, muscle cramp, fatigue, and insomnia were slightly more frequent in patients treated with donepezil, rivastigmine or galantamine [4–6]. Some studies found rhinitis, dizziness, headache, abdominal pain and tremor to be overrepresented among patients receiving acetylcholinesterase inhibitors, but there was no consistent pattern. The rates of abnormal gait, agitation, confusion, bradycardia, and syncope did not differ in most studies between active and placebo treatment.

Results after short-term treatment, effects of washout and placebo effects

Significant differences between active treatment (donepezil, rivastigmine, galantamine) and placebo on cognitive scales were generally detected after 6 to 12 weeks. There was no evidence of a prolonged beneficial treatment effect for donepezil, rivastigmine or galantamine after washout for 3 to 6 weeks. For example, scores returned toward baseline within 3 weeks of drug washout [57], and no differences between former placebo and donepezil patients were detected after 6 weeks of washout [31]. In the AD2000 study, washout for 4–6 weeks did not lead to irreversible deterioration. All trials showed a clear positive placebo effect at the first post-baseline assessments. Noticeably, placebo patients generally improved their performance on ADAS-cog 3 to 6 weeks after baseline by 1.0 to 1.5 points. The improvement was particularly evident for caregiver-rated scales. For instance, after 6 weeks of treatment in a clinical practice study, 23% of placebo patients and 24% of donepezil patients were deemed to have improved according to the caregiver-rated global impression [57]. Furthermore, mean (caregiver-rated) NPI-scores improved among both placebo and rivastigmine patients at 12 and 20 weeks after baseline [58].

Prediction of response

The AD2000 study reported that early response, defined as MMSE improvement ≥ 4 points from baseline after 12 weeks, did not predict positive subsequent treatment effects [10]. We did not find any results in the other reviewed studies that either supported or contradicted this finding. None of the studies indicated that advanced age was associated with poorer response. For instance, the donepezil nursing home study that recruited patients with a very high mean age, 85.7 years, found the treatment effects to be similar in patients above 85 ($n = 133/208$) and younger, ie, the results on the CDR-SB and MMSE significantly favored donepezil [9]. The presence of an apo E $\epsilon 4$ allele was previously suggested to be associated with lack of response to tacrine treatment. But that view was refuted by several of the reviewed trials [10,44,57].

One report stated that patients who had more rapid disease progression during the 6 months before the start of treatment obtained greater benefits from rivastigmine, as measured by ADAS-cog, than those with slowly progressive cognitive decline [59]. Several studies showed that patients with moderate to severe dementia obtained greater benefits than those with milder disease (see above). We did not find any studies that contradicted this finding. The presence of vascular risk factors was not associated with impaired response in any of the reviewed trials. Two post hoc analyses of the rivastigmine study B352 [50] concluded that AD patients with concomitant hypertension, as well as AD patients with a modified HIS >0, had the same treatment effects as other AD patients [60,61]. In AD + CVD, 32% of galantamine, and 19% of placebo, patients improved in terms of CIBIC+ after 6 months, while the Δ ADAS-cog was significant and similar to the results of pure AD studies, 2.8 points [62] (Table 22.6).

Neuroimaging findings in RCTs

An RCT with SPECT showed regional cerebral blood flow (rCBF) in placebo patients to decline significantly in the anterior cingulate gyri, inferior parietal and middle temporal cortex, and prefrontal cortex after 12 months, while rCBF in patients treated with donepezil 5 mg/day remained unchanged (Table 22.3) [11]. Donepezil study 203 reported significant differences in the regional cerebral metabolic rate of glucose (rCMRglu), as measured by positron emission tomography (PET), favoring donepezil over placebo [12]. Another donepezil study associated active treatment with significantly smaller decreases than placebo in total hippocampal volumes (MRI) after 6 months [13]. In an RCT with PET, patients who responded to rivastigmine treatment, defined as CIBIC 1–4 after 26 weeks, showed greater global CMRglu and greater regional CMRglu in the hippocampus and parahippocampal gyrus than non-responders to rivastigmine [63]. The results of the 2 studies are conflicting with respect to prediction of response. Reduction of frontal rCBF (SPECT) was associated with non-response to donepezil in one study [64], but another study found that responders had significantly lower lateral orbital and dorsolateral frontal perfusion bilaterally [65].

Comparisons among different acetylcholinesterase inhibitors

One RCT compared donepezil with rivastigmine [14], while another compared donepezil with galantamine [15]. Pfizer sponsored Wilkinson's study [14]. Twice as many patients receiving rivastigmine discontinued due to AE (22% vs 11% donepezil). Nausea occurred 4 times as often in the rivastigmine group as in the donepezil group (42% vs 11%). Scores on ADAS-cog and MMSE were similar in the 2 groups after 12 weeks of treatment. The Wilcock study was sponsored by Janssen-Cilag and was blinded to the rater but not the physician [15]. After 52 weeks, MMSE had decreased more from the baseline in the donepezil group than in the galantamine group, but the difference was not significant when the two groups were compared in between. Measurements of ADL function did not differ between the groups. Rates of discontinuation for all causes, including AEs, were the same in both groups.

Memantine in AD and VaD

Table 22.4 presents the main results from the longest studies (12–28 weeks).

Global function

The moderate to severe AD study found that memantine treatment (29%) had significantly better effects than placebo (10%) regarding the percentage of patient who reached the main pre-specified individual response, which was a compound measurement of global, ADL and cognitive functions [19]. However, the difference between the groups in terms of CIBIC+ (mean change from baseline) was of borderline significance. The shorter Latvian nursing home study, which included both VaD and AD patients, showed 73% of memantine patients and 45% of placebo patients to have improved on the CGI-C after 12 weeks [18]. The differences in improvement (59% vs 40%) after 4 weeks of treatment were also significant. In neither of the two recent trials on mild to moderate VaD did global measurements (CIBIC+ or CGI-C) differ between memantine and placebo [16,17].

Cognitive function

In terms of AD, moderate to severe memantine patients maintained their abilities better than placebo patients on the SIB ($\Delta 7$ points, range 0–100 points), a cognitive test battery designed for severe dementia. But no significant differences were detected on the MMSE ($\Delta 0.7$ points). The two VaD studies showed small but significant differences ($\Delta 1.8$ points and $\Delta 2.0$ points) favoring memantine with regard to the change in ADAS-cog from baseline, ie, of approximately the same magnitude as in the AChEI trials [16,17]. A pooled analysis of the 2 studies found the difference between memantine and placebo in ADAS-cog to be significant for the 553 patients classified as having small vessel disease but non-significant in the 214 patients with large vessel disease [66]. The difference was due to impairment over time for small-vessel disease patients, while the other VaD patients remained unchanged, compared to baseline. Memantine had the greatest positive effects on cognition in patients with severe dementia, MMSE <15 points.

ADL function and BPSD

ADL deteriorated significantly more in placebo than memantine patients in the moderate to severe AD study [19]. The main outcome (improvement $>15\%$ on BGP care dependency) in the Latvian nursing home study fell short of statistical significance, but the results favored memantine when the mean scores on BGP care dependency and the total BGP scores were calculated [18]. Furthermore, 8 of the 16 items on the D-scale for ADL were significantly better in memantine than placebo patients. Worth noting is that even the placebo patients improved during the 3-month study. The two VaD studies did not include ADL measurements [16,17]. There was a non-significant difference between active treatment and placebo of 3.3 points favoring memantine in the NPI scores of moderate-severe AD patients [19].

Acceptability and tolerability

As shown in Table 22.4, no study showed a difference between memantine and placebo patients in terms of the rate of premature discontinuation due to any cause or to AE. For all included trials, the rates of AEs

and SAEs were equal or higher for the placebo group: agitation was reported in 18% of memantine patients and 32% of placebo patients [19].

Combined memantine and donepezil treatment

One recent study investigated the effects of adding memantine to stable doses of donepezil (treatment for at least 6 months, stable doses or at least 3 months) in 404 patients with moderate to severe AD [20]. Memantine had small but significant beneficial effects on global function (55% vs 45% unchanged or improved on the CIBIC+), cognitive function (SIB, Δ 3.4 points), ADL function and BPSD (Δ NPI 3.8 points) (Table 22.4). For ADCS-ADL, significant differences showed up after only 4 weeks. In addition, caregivers in the memantine group reported spending significantly less time helping patients with ADL. The average difference with the placebo group was 46 hours a month. Rates of discontinuation due to all causes and to AE were lower in the donepezil/memantine group than in the donepezil/placebo group. The only AEs that occurred in at least 5% of the donepezil/memantine group at least twice as often as the donepezil/placebo group were confusion (8% vs 2%) and headache (6% vs 2%). The rates of discontinuation due to confusion were 2% and 1.5% respectively. The rates of diarrhea and fecal incontinence were higher in placebo patients than memantine patients.

Acetylcholinesterase inhibitors in VaD

Two large randomised 6-month trials assessed the efficacy of donepezil in VaD patients. The trials started in 1997 but were published only recently [67,68]. One large 6-month galantamine trial included patients with both VaD and AD with CVD [62] (Table 22.5). At present, there are no published RCTs on the effects of rivastigmine in VaD.

Results for donepezil

In study VaD308, 67% of included patients had suffered a clinical stroke, and 76% showed evidence of cerebral infarct(s) on CT/MRI [67]. Patients were allocated to treatment with placebo or donepezil 5 mg or 10 mg daily (dose increase after 4 weeks, no reduction was tolerated).

In study VaD307, the protocol and baseline population characteristics were equal to those of VaD308 [68]. More than 75% of included patients had an abrupt onset of cognitive impairment, and 45% were classified as having mild dementia.

In VaD308, 39% of the patients treated with donepezil 5 mg daily were rated as having improved on the CIBIC+ at the end of the study. That was higher than placebo (25%) (Table 22.6). However, treatment with donepezil 10 mg daily had no significant benefits when all CIBIC+ categories were compared. Results from VaD307 were similar: global improvement was recorded in 36%, 28% and 30% of the patients treated with donepezil 5 mg, donepezil 10 mg and placebo respectively [68]. Only a minority of the patients deteriorated during the trial: 23%, 28% and 31% for donepezil 5 mg, donepezil 10 mg and placebo respectively. The benefits on the CDR-SB scale were significant in both studies for the 10 mg/day group only. Regarding cognitive function, donepezil treatment with 5 mg and 10 mg showed benefits compared to placebo starting in week 6 of both trials. The differences compared to placebo between baseline and the end of the study were 1.6–1.7 points for the 5 mg groups and 2.1–2.2 points for the 10 mg groups (Table 22.6). All treatment groups improved on the MMSE compared to baseline: approximately 0.4 points for placebo patients, 1.2 points for 5 mg donepezil daily and 1.6 points for 10 mg donepezil daily. A subgroup analysis including all 893 patients with probable VaD (ie, those with possible VaD were excluded) from studies VaD307 (70% of all patients) and VaD308 (76% of all patients) showed similar global and cognitive outcomes [69]. Donepezil patients had better ADL function (ADFACS total scores) in study VaD307 but not in VaD308. Discontinuation rates for any reason and due to AE did not differ between patients treated with donepezil 5 mg and placebo but were higher in patients treated with 10 mg daily. Of importance is that the rates of syncope, bradycardia, and stroke were low and equally distributed among the treatment groups. Nausea, diarrhea, leg cramps, anorexia, vomiting, rhinitis, headache, and abnormal dreams occurred more frequently in donepezil patients.

Results for galantamine

One published RCT included a heterogeneous patient population [62]. Out of 592 randomised patients, 42% had probable VaD, 48% had AD with CVD and 9% had an intermediate diagnosis according to the NINDS-AIREN or NINCDS-ADRDA criteria. CT findings of multiple, large-vessel infarcts, at least two lacunar infarcts, a single strategically placed infarct or extensive WMC were compulsory for inclusion. Among all patients, significantly more were stable or had improved on the CIBIC+ in the galantamine group (74%) than in the placebo group (59%) (Table 22.6). Subgroup analyses were performed even though the study was underpowered in that respect. Only 31% of galantamine patients VaD and 23% of placebo patients with VaD had improved after 6 months (ns). Similarly, the difference between galantamine and placebo patients on the ADAS-cog was non-significant at $\Delta 1.9$ points. Galantamine AD + CVD patients improved by -1.0 points on the ADAS-cog, whereas placebo patients deteriorated by 1.8 points, $\Delta 2.8$ points. All VaD patients improved on the ADAS-cog – patients on galantamine by -2.4 points, and placebo patients by -0.4 points, no significant difference between the groups. For AD + CVD and VaD patients together, active treatment had small, favorable effects on the DAD and NPI scales. Of the galantamine patients 24% suffered from nausea and 13% from vomiting (7% and 6% for placebo). Of the galantamine patients 20% discontinued due to AEs, generally before week 6, as opposed to 8% of placebo patients. Doses were escalated from 4 mg/day to 24 mg/day in week 6.

Other neurodegenerative dementia disorders

Cholinesterase inhibitors in dementia with Lewy bodies (DLB)

Only one RCT, the McKeith rivastigmine study, has assessed AChEI in DLB [58] (Table 22.7–22.8). The 20-week trial, which included 120 European patients, compared rivastigmine 6 to 12 mg/day with placebo. Dropout rates were higher in the rivastigmine group (18/59, 30%) than in the placebo group (10/61, 16%). Rivastigmine showed better effects than placebo on four pre-selected NPI items (NPI-4: delusions, hallucinations, apathy and depression) in OC analyses but not in ITT analyses.

There were no significant differences between groups on the MMSE and global ratings, nor on Parkinson symptoms according to the UPDRS motor scale. Rivastigmine had small, beneficial effects on cognitive speed, as well as on tests measuring attention, working memory and episodic secondary memory [70].

Cholinesterase inhibitors in Parkinson's disease (PD) and dementia

One Norwegian pilot RCT that had a crossover design included 14 patients with mild to severe PD, a mean disease duration of 10.8 years and cognitive decline with onset at least one year after onset [71] (Table 22.7–22.8). Patients received donepezil 5 to 10 mg/day or placebo for two periods of 10 weeks each, with no washout period. In ITT-LOCF analyses, 42% of donepezil patients and 17% of placebo-treated patients had improved CIBIC+. The MMSE results also favored donepezil. There was no worsening of parkinsonian symptoms according to the UPDRS. NPI scores were low at baseline and did not change during treatment. One large RCT that compared rivastigmine with placebo in PD with dementia was included in this review even though it was published in December 2004 [72] (Table 22.7–22.8). The inclusion criteria were dementia according to DSM-IV with onset at least two years after a PD diagnosis. The effects of rivastigmine were significantly better on all primary and secondary endpoints, but the rates of cholinergic AEs were higher in the rivastigmine group. One donepezil RCT that had a crossover design included 21 patients with progressive supranuclear paralysis (PSP). The study concluded that memory improved but ADL and mobility worsened. Thus, donepezil was not recommended for PSP patients [73].

Frontotemporal dementia (FTD)

No study has attempted to assess the efficacy of AChEIs, memantine, or any other agent on cognitive or functional deficits in FTD. One open trial found no effects of paroxetine on behavioral symptoms [74].

Cholinesterase inhibitors in Down syndrome

One 24-week pilot RCT compared donepezil 10 mg daily with placebo in patients with Down syndrome and AD, mean age 54 years [75]. Twentyseven patients out of 30 completed the study. No statistically significant effects were detected on the global, cognitive (SIB), ADL or BPSD scales. Donepezil treatment significantly improved function (Down Syndrome Dementia Scale) for 3–5 months in an open-label trial [76].

Need for research

There is a need for new, more efficient pharmacological agents to treat symptoms and slow down deterioration in AD, VaD and other dementia disorders.

Further studies are needed in order to elucidate the effects of pharmacological treatment in patients with mild cognitive impairment, as well as those with severe dementia.

There is a need for better tools to evaluate efficacy, and to predict response, in the individual patient. That is particularly true for the majority of dementia patients who live alone.

There is a need for studies on combining pharmacological treatment and non-pharmacological interventions.

There is a need for studies that address the potential effects of pharmacological treatment on behavioral disturbances associated with psychological symptoms.

Review: Demens
 Comparison: 01 Pharmaceutical effects
 Outcome: 01 ADAS

Study or sub-category	Treatment		Control	
	N	Mean (SD)	N	Mean (SD)
01 Donezepil				
Homma [41]	134	-2.43 (5.10)	129	0.11 (5.20)
Rogers [31] (5 mg)	156	-2.10 (5.40)	155	0.40 (5.30)
Subtotal (95% CI)	290		284	
Test for heterogeneity: $\chi^2 = 0.02$, $df = 1$ ($P = 0.88$), $I^2 = 0\%$				
Test for overall effect: $Z = 5.64$ ($P < 0.00001$)				
02 Galantamine				
Tariot [45]	253	-1.40 (6.20)	255	1.70 (6.23)
Wilcock [44]	220	-0.50 (5.80)	217	2.40 (6.00)
Subtotal (95% CI)	473		472	
Test for heterogeneity: $\chi^2 = 0.00$, $df = 1$ ($P = 0.96$), $I^2 = 0\%$				
Test for overall effect: $Z = 7.49$ ($P < 0.00001$)				
Total (95% CI)	763		756	
Test for heterogeneity: $\chi^2 = 0.05$, $df = 3$ ($P = 1.00$), $I^2 = 0\%$				
Test for overall effect: $Z = 9.37$ ($P < 0.00001$)				

Figure 22.1 Meta-analysis on ADAS-cog as outcome of drug treatment on Alzheimer's disease.

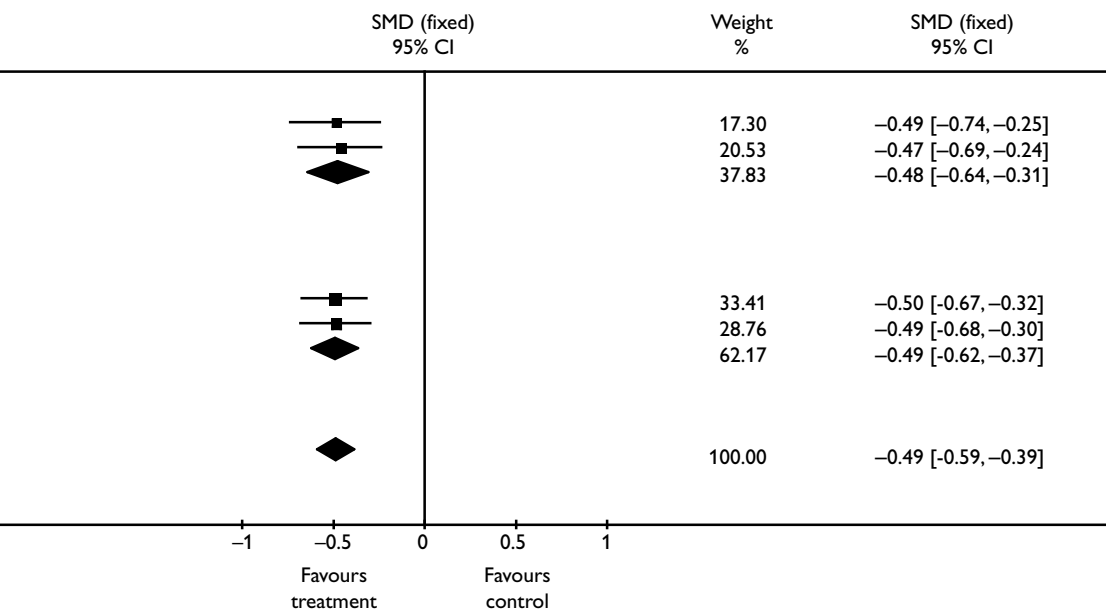


Table 22.1 Cholinesterase inhibitors in Alzheimer's disease:
Description of randomised placebo-controlled trials.

Author Year, reference	Type of study	Setting	Mean MMSE (range)
Donepezil			
Homma et al 2000 [41]	RCT	Japan	17.2 (10–26)
Rockwood et al 2002 [56]	RCT	USA	(10–26)
Rogers et al 1998 [77]	RCT	USA	19.5 (10–26)
Rogers et al 1998 [31]	RCT	USA	19.0 (10–26)
Burns et al 1999 [43]	RCT	Europe	20.2 (10–26)
Tariot et al 2001 [9]	RCT	USA, nursing homes	14.4 (5–26)
Winblad et al 2001 [47] “Nordic study”	RCT	Europe	19 (10–26)
Mohs et al 2001 [48]	RCT	USA	17.1 (12–20)
Feldman et al 2001 [8]	RCT	Canada, Australia	11.8 (5–17)
Courtney et al 2004 [10] “AD2000”	RCT	United Kingdom	19 (10–26)

Randomised patients (n)	Mean age (range)	Length of study	Intervention
268	69.8 (48–90)	24 weeks	Donepezil 5 mg
161	71.5 (55–85)	12 weeks	Donepezil 1, 3, 5 mg
468	73.8 (50–94)	12 weeks	Donepezil 5, 10 mg
473	73.5 (51–94)	24 weeks	Donepezil 5, 10 mg
818	71.7 (50–93)	24 weeks	Donepezil 5, 10 mg
208	85.7 (64–102)	24 weeks	Donepezil 10 mg
286	72.5 (49–88)	52 weeks	Donepezil 10 mg
431	75.4 (49–94)	54 weeks	Donepezil 10 mg
291	73.6 (48–92)	24 weeks	Donepezil 10 mg
565	75.5 (46–93)	2 (–3) years	Donepezil 5–10 mg

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Table 22.1 *continued*

Author Year, reference	Type of study	Setting	Mean MMSE (range)
Greenberg et al 2000 [57]	RCT	USA	21.8
Nakano et al 2001 [11]	RCT	Japan	22 (>16 points)
Krishnan et al 2003 [13]	RCT	USA	
Tune et al 2003 [12]	RCT	USA	28
Rivastigmine			
Agid et al 1998 [78]	RCT	Europe	Not stated
Forette et al 1999 [29]	RCT	Europe Canada	19.5 (10–26)
Anand et al 1996 [79]	RCT	USA	(10–26)
Rösler et al 1999 [32]	RCT	Europe, USA, Canada	19.9 (10–26)
“Unpublished I” B304 [49]	RCT	Europe	18.5
“Unpublished II” B351 [49]	RCT	USA	20.0 (10–26)

	Randomised patients (n)	Mean age (range)	Length of study	Intervention
	60	75.0	4 x 6 weeks, c–o	Donepezil 5 mg
	35	70 (57–80)	12 months	Donepezil 5 mg
	67		24 weeks	Donepezil 10 mg
			24 weeks	Donepezil 10 mg
	402	69.4 (50–90)	13 weeks	Rivastigmine 4, 6 mg
	114	71.2	18 weeks	Rivastigmine 6, 12 mg
	50	68 (45–90)	9 weeks	Rivastigmine 6, 12 mg
	725	72.0 (45–95)	26 weeks	Rivastigmine 1–4, 6–12 mg
	678	71.4	26 weeks	Rivastigmine 2–12 mg
	702	74.5 (45–89)	26 weeks	Rivastigmine 3, 6, 9 mg

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Table 22.1 *continued*

Author Year, reference	Type of study	Setting	Mean MMSE (range)
Corey-Bloom et al 1998 [50]	RCT	USA	19.6 (10–26)
Potkin et al 2001 [63]	RCT	USA	20.4 (15–26)
Galantamine			
Kewitz et al 1994 [80]	RCT		Mild–moderate dementia
Wilkinson et al 2001 [81]	RCT	United Kingdom	(13–24)
“Unpublished” GAL-95–05 [82]	RCT	International	(12–24)
Wilcock et al 2000 [44]	RCT	Europe, Canada	19.5 (11–24)
Rockwood et al 2001 [83]	RCT	USA, Europe	19.6 (11–24)
Raskind et al 2000 [46]	RCT	USA	19.2 (11–24)
Tariot et al 2000 [45]	RCT	USA	17.8 (10–22)

c-o = Crossover; MMSE = Mini-mental state examination; RCT = Randomised controlled trial

Comments to Table 22.1

The proportion of female patients was 50–67%, except for in Tariot 2001 (82%) [9].
Dose titration: Treatment with donepezil started with 5 mg/day and was raised to 10 mg/day after one week (301, 302, 304) or after four weeks (311, 312, F2000, Nordic study). Rivastigmine was titrated to target dose over 2–3 weeks (B103, B304); over 7 weeks (B303, B352); over 9–10 weeks (B104, B105) or over 12 weeks (B351). Galantamine was rapidly titrated (increase 8 mg weekly to target dose) in GAL-93–01, GAL-95–05, GAL-INT-1, GAL-INT-2, GAL-US-1, while GAL-USA-10 used slow titration (increase 8 mg per 4 weeks).

Randomised patients (n)	Mean age (range)	Length of study	Intervention
699	74.5 (45–89)	26 weeks	Rivastigmine 1–4, 6–12 mg
27	75.9 (64–89)	26 weeks	Rivastigmine 3, 6, 9 mg
95	(60–87)	13 weeks	Galantamine 50 mg
285	73	12 weeks	Galantamine 18, 24, 36 mg
554	72.9	29 weeks	Galantamine 32 mg
653	72	26 weeks	Galantamine 24, 32 mg
386	75	12 weeks	Galantamine 24–32 mg
636	75	26 weeks	Galantamine 24, 32 mg
978	77	5 months	Galantamine 8, 16, 24 mg

Table 22.2 *Memantine: Description of randomised placebo-controlled trials.*

Author Year, reference	Type of study	Setting	Dementia diagnosis
Ditzler 1991 [27]	RCT	Germany	79% MID
Gortelmeyer 1992 [84]	RCT	Germany	76% VaD
Pantev 1993 [85]	RCT	Germany	Dementia
Winblad 1999 [18]	RCT	Latvia nursing homes	52% VaD 48% AD
Orgogozo 2002 [16]	RCT	France, Belgium, Switzerland	VaD
Wilcock 2002 [17]	RCT	United Kingdom	VaD
Reisberg 2003 [19]	RCT	USA community residents	AD
Tariot 2004 [20]	RCT	USA community residents	AD

Comments to Table 22.2*** Diagnostic criteria**

Dementia syndrome according to the Lausanne scheme [27], according to DSM-III [84], DSM-III-R [16,17,18,85], DSM-IV [19] or NINCDS-ADRDA [20] MID according to Hachinski IS [27]. AD according to modified Hachinski IS ≤ 4 [18,19,20], and to CT/MRI [19,20]. Probable VaD according to NINDS-AIREN [16,17]. Proportions of female patients 47–75%.

Severity MMSE points	Randomised patients (n)	Mean age (range)	Length of study	Intervention
Mild-moderate	66	72.2 (65–80)	6 weeks	Memantine 30 mg/day
Mild-moderate 24 p	88	71.5 (59–96)	6 weeks	Memantine 20 mg/day
Mild–severe	60	72.4	6 weeks	Memantine 30 mg/day
Moderate-severe, MMSE <10 p, GDS 5–7	166	70	12 weeks	Memantine 10 mg/day
Mild-moderate 17 p (12–20 p)	321	76	28 weeks	Memantine 20 mg/day
Mild-moderate 18 p (10–22 p)	579	77 (54–97)	28 weeks	Memantine 20 mg/day
Moderate-severe 8 p (3–14 p)	252	76 (50–)	28 weeks	Memantine 20 mg/day
Moderate-severe 10 p (5–14 p)	404	76 (50–)	24 weeks	Adding memantine 20 mg/day to stable dose of donepezil

**** Dose titration**

Ditzler: 10 mg/day–30 mg/day in 2 weeks, Gortelmeyer: 10 mg/day–20 mg in 3 days, Pantev: 20 mg/day–30 mg in 7 days, Winblad: 5 mg/day–10 mg in 7 days, Orgogozo, Wilcock, Tariot: 5 mg/day–20 mg in 3 weeks, Reisberg: 20 mg/day, titration not stated.

AD = Alzheimer's disease; GDS = Global deterioration scale; MMSE = Mini-mental state examination; p = points; RCT = Randomised controlled trial; VaD = Vascular dementia

Table 22.3 Cholinesterase inhibitors in Alzheimer's disease:
Outcomes, adverse events and attrition in six months RCTs.

Author Year, reference	Intervention, length of study	Results active vs placebo or baseline vs end point I = primary, II = secondary outcomes Significant differences unless otherwise stated	Drop-outs any cause (active treatment vs placebo)
Donepezil			
Homma et al 2000 [41]	5 mg/day, 24 weeks	I: CGIC improved: 48% vs 19% Unchanged/improved: 81% vs 58% Δ ADAS-cog 2.54 p II: CDR-SB don > placebo, ADL (CMCS) slower decline MENFIS don > placebo	5 mg: 17/136 (12%) Placebo: 22/132 (17%) ns
Rogers et al 1998 [31]	5,10 mg/day, 24 weeks	I: CIBIC 1–3: 5 mg: 26% vs 11% 10 mg: 25% vs 11% CIBIC 1–4: 5 mg: 67% vs 55% 10 mg: 75% vs 55% Δ ADAS-cog 5 mg: 2.5 p, 10 mg: 2.9 p II: CDR-SB don > placebo ADAS-cog ≥ 0 p: 80–81% vs 58% Δ MMSE 1.2 p 5 mg Δ MMSE 1.4 p 10 mg QoL ns	5 mg: 23/154 (15%) ns 10 mg: 51/157 (32%) placebo 32/162 (20%) OR 1.93 (1.17–3.19)

Drop-outs due to AEs (active treatment vs placebo)	Remarks from reviewer	Assessed quality
5 mg: 2/136 (2%) vs placebo: 6/132 (4%) ns	Significantly more improved and unchanged/improved global function and ADAS-cog donepezil 5 mg/day > placebo. Equal rates of withdrawals	High
5 mg: 9/154 (6%) ns 10 mg: 26/157 (17%) vs placebo: 11/162 (7%) OR 2.59 (1.30–5.13)	Significantly more improved and un- changed/improved global function 5 and 10 mg/day and ADAS-cog donepezil 5–10 mg/day >placebo. Significantly more drop-outs any cause and due to AE 10 mg/day, ns 5 mg/day	Moderate

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Table 22.3 *continued*

Author Year, reference	Intervention, length of study	Results active vs placebo or baseline vs end point I = primary, II = secondary outcomes Significant differences unless otherwise stated	Drop-outs any cause (active treatment vs placebo)
Burns et al 1999 [43]	5, 10 mg/day, 24 weeks	I: CIBIC 1–3: 5 mg: 21% vs 14% 10 mg: 25% vs 14% CIBIC 1–4: 5 mg: 57% vs 49% 10 mg: 63% vs 49% Δ ADAS-cog 5 mg 1.5 p, 10 mg 2.9 p II: CDR-SB don > placebo Δ MMSE 1.8 p 10 mg Less ADL decline (IDDD) 10 mg, ns 5 mg QoL: ns	5 mg: 60/271 (22%) 10 mg: 72/273 (26%) Placebo: 55/274 (20%) ns
Tariot et al 2001 [9]	Nursing home 10 mg/day, 24 weeks	I: Total NPI-NH ns agitation/ aggression sign improved (45% vs 28%) II: CDR-SB don > placebo MMSE ns Basic ADL (PSMS) ns	10 mg: 19/103 (18%) Placebo: 27/105 (26%) ns
Winblad et al 2001 [47] “Nordic study”	10 mg/day, 52 weeks	I: GBS improvement 31% don, 22% placebo II: GDS improvement don > placebo Δ MMSE 1.7 p ADL (PDS) better preserved, OR 0.53 (0.36–0.78). NPI ns	10 mg: 47/142 (33%) Placebo: 47/144 (33%) ns

Drop-outs due to AEs (active treatment vs placebo)	Remarks from reviewer	Assessed quality
5 mg: 24/271 (9%) ns 10 mg: 50/273 (18%) vs placebo: 27/274 (10%) OR 2.01 (1.24–3.25)	Significantly more improved and un- changed/improved global function 5 and 10 mg and ADAS-cog donepezil 5 and 10 mg/day > placebo. Significantly less ADL decline 10 mg/day. Significantly more dropouts due to AE 10 mg/day	Moderate
10 mg: 11/103 (10%) Placebo: 19/105 (18%) ns	Nursing home patients. Only single item in NPI significant, ADL ns. Lower rates of withdrawals in donepezil group	Moderate
10 mg: 10/142 (7%) Placebo: 9/144 (6%) ns	Small, significant improvement global function. MMSE and ADL better pre- served. Low rates of discontinuation due to AEs over 1 year	Moderate

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Table 22.3 *continued*

Author Year, reference	Intervention, length of study	Results active vs placebo or baseline vs end point I = primary, II = secondary outcomes Significant differences unless otherwise stated	Drop-outs any cause (active treatment vs placebo)
Mohs 2001 [48]	10 mg/day, 54 weeks	I: 41% vs 56% reached time to clinically evident functional decline in 1 year Median time don > placebo (357 vs 208 days) II: CDR-SB don > placebo MMSE don > placebo	10 mg: 60/214 (28%) Placebo: 56/217 (26%) ns
Feldman 2001 [8]	Moderate-severe AD 10 mg/day, 24 weeks	I: CIBIC 1–4: 63% vs 42% CIBIC + mean 0.54 II: FRS don > placebo sev–MMSE and SIB don > placebo Less ADL decline (DAD, IADL +, PSMS +) NPI don > placebo, mean diff 5.6 p (out of 144 p) Less caregiver strain don vs placebo	10 mg: 23/144 (16%) Placebo: 20/147 (14%) ns
Courtney 2004 [10] “AD2000”	5–10 mg/day, 3 years	I: Entry to institution ns (9% vs 14% 1 year, 42% vs 44% 3 years) Loss of ADL function ns (13% vs 19% 1 year, 55% vs 53% 3 years) II: Δ MMSE 0.8 p 2 years BADLs mean don > placebo MMSE < 10 p ns NPI ns, cg wellbeing ns	After 12 weeks: 5 mg: 36/286 (13%) Placebo: 18/283 (6%) sign After 48 weeks: 5–10 mg: 90/242 (37%) Placebo: 103/244 (42%) ns

Drop-outs due to AEs (active treatment vs placebo)	Remarks from reviewer	Assessed quality
10 mg: 23/214 (11%) Placebo: 16/217 (7%) ns	5 months delay donepezil vs placebo in time to clinically evident functional decline defined as decline in ≥ 1 basic ADL or $\geq 20\%$ decline in IADL or ≥ 1 p increase in CDR. Most cases due to IADL decline. Equal rates of withdrawals	Moderate
10 mg: 12/144 (8%) Placebo: 9/147 (6%) ns	Results favouring donepezil 10 mg in global, cognitive, ADL and BPSD scales. Low rates of discontinuation and AEs in this study on moderate-severe dementia	Moderate
After 12 weeks: 5 mg: 17/282 (6%) Placebo: 3/283 (1%) From week 13: 5–10 mg: 18/242 (7%) Placebo: 8/244 (3%) p = 0.05	Largest trial in terms of person-years. Peculiar inclusion criteria (doctor should be uncertain of that treatment would be beneficial). Underpowered for primary endpoint, aimed to recruit 3000 patients but included 565 only. Many patients switched to open-label treatment. Small positive effects on cognition and ADL still after 2 years, no effect on insti- tutionalisation or significant ADL loss. No permanent cognitive deterioration after 4–6 weeks wash-out	Moderate

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Table 22.3 *continued*

Author Year, reference	Intervention, length of study	Results active vs placebo or baseline vs end point I = primary, II = secondary outcomes Significant differences unless otherwise stated	Drop-outs any cause (active treatment vs placebo)
Nakano et al 2001 [11] “SPECT study”	5 mg/day, 12 months	I: rCBF don > placebo II: MMSE decline from base- line: 5 mg 2.2 p, ns placebo 3.5 p, sign	
Tune et al 2003 [12] “PET study”	10 mg/day, 24 weeks	I: CMRglu don > placebo II: Δ ADAS-cog 2.1 p (OC, ns)	0/14 (0%) vs 2/14 (14%)
Krishnan et al 2003 [13] “MRI study”	10 mg/day, 24 weeks	I: hippocampal volume don > placebo II: Δ ADAS-cog 3.9 p (OC, sign)	6/34 (18%) vs 10/33 (30%)
Rivastigmine			
Rösler et al 1999 [32]	6–12 mg/day, 26 weeks	I: CIBIC 1–3: 37% vs 20% Δ ADAS-cog 1.6 p, ns II: Progression to GDS \geq 5 riva < placebo Δ MMSE 0.7 p ADL decline (PDS) ns	79/242 (33%) vs 31/239 (13%) OR 3.94 (1.99–4.66)
“Unpublished I” B304 [49]	6–12 mg/day, 26 weeks	I: CIBIC 1–3: 23% vs 19%, ns Δ ADAS-cog 1.6 p II: Progression to GDS \geq 5 ns Δ MMSE 0.8 p Near sign less ADL decline (PDS)	54/228 (24%) vs 33/222 (15%) OR 1.76 (1.10–2.81)

Drop-outs due to AEs (active treatment vs placebo)	Remarks from reviewer	Assessed quality
	(Pilot study)	
	(Pilot study)	
0/34 vs 1/33	(Pilot study)	
55/242 (22%) vs 16/239 (7%) OR 3.57 (2.26–5.90)	Significantly more improved global function. ADAS-cog and ADL ns. High rates of drop-outs any cause and due to AE in rivastigmine-group	High
39/228 (17%) vs 20/222 (9%) OR 2.03 (1.18–3.51)	Ns effect global function, ADAS-cog higher scores in rivastigmine. Signi- ficantly more drop-outs any cause and due to AE in rivastigmine-group	Moderate

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Table 22.3 *continued*

Author Year, reference	Intervention, length of study	Results active vs placebo or baseline vs end point I = primary, II = secondary outcomes Significant differences unless otherwise stated	Drop-outs any cause (active treatment vs placebo)
"Unpublished II" B351 [49]	6–12 mg/day, 26 weeks	I: CIBIC 1–3: 25% vs 25%, ns Δ ADAS-cog 1.4 p II: Progression to GDS ≥ 5 riva < placebo Δ MMSE 0.7 p ADL decline (PDS) ns	152/352 (43%) vs 43/172 (25%) OR 2.17 (1.49–3.17)
Corey-Bloom et al 1998 [50]	6–12 mg/day, 26 weeks	I: CIBIC 1–3: 22% vs 15%, ns Δ ADAS-cog 3.8 p, sign II: Progression to GDS ≥ 5 ns, mean GDS riva better than placebo. Δ MMSE 0.7 p Δ ADAS-cog ≥ 0 p 56% vs 27% ADL decline (PDS) sign less	82/230 (36%) vs 38/235 (17%) OR 2.76 (1.82–4.18)
Potkin et al 2001 [63] "PET study"	3–9 mg, 26 weeks	I: global CMRglu 26% increased riva, 6% placebo II: CIBIC 1–4 15/20 riva, 2/7 placebo	
Galantamine			
Wilcock et al 2000 [44]	24 mg/day, 26 weeks	I: CIBIC 1–3: 17% vs 16%, ns CIBIC 1–4: 62% vs 50% Δ ADAS-cog: 2.9 p II: ADAS-cog ≥ 4 p 29% vs 15% ADL (DAD) ns	44/220 (20%) vs 29/215 (14%) ns

Drop-outs due to AEs (active treatment vs placebo)	Remarks from reviewer	Assessed quality
97/352 (27%) vs 21/172 (12%) OR 2.41 (1.56–3.72)	High rates of drop-outs in both groups and significantly more drop-outs any cause and due to AE in rivastigmine- group. Conflicting results global function, ADAS-cog higher scores in rivastigmine. ADL ns	Moderate
66/230 (29%) vs 17/235 (7%) OR 4.31 (2.68–6.92)	Significantly more drop-outs any cause and due to AE in rivastigmine-group. Conflicting results global function, ADAS-cog higher scores in rivastigmine. Less decline in ADL	Moderate
31/220 (14%) vs 19/215 (9%) ns	Ns effect improved global function, sign effect improved/unchanged. ADAS-cog higher scores in galantamine. ADL ns. Equal rates of withdrawals	Moderate

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Table 22.3 *continued*

Author Year, reference	Intervention, length of study	Results active vs placebo or baseline vs end point I = primary, II = secondary outcomes Significant differences unless otherwise stated	Drop-outs any cause (active treatment vs placebo)
Tariot et al 2000 [45]	16, 24 mg/day, 5 months	I: CIBIC 1–3: 20% 16 mg, 22% 24 mg, 12% placebo CIBIC 1–4: 66% 16 mg, 64% 24 mg, 49% placebo Δ ADAS-cog: 16 mg and 24 mg: 3.1 p II: ≥ 4 p ADAS-cog 36–37% 16–24 mg vs 20% placebo ADCS-ADL better pre- served 16 and 24 mg. NPI less deterioration 16 and 24 mg ($\Delta 2.0$ p)	16 mg: 60/279 (22%) 24 mg: 61/273 (22%) Placebo: 446/286 (16%) ns

All analyses are ITT or ITT-LOCF.

AD = Alzheimer's disease; ADAS = Alzheimer's disease assessment scale–cognitive; ADL = Activities of daily living; AE = Adverse events; BADL = Basic activities of daily living; BPSD = Behavioral and psychological signs and symptoms of dementia; CBF = Cerebral blood flow; CDR = Clinical dementia rating (scale); CGIC = Clinical global impression of change (scale); CIBIC = Clinician's interview-based impression of change; CMCS = Caregiver Modified Chrichton Scale; CMR = Cerebral metabolic rate; DAD = Disability assessment for dementia (scale); GBS = Gottfries-Brane-Steen (scale); GDS = Global Deterioration Scale; IADL = Instrumental activities of daily living; IDDD = Interview for deterioration in daily living activities in dementia; MENFIS = Mental function impairment scale; MMSE = Mini-mental state examination; NPI = Neuropsychiatric inventory; ns = Not stated; OC = Observed cases; OR = Odds ratio; PDS = Progressive deterioration scale; PSMS = Physical self maintenance scale; QoL = Quality of Life; SB = Single-blind

Drop-outs due to AEs (active treatment vs placebo)	Remarks from reviewer	Assessed quality
16 mg: 19/279 (7%) 24 mg: 27/273 (10%) Placebo: 20/286 (7%) ns	Significantly more improved and improved/unchanged global function. ADAS-cog higher scores in galantamine and ADL better preserved. Equal rates of withdrawals	High

Table 22.4 Memantine: Outcomes, adverse events and attrition.

Author Year, reference Type of dementia disorder	Intervention Length of study	Results I = primary, II = second- ary outcomes Significant differences unless otherwise stated	Drop-outs any cause (active treatment vs placebo)
Winblad et al 1999 [18] MID + AD	10 mg/day, 12 weeks	I: BGP "care dependency" improved >15%: 65% vs 40%, ns BGP "care dependency" score -3.1 +/-12.2 p vs -1.1 +/-11.8 p CGI-C 1-3: 73% vs 45% CGI-C 1-4: 96% vs 90% ns II: BGP total score -7.2 +/-7.1 p vs -4.6 +/-7	7/82 (8%) vs 8/84 (9%) ns
Wilcock et al 2002 [17] VaD	20 mg/day, 28 weeks	I: CGI-C ns Δ ADAS-cog 1.8 p II: GBS ns NOSGER ns Δ MMSE 0.3 p (OC) ns	57/295 (19%) vs 58/284 (20%) ns
Orgogozo et al 2002 [16] VaD	20 mg/day, 28 weeks	I: CIBIC+ mean 3.8+/-1.4 p vs 4.1 +/-1.5 p, ns CIBIC+ 1-4: 60% vs 52%, ns Δ ADAS-cog: 2.0 p II: GBS mem > placebo NOSGER ns Δ MMSE 1.2 p (OC)	49/165 (30%) vs 38/156 (24%) ns

Drop-outs due to AEs (active treatment vs placebo)	Remarks from reviewer	Assessed quality
ns	Ns primary outcome care dependency, significantly more in memantine-group globally improved. Almost all participants were rated globally unchanged after 12 weeks. Equal rates of withdrawals	Moderate
27/295 (9%) vs 20/284 (7%) ns	Small positive effect ADAS-cog, all other endpoints ns. Equal rates of withdrawals	Moderate
19/165 (12%) vs 20/156 (13%) ns	Small positive effect ADAS-cog and GBS, all other endpoints ns. Equal rates of withdrawals	Moderate

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Table 22.4 *continued*

Author Year, reference	Intervention Length of study	Results I = primary, II = secondary outcomes Significant differences unless otherwise stated	Drop-outs any cause (active treatment vs placebo)
Reisberg et al 2003 [19] Moderate/Severe AD	20 mg/day, 28 weeks	I: CIBIC+ and ADCS or SIB repsonders*: 29% vs 10% CIBIC+ mean: 45 +/-1.1 p vs 4.8 +/-1.1, p = 0.06 ADCS-ADLsev -3.1 +/-6.8 p vs -5.2 +/-6.3 p II: FAST 0.2 +/-1.2 +/-0.6 +/-1.4 sign GDS ns SIB -4.0 +/-11.3 p vs -10.1 +/-13.5 p, sign Δ MMSE 0.7 p, ns Δ NPI 3.3 p, ns	29/126 (23%) vs 42/126 (33%) ns
Tariot et al 2004 [20]	20 mg/day or placebo added to donepezil 28 weeks	I: SIB + 0.9 p (0.67) vs -2.5 p (0.67) ADCS-ADL -2.0 (0.5) vs -3.4 (0.5) II: Mean CIBIC+ 4.41 (0.07) vs 4.66 (0.08) CIBIC+ 1-4 55% vs 45% Δ NPI 3.8 p BGP dependency subscale 0.8 (0.4) vs 2.3 (0.4), sign	31/203 (15%) vs 51/201 (25%) ns

ITT-LOCF analyses unless otherwise stated.

ADAS = Alzheimer's disease assessment scale; ADL = Activities of daily living; ADCS = Alzheimer's disease cooperative study; BGP = The behavioral rating scale for geriatric patients; BPSD = Behavioral and psychological signs and symptoms of dementia; CGI = Clinical global impression (scale); CIBIC = Clinician's interview-based impression of change; FAST = Functional assessment staging; GBS = Gottfries-Brane-Steen; MMSE = Mini-mental state examination; NOSGER = Nurses' observation scale for geriatric patients; NPI = Neuropsychiatric inventory; ns = Not stated; p = Points; SIB =The severe impairment battery

Drop-outs due to AEs (active treatment vs placebo)	Remarks from reviewer	Assessed quality
13/126 (10%) vs 22/126 (17%) ns	Memantine significant effect on one of the primary outcomes: * prespecified individual response defined as improved or unchanged CIBIC+ and improved or unchanged ADCS or SIB, but CIVIC+ ns. SIB memantine > placebo, but no clear differences on other secondary outcomes. Equal rates of withdrawals	Moderate
15/203 (7%) vs 25/201 (12%) ns	Small positive effect on global ratings (55% vs 45% improved or better), cognitive function, ADL and BPSD. Equal rates of withdrawals	Moderate

Table 22.5 *Acetylcholinesterase inhibitors in vascular dementia: Description of randomised, placebo-controlled trials.*

Author Year, reference	Type of study	Setting	Mean MMSE (range)
Wilkinson et al 2003 [67]	RCT	USA, Europe, Canada, Australia	22 (10–26)
Black et al 2003 [68]	RCT	USA, Europe, Canada, Australia	22 (10–26)
Erkinjuntti et al 2002 [60,62]	RCT	Europe, Canada, USA	20.5 (10–25)

Females 40–48%.

AD = Alzheimer's disease; CVD = Cerebrovascular disease; RCT = Randomised controlled trial; VaD = Vascular dementia

Randomised patients (n)	Mean age (range)	Length of study	Intervention
616 VaD	75 (38–95)	24 weeks	Donepezil 5, 10 mg/day
603 VaD	74	24 weeks	Donepezil 5, 10 mg/day
592 VaD and AD + CVD	75	6 months	Galantamine 24 mg/day

Table 22.6 *Acetylcholinesterase inhibitors in vascular dementia: Outcomes, adverse events and attrition.*

Author Year, reference	Intervention, length of study	Results I = primary, II = secondary outcomes Significant differences unless otherwise stated	Drop-outs any cause (active treatment vs placebo)
Wilkinson et al 2003 [67]	Donepezil 5, 10 mg/day, 24 weeks	I: CIBIC 1–3: 39% 5 mg, 32% 10 mg, 25% placebo Δ ADAS-cog: 1.6 p 5 mg, 2.1 p 10 mg, sign II: CDR-SB improved 10 mg Δ MMSE: 1.0 p 5 mg, 1.3 p 10 mg ADL (ADFACS) ns	5 mg: 40/203 (19%) 10 mg: 53/203 (25%) Placebo: 32/189 (17%)
Black et al 2003 [68]	Donepezil 5, 10 mg/day, 24 weeks	I: CIBIC 1–3: 36% 5 mg, 28% 10 mg, 30% placebo CIBIC 1–4: 77% 5 mg 72% 10 mg, 69% placebo, ns Δ ADAS-cog: 1.7 p 5 mg, 2.2 p 10 mg II: CDR-SB ns 5 mg, sign 10 mg Δ MMSE: 0.65 p 5 mg, 1.10 p 10 mg Better ADL (ADFACS) 5 and 10 mg	5 mg: 37/196 (19%) 10 mg: 58/195 (28%) Placebo: 30/194 (15%)
Erkinjuntti et al 2002 [86] VaD and AD + CVD	Galantamine 24 mg/day, 6 months	I: CIBIC 1–3: AD + CVD 32% vs 19% VaD 31% vs 23%, ns CIBIC 1–4: All patients: 74% vs 59%, sign Δ ADAS-cog: All patients 2.7 p (sign), AD + CVD (n = 239) 2.8 p (sign), VaD (n = 188) 1.9 p, ns II: ADAS-cog ≥ 0 p all patients: 64% vs 51% ADAS-cog ≥ 4 p all patients: 35% vs 22% ADL (DAD) less decline Δ NPI 2.2 p	102/396 (26%) vs 33/196 (17%) sign

ITT-LOCF or ITT analyses.

AD = Alzheimer's disease; ADAS = Alzheimer's disease assessment scale; ADFACS = The Alzheimer's disease functional assessment and change scale; ADL = Activities of daily living; CDR = Clinical dementia rating; CIBIC = Clinician's interview-based impression of change;

Drop-outs due to AEs (active treatment vs placebo)	Remarks from reviewer	Assessed quality
5 mg: 21/203 (10%) 10 mg: 35/203 (16%) Placebo: 17/189 (9%)	Small but significant global improvement 5 and 10 mg, cognitive tests donepezil > placebo, ADL ns. Equal rates of withdrawals	Moderate
5 mg: 22/196 (11%) 10 mg: 45/195 (22%) Placebo: 22/194 (11%)	Small significant global improvement 5 mg, ns 10 mg, cognitive tests and ADL donepezil > placebo. More withdrawals in 10 mg	Moderate
78/396 (20%) vs 16/196 (8%) sign	Two different diagnoses in the same study, too small sample for subanalyses. VaD patients did not benefit from galantamine. More withdrawals in galantamine	Medium

CVD = Cerebrovascular disease; DAD = Disability assessment for dementia (scale); MMSE = Mini-mental state examination; NPI = Neuropsychiatric inventory; ns = Not stated; p = points; SB = Single-blind; VaD = Vascular dementia

Table 22.7 *Acetylcholinesterase inhibitors in Lewy body dementia and Parkinsons disease with dementia. Description of randomised placebo-controlled trials.*

Author Year, references	Diagnosis	Type of study	Setting	Mean MMSE (range)
McKeith et al 2000 [58]	DLB	RCT	United Kingdom, Spain, Italy	(9–) Mild-moderate
Aarsland et al 2002 [71]	PD + memory impairment	RCT Crossover	Norway, single-center	20.8 (16–26)
Emre et al 2004 [72]	PD dementia	RCT	Several European countries	19.3 (10–24)

DLB = Dementia with Lewy body; PD = Parkinson’s disease; RCT = Randomised controlled trial

Randomised patients (n)	Mean age (range)	Length of study	Intervention
120	73.9 (57–87)	20 weeks	Rivastigmine 6–12 mg/day
14		2 x 10 weeks	Donepezil 5–10 mg/day
541	72.7	24 weeks	Rivastigmine 3–12 mg/day

Table 22.8 *Acetylcholinesterase inhibitors in LBD and PDD:
Outcomes, adverse events and attrition.*

Author Year, reference	Diagnosis	Results active vs placebo or baseline vs end point I = primary, II = secondary outcomes Significant differences unless otherwise stated	Drop-outs any cause (active treatment vs placebo)
McKeith et al 2000 [58]	DLB	I: Δ NPI-4 1.7 p (ns ITT, sign ITT-LOCF) Cognitive speed score riva > placebo II: NPI-4 >30% improvement: 48% vs 28%, sign NPI-10 ns (ITT), MMSE ns, CGC + ns	18/59 (30%) vs 10/61 (16%)
Aarsland et al 2002 [71]	PD + memory impairment	I: Δ MMSE 1.8 p CIBIC + mean score 3.3 don, 4.1 placebo CIBIC 1–3: 42% vs 17%	2/14 (14%) vs 0
Emre et al 2004 [72]	PD dementia	I: Δ ADAS-cog 2.8 p CGIC 1–3: 20% vs 14% CGIC 1–4: 87% vs 77% mean score 3.8 vs 4.3 II: ADCS-ADL, NPI, MMSE riva > placebo	99/362 (27%) vs 32/179 (18%)

AD = Alzheimer's disease; ADAS = Alzheimer's disease assessment scale;
ADCS = Alzheimer's disease cooperative study; AE = Adverse events; ADL = Activities of daily living; BPSD = Behavioral and psychological signs and symptoms of dementia;
CGIC = Clinical global impression of change (scale); CIBIC = Clinician's interview-based impression of change; ITT = intention-to-treat; LOCF = Last observation carried forward;
MMSE = Mini-mental state examination; NPI = Neuropsychiatric inventory; ns = Not stated

Drop-outs due to AEs (active treatment vs placebo)	Remarks from reviewer	Assessed quality
7/59 (12%) vs 7/61 (12%)	Non-significant differences in ITT analyses of one of the primary outcomes. High discontinuation rate and AEs (37% nausea, 25% vomiting) in rivastigmine group	Moderate
2/14 (14%) vs 0	No worsening of parkin- sonism in donepezil group	Moderate
62/162 (17%) vs 14/179 (8%)	Similar small positive effects on global and cognitive func- tion as in AD studies. ADL and BPSD rivastigmine > placebo AEs: 29% vs 11% nausea, 17% vs 2% vomiting, 10% vs 4% tremor in rivastigmine vs placebo patients	Moderate

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23. Hypertension and Dementia

Conclusions

There is moderately strong evidence that blood pressure lowering treatment prevents stroke-associated cognitive decline and dementia in patients who have had a stroke.

There is insufficient evidence that antihypertensive treatment protects patients from non-stroke associated cognitive decline.

Background

Hypertension is the leading risk factor for stroke, and dementia due to cerebrovascular causes (VaD and AD + CVD) is common. Several population-based longitudinal studies have shown a relationship between hypertension and increased risk of dementia [1] and impaired cognitive function later in life [2]. High blood pressure is also linked to both stroke-related dementia and late-onset AD (LOAD) [1].

Search of literature

Medline (1970–July 1, 2004) was searched for RCTs addressing “hypertension and dementia” or “blood pressure and dementia” (57 hits) and “hypertension and cognitive function” or “blood pressure and cognitive function” (142 hits), most of which did not deal with this issue. Below are the results of all relevant studies that lasted at least 1 year and met the quality criteria.

Results

Early studies focused on possible side-effects on cognition due to blood pressure lowering agents. The Systolic Hypertension in the Elderly Program (SHEP) did not show a difference between the treatment and placebo groups after 1 year [3]. A substudy of the MRC's trial of hypertension in older adults did not find a difference in performance on cognitive tests between patients treated with diuretics or beta blockers and placebo after a mean of 54 months [4].

The Systolic Hypertension in Europe (Syst-Eur) trial randomised patients with very high systolic blood pressure (160–219 mm Hg) to placebo or antihypertensive treatment [5]. The study ended after only 2 years due to favorable effects in the active group with respect to mortality and prevention of vascular endpoints. The initial report showed incident all-cause dementia to be lower in the active treatment group (11) than the placebo group (21, $p = 0.05$) [5]. When patients were followed for 2 years after the end of double-blind treatment, antihypertensive treatment of 1 000 patients turned out to have prevented 20 cases of dementia (95% CI 7–33) [6].

The Perindopril Protection Against Recurrent Stroke (PROGRESS) Study randomised 6 105 patients with normal or high blood pressure, with or without treatment, with prior stroke or transient ischemic attack to either placebo or treatment with an ACE inhibitor +/- diuretics (indapamide) [7]. The population was followed over a mean of 3.9 years. Neither incident dementia from any cause nor cognitive decline (measured by MMSE) differed between the treatment groups (dementia was found in 6.3% of the active group and 7.1% of the placebo group). But even in patients with normal blood pressure at the outset, active treatment lowered the risk of recurrent stroke. Furthermore, the risk of dementia associated with recurrent stroke decreased by 34% (95% CI 3–55%), and the risk of stroke-associated cognitive decline decreased by 45% (95% CI 21%–61%) in the group that received perindopril +/- indapamide.

The Study on Cognition and Prognosis in the Elderly (SCOPE) compared the angiotensin II receptor blocker candesartan cilexetil with placebo in terms of reducing the risk of vascular events, cognitive decline, and dementia in elderly patients with borderline hypertension [8]. A total of 4 964 patients were randomised, but the protocol was changed after the study had started. As a result, most of the “placebo” patients were actually treated with blood pressure lowering agents. After a mean follow-up time of 3.7 years, neither MMSE scores nor incident cognitive decline and dementia differed between the treatment groups.

A small RCT (n = 69) found that hypertensive patients allocated to losartan treatment performed significantly better on the MMSE and SCAG than those who were treated with hydrochlorothiazide [9].

A pilot study randomised 72 elderly patients treated for hypertension who had evidence of leukoaraiosis on CT to treatment with nicergoline or placebo [10]. After 24 months, the performance of nicergoline patients on several cognitive tests had improved, or deteriorated less, than placebo patients.

Need for research

Large, long-term (several years) treatment studies focusing on patients at high risk are needed to examine the protection offered by antihypertensive treatment from non-stroke associated cognitive decline. Such studies must deal with the problem of premature discontinuation and non-compliance among those in whom cognition deteriorates.

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24. Treatment of Depression in Dementia

Conclusions

Depressive symptoms are frequent in dementia. Studies of depression in dementia have primarily focused on mild to moderate dementia. The research on the treatment of depression in patients with severe dementia is inconclusive.

There is limited evidence that SSRIs are tolerated well and are effective in mild to moderate dementia (Evidence Grade 3).

Tricyclic antidepressants have shown conflicting results, and there is only limited evidence for an effect on depressive symptoms in dementia (Evidence Grade 3).

Tricyclic antidepressants produce prominent side-effects, including reduced cognitive functions, in dementia (Evidence Grade 3).

There is limited evidence that serotonin-active antidepressants reduce behavioral symptoms in dementia (Evidence Grade 3).

Background

Depressive symptoms are common in dementia. According to Wragg et al, the reported frequency has varied among different investigations from 0–87%, with a mean of 30–40% [1]. Lyketsos et al studied minor depression, as well as major depression in AD, and found that 51% of the patients showed no signs of depression, 27% had minor depression and 22% had major depressive disorder [2]. Signs of depression are more frequent in VaD than AD [3]. Depression in dementia often includes symptoms of

anxiety, creating an anxiety/depressive state [4]. Given that depressive symptoms are so frequent, it is debated if they should be regarded as part of the dementia syndrome or a superimposed disorder [5].

Search strategy

Medline was searched for RCT by combining either “dementia” or “Alzheimer’s disease” with the MeSH term “antidepressive agents”. “Dementia” or “Alzheimer’s disease” was also searched in combination with the antidepressives citalopram, fluoxetine, fluvoxamine, mirtazapine, reboxetine and trazodone. Review articles and studies concerning treatment of depression in the elderly were searched for subgroups of patients with dementia. Although the cutoff date was July 2004, later studies were also included if regarded as important for conclusions made.

Search results

Twentytwo studies were identified, of which one had been published twice. The majority of the studies concerned effects on depressive symptoms in dementia. A few studies concerned effects on other non-cognitive symptoms or behavioral aspects in dementia.

Most studies were assessed as having limited quality, and a few were considered to have moderately high quality. Treatment of depression in dementia is complex, given that the dementia diagnosis, degree of cognitive decline, depression diagnosis and severity of depressive symptoms must be considered. Thus, even well-designed studies might not be of high quality.

Effects of antidepressants on depression in dementia

The majority of studies concerning antidepressant treatment of the cognitively impaired elderly were on patients with mild or mild to moderate dementia. Only one study was on patients with severe dementia.

Reifler et al conducted the first study of depression in dementia using a relatively low dose of imipramine [6]. However, both groups improved identically, demonstrating a major placebo response and no further effect of imipramine. Petracca et al also found a high placebo response [7]. Tricyclic antidepressants have had conflicting results when it comes to the treatment of depression in dementia. Reifler et al reported no effect from imipramine [6], and Fuchs et al found no effect from maprotiline, a similar drug [8]. Branconnier et al found positive effects of amitriptyline [9,10] and Petracca et al found positive effects from clomipramine in comparison with placebo [7]. Although all these studies used a low dose of tricyclic antidepressants, side-effects with reduced cognitive functions [7,9,11] and delirium [7] were prominent.

Sertraline was superior to placebo [13,14] while citalopram was more effective than placebo in a subgroup with dementia [12]. Moclobemide was also found to be superior to placebo [15]. A comparison of fluoxetine and placebo did not find any differences but reported an unusually large placebo response [16]. One study found no effect from sertraline in depressive nursing home patients [17]. The included patients had severe dementia, and the ratings were difficult to perform in this population.

No difference was reported among the various antidepressants that have been studied in the treatment of depression in dementia. Amitriptyline did not differ from mianserin but induced more cognitive problems [9]. Imipramine had the same effect on depression as paroxetine but reduced cognitive functions more [18]. Low dose amitriptyline did not differ from fluoxetine in terms of antidepressive response [11]. Citalopram and mianserine were compared with the inclusion of a subgroup with dementia, but no difference of effect was seen [19].

Roth et al reported improvement of cognitive functions concomitant with reduced degree of depression [15]. Two other studies found similar effects [11,20]. However, Lyketsos et al found no difference in cognitive functions when depressive symptoms improved in the patients with dementia [21]. Citalopram did not have any influence on cognitive functions in patients with dementia with no diagnose of depression [22]. Steinberg et al studied psychological symptoms at baseline but found no correlation to response to sertraline [23]. Lancot et al found that aggression, female gender and serotonin function correlated with response to sertraline [24].

Effects of antidepressants on non-depressive symptoms

Treatment with citalopram significantly reduced anxiety, fear, panic, depressive symptoms, restlessness and symptoms of confusion [22]. Olafsson et al found no such improvement from fluvoxamine compared to placebo in patients with dementia but not depression [25]. Finkel et al studied patients with AD who were treated with donepezil [26]. The addition of sertraline reduced behavioral symptoms compared with placebo. Trazodone has been compared with haloperidol for the treatment of behavioral symptoms in dementia. Neither Sultzer et al [27,28] nor Teri et al [29] found any difference. Effects in the latter study were no different from placebo.

Lyketsos et al found depressive symptoms to be closely associated with aggressive behavior [30]. Antidepressants have been tried for the management of behavioral symptoms. Although fluvoxamine significantly improved the effects on psychotic symptoms in dementia compared with placebo, the study was very small [31]. Both groups were treated with perphenazine 12 mg. Sultzer et al found 50–250 mg of trazodone to be as effective as haloperidol 1–5 mg for agitated behavior and produced fewer side-effects [27]. A subsequent article by them reported that mild to moderate depressive symptoms were associated with greater behavioral improvement from trazodone.

Table 24.1 *Treatment of depression in dementia.*

Author Year, reference	Type of study	Setting	Dementia and depression Diagnosis	Severity of dementia	Patients (n) included (attrition)
Branconnier et al 1981, 1982 [9,10] Double publication	RCT	Outpatient	Testing HAM-D (17) >14	"Light cognitive disturbance"	75
Finkel et al 2004 [26]	RCT	Outpatient	NINCDS NPI >5	MMSE = 8–23	244
Fuchs et al 1993 [8]	RCT	Hospital	Alzheimer's disease and major depression disorder accor- ding to DSM-III	Light-moderate	120
Karlsson et al 2000 [19]	RCT	In- and out- patients	Dementia and MDD or dysthy- mia according to DSM-III-R	Light-moderate	53 demented of 336 in the study
Katona et al 1998 [18]	RCT	In- and out- patients	Dementia according to DSM-III-R. Major or minor depression according to research criteria	Light	198
Lañcôt et al 2002 [24]	RCT Crosso- ver	Nursing home	NINCDS Dementia according to DSM-IV	Severe	22

Age-groups Mean, SD/ range	Study period	Inter- vention (end)	Primary outcome	Effects (end)	Remarks from reviewer	Qua- lity of study
68 Range 60–85	7 w	Amitripty- line 150 mg Mianserin 60 mg Placebo	Zung	Amitriptyline = mianserine > placebo	Amitriptyline reduced cogni- tive functions	1
76.3 SD = 7.6	12 w	Sertraline 50–150 mg + donepezil 10 mg Placebo + donepezil 10 mg	NPI CGI-I CGI-S	Sertraline + donepezil > placebo + donepazil	Study of behavioral symptoms in dementia	2
80 Range 48–96	8 w	Maprotiline 75 mg Placebo	Videorating	No difference		1
75 Range 64–95	12 w	Citalopram 20–40 mg Mianserine 30–60 mg	MADRS	No difference	Demented subgroup	2
77 Range 59–98	8 w	Paroxetine 20–40 mg Imipramine 50–100 mg	MADRS	No difference		2
82.4 Range 74–95	2 x 4 w	Sertraline 100 mg Placebo	NPI	Aggression, female gender and serotonin function asso- ciated with response	Study of markers for response only	2

The table continues on the next page

Table 24.1 *continued*

Author Year, reference	Type of study	Setting	Dementia and depression Diagnosis	Severity of dementia	Patients (n) included (attrition)
Levkovitz et al 2001 [31]	RCT	Outpatients	NINCDS BPRS ≥18	Not mentioned	20
Lyketsos et al 2000 [13]	RCT	Outpatients	NINCDS Major depression disorder accor- ding to DSM-IV	Light-moderate	22
Lyketsos et al 2003 [21]	RCT	Outpatients	NINCDS Major depression disorder accor- ding to DSM-IV	Light-moderate	44
Magai et al 2000 [17]	RCT	Nursing home	NINCDS Minor or major depres- sion according to DSM-IV	Severe	31
Nyth et al 1990 [22]	RCT	In- and out- patients	Dementia accor- ding to DSM-III-R	Moderate	98
Nyth et al 1992 [20]	RCT	In- and out- patients	“Mild and mode- rate dementia” HDRS ≥14	Mild-moderate	29 demented of 149 in the study

Age-groups Mean, SD/ range	Study period	Inter- vention (end)	Primary outcome	Effects (end)	Remarks from reviewer	Qua- lity of study
78.1 Range 65–93	3 w	Perphen- cine 12 mg + fluvoxamine 50 mg Perphen- cine 12 mg + placebo	BPRS	Fluvoxamine- group, fewer psychotic symptoms	Pilot study	1
77 SD = 8.4	12 w	Sertraline 50–150 mg Placebo	Cornell	Sertraline > placebo	Preliminary study	1
79 SD = 5.2 76 SD = 9.5	12 w	Sertraline 50–150 mg Placebo	Cornell	Sertraline > placebo	No improve- ment of cogni- tive functions of treatment	2
89 SD = 6.3	8 w	Sertraline 100 mg Placebo	Cornell	No difference	Severely demented patients, uncertain testing	1
	4 w	Citalopram 10–30 mg Placebo	Gottfries- Bråne- Steen-rating scale	Reduced anxiety/ depression and irritabi- lity. No effect on cognitive functions	Study of citalopram in non-depres- sive dementia	1
76.7 Range 65–91	6 w	Citalopram 20–30 mg Placebo	MADRS	Citalopram > placebo	Improvement of cognitive functions con- comitant with antidepressive effect	1

The table continues on the next page

Table 24.1 *continued*

Author Year, reference	Type of study	Setting	Dementia and depression Diagnosis	Severity of dementia	Patients (n) included (attrition)
Olafsson et al 1992 [25]	RCT		AD or multi infarct dementia according to DSM-III		46
Petracca et al 1996 [7]	RCT	Outpatients	Alzheimer's disease and major depr dis- order according to DSM-III-R	Mild	21
Petracca et al 2001 [16]	RCT	Outpatients	NINCDS Major or minor depr disorder according to DSM-IV	Mild	41
Pollock et al 2002 [32]	RCT	Hospital	Dementia accor- ding to DSM-IV	Severe- moderate	85
Reifler et al 1989 [6]	RCT	Outpatients	Alzheimer's disease and major depr dis- order according to DSM-III	Mild-moderate	28
Steinberg et al 2004 [23]	RCT	Outpatients	NINDCS MDD according to DSM-IV	Light-moderate	44
Sultzer et al 1997 [27]	RCT	Gero-psy- chiatric inpatients	"Dementia and aggression or agitation"	MMSE = 11.0 SD = 7.0	28

Age-groups Mean, SD/ range	Study period	Inter- vention (end)	Primary outcome	Effects (end)	Remarks from reviewer	Qua- lity of study
81 Range 65–93	6 w	Fluvoxamine 150 mg Placebo	Gottfries- Bråne- Steen-rating scale	Fluvoxamine = placebo	Study of fluvoxamine on behavioral symptoms	1
72 SD = 7.2	6 w	Clomiprami- ne 100 mg Placebo	HAM-D	Clomipramine > placebo	10/18 showed delirium in clomipramine group	1
71 SD = 6.6	6 w	Fluoxetine 40 mg Placebo	HAM-D	No difference	Great placebo response	1
80.1 SD = 8.1	17 d	Perphena- zine M = 6.5 mg Citalopram 20 mg Placebo	Neurobe- hav rating scale	Citalopram > perphenazine. Citalopram > placebo. Perphenazine > placebo	High disconti- nuation rate	1
72 SD = 8	8 w	Imipramine M = 83 mg/day Placebo	HAM-D	No difference	Great placebo response	1
77.3	12 w	Sertraline 50–150 mg Placebo	Cornell Neuropsy- chological testing	No baseline symptom cor- related with response	More respon- ders in sertra- line group	1
72.3 SD = 6.9	9 w	Haloperidol M = 2.5 mg Trazodone M = 218 mg	CMAT	No difference in effect	Haloperidol more side- effects	1

The table continues on the next page

Table 24.1 *continued*

Author Year, reference	Type of study	Setting	Dementia and depression Diagnosis	Severity of dementia	Patients (n) included (attrition)
Sultzer et al 2001 [28]	RCT	Gero- psychiatric inpatients	"Dementia and aggression or agitation"	MMSE = 11.0 SD = 7.0	28
Roth et al 1996 [15]	RCT	Outpatients	Dementia according to DSM-III or "cog- nitive decline" and major depressive epi- sode according to DSM-III	Mild-moderate	694
Taragano et al 1997 [11]	RCT	Outpatients	NINCDS Major depression disorder accor- ding to DSM-III	Mild	37
Teri et al 2000 [29]	RCT	Outpatients	AD according to NINCDS	Moderate-light	149

AD = Alzheimer's disease; BPRS = Brief Psychiatric Rating Scale; CGI = Clinical Global Impression Scale; CMAI = Cohen-Mansfield Agitation Inventory; DSM = Diagnostic and Statistical Manual; HAM-D = Hamilton Rating Scale for Depression; HDRS = Hamilton Depression Rating Scale; MADRS = Montgomery Åsberg Depression Rating Scale; MDD = Major depressive disorder; MMSE = Mini-mental state examination; NINCDS = National institute of neurological and communicable diseases; NPI = Neuropsychiatric inventory; RCT = Randomised controlled trial; SD = Standard deviation

Age-groups Mean, SD/ range	Study period	Inter- vention (end)	Primary outcome	Effects (end)	Remarks from reviewer	Quality of study
72.3 SD = 6.9	9 w	Trazodone 50–150 mg Haloperidol 1–5 mg	CMAI	Trazodone response related slight depressive symptoms	Same data as Sultzer et al 1997 [27]	1
60–98 M = 78	7 w	Moclobemi- de 400 mg Placebo	HAM-D	Moclobemide > placebo	Improvement of cognitive functions con- comitant with antidepressive effect	1
72 SD = 5	9 w	Amitripty- line 25 mg Fluoxetine 10 mg	HAM-D	No difference	High drop-out level (59% in amitriptyline group) Cognitive improvement	1
74.9 SD = 7.0	16 w	Haloperidol M = 1.8 mg Trazodone M = 200 mg Behavior management techniques Placebo	CGI	No diffe- rence between groups	High drop-out level	1

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25. Treatment of Psychotic and Behavioral Symptoms in Dementia

Conclusions

There is strong evidence of an increased risk of death following atypical antipsychotic drug treatment (Evidence Grade 1).

There was a significant but small effect on behavioral symptoms in dementia from moderate and high doses of traditional antipsychotics (Evidence Grade 3). However, haloperidol up to 1.1 mg did not differ from placebo, while reduction of symptoms was found in doses 1.5 mg and higher.

Moderate and high doses of haloperidol induce clinically relevant extra pyramidal side-effects (Evidence Grade 3).

Low doses of other traditional antipsychotics have not been shown to differ from placebo. Risperidone in doses around 1 mg reduces behavioral symptoms to a small but significant degree, with generally acceptable side-effects. Olanzapine, 5–10 mg reduces psychotic or behavioral symptoms (Evidence Grade 3).

Few RCTs have been published on BPSD with antiepileptic drugs. No evidence of effects can be concluded based on 1 study of medium quality and 4 of low quality.

Although no evidence can be adduced, only carbamazepin had small but significant effects on behavioral symptoms. Valproate and divalproex had no clinical value, while RCTs have not analyzed other antiepileptics for their effect on behavioral and psychological symptoms in dementia.

Background

Cognitive reduction is the most prominent symptom in dementia disorders, but several non-cognitive symptoms are also common. Such symptoms are often problematic for others and may cause considerable stress for caregivers. Although non-cognitive symptoms in dementia have many different aspects, they are collectively described as Behavioral and Psychological Signs and Symptoms of dementia (BPSD) [1]. BPSD includes all significant non-cognitive symptoms of dementia, including aggressiveness, psychotic symptoms, reduced mood, sleep/wakefulness disturbances, wandering or other signs of overactivity. The concept of BPSD is symptomatic and does not include any possible association with a superimposed disease state, such as affective disorder, depression, delirium or psychosis.

The concept of BPSD focuses mainly on biological factors as the cause of disturbing symptoms. Many symptoms develop in a disturbed relation between the patient and others. Reduced cognitive functions often give rise to misunderstanding or misinterpretation by others. Thus, examining the quality of the relation between the patient and others is essential to evaluating and treating BPSD. The concept of BPSD can overestimate the dementia disorder as a cause of behavioral symptoms. Evaluating the separate influence of biological and environmental factors on BPSD is difficult, but strategies for treating BPSD should consider both nursing care and drugs. The section of this report concerning nursing care evaluates the environmental aspects of BPSD. This section is confined to the pharmacological treatment of non-cognitive symptoms in dementia: the effects of antipsychotic treatment for psychotic symptoms and BPSD, the treatment of BPSD with antiepileptics, the treatment of depression in dementia and the treatment of BPSD with antidepressants.

Effects of antipsychotic treatment of psychotic symptoms and BPSD

Psychotic symptoms are common in dementia, with a mean of 34% in a meta-analysis (range 10–73%), but antipsychotic drugs have been mainly used in dementia for the treatment of behavioral symptoms included in the concept of BPSD [2,3].

Search

Medline was searched for the MeSH terms “antipsychotic agents” and dementia or Alzheimer’s disease through July 2004. Studies later in 2004 were included only if they provided new information. However, the search strategy failed to identify several studies, mostly old ones. For that reason, “dementia” and “Alzheimer’s disease” were combined with the generic name of any single antipsychotic agent that might have been used in patients with dementia. Only RCTs were included. Studies of the elderly who had not been diagnosed as demented were not included.

A total of 34 publications of RCTs with antipsychotic treatment in dementia were identified. Different results from one study appeared in four publications, and two other studies appeared in two publications. Three systematic meta-analyses were found, as well as two Cochrane reviews, one concerning thioridazine and one concerning haloperidol. Seven studies followed the effect of withdrawal from antipsychotic treatment in patients with dementia.

Results

The majority of the studies did not differentiate dementia diagnoses. Some studies included more than one dementia diagnosis, such as AD, VaD or mixed dementia. Eleven studies included AD patients only. Due to the heterogeneity of the studies, the various diagnoses cannot be analyzed separately. The degree of cognitive reduction among the included patients varied from mild to severe dementia. Behavioral symptoms were present in all studies. Sixteen of the studies were rated as moderate qual-

ity and one as acceptable quality. Although several studies were well-designed, a high discontinuation rate reduced their quality. However, a high discontinuation rate is to be expected in this patient group.

Several studies concerned haloperidol. A mean dose of at least 2 mg was superior to placebo, while 0.5–1.8 mg was not [4–10]. Coccaro et al reported a small effect from a mean of 1.5 mg haloperidol [11]. Devanand et al found that the serum concentration of haloperidol predicted effect better than the dose administered [12].

All studies showed more extra pyramidal symptoms (EPS) in haloperidol groups than in placebo groups, and one study reported that 2–3 mg could cause severe extra pyramidal symptoms [7]. Chan et al found EPS in mean as low as 0.9 mg haloperidol [13]. Thus extra pyramidal side-effects are found at doses lower than those reported to reduce agitation, as well as at levels where clinical effects are evident.

Barnes et al did not find more improvement with thioridazine (63 mg) or loxapine (10.5 mg) than in the placebo group [14]. Petrie et al found equal effects of loxapine (21.9 mg) and haloperidol (4.6 mg), significantly better than the placebo group [4]. Carlyle et al found equal effects of haloperidol 7 mg and loxapine 36 mg, but with more side-effects in the haloperidol group [15]. Gutzmann et al found equal effects of tiapride 200 mg and 100 mg melperone [16], while Allain et al found equal effects of 200 mg tiapride and 4 mg haloperidol, both active groups improving more than placebo [5]. Extra pyramidal side-effects were more prominent with haloperidol than with tiapride.

A comparison of risperidone with placebo found significant effects [6,17,18]. Flexible doses were used, and the mean was 1.1 and 0.95 mg respectively [6,18]. DeDeyn et al used fixed doses and found effects of 1 and 2 mg risperidone, while 0.5 mg only showed significant effect on the aggression item at 12 weeks [6]. The same study did not find a significant effect for haloperidol, as opposed to risperidone, on the primary effect variable. A subgroup analysis of patients with psychotic symptoms in a study by Katz [17], Schneider et al found significant effects of 1 and 2 mg risperidone on psychotic symptoms [2]. A study by Meguro et al

on wandering behavior in patients with dementia found 1 mg risperidone to be more effective than placebo [19].

Comparing 5, 10 and 15 mg of olanzapine with placebo in nursing home patients, Street et al found 5 and 10 mg to be superior to placebo, particularly 5 mg [20]. The study was analyzed further. One study found effects on anxiety symptoms [21], a second study showed reduced psychotic symptoms [22], and a third study found effects in a subgroup of patients that met the criteria for Lewy body dementia [23]. Fontaine et al compared olanzapine and risperidone in a two-week study [24]. Both substances were individually adjusted. Similar effects were found with a mean dose of olanzapine 6.65 mg and risperidone 1.47 mg. Meehan et al found 2.5 mg or 5 mg of intramuscular olanzapine superior to 1 mg lorazepam or placebo [25], and the same group published similar data in a study with identical design [26]. A comparison of risperidone and olanzapine by Mulsant et al found no difference in effect or side-effects among comparable doses [27].

Three meta-analyses of antipsychotic treatment in dementia were identified [28–30]. Schneider et al found that treatment with traditional antipsychotics was superior to placebo but that the effect size was small (0.18) – of 100 treated patients, only 18 improved [29]. Lanctot et al found that low-potency, medium-potency, and high-potency antipsychotics had similar therapeutic effects, concluding that antipsychotic treatment had a significant but small positive effect compared to placebo for behavioral symptoms in dementia [28].

Kirchner et al performed a Cochrane review of thioridazine for dementia [31]. They also included old studies. They concluded that thioridazine reduced anxiety but had no significant effect on clinical global change. Side-effects were not systematically analyzed.

Lonergan et al performed a Cochrane review of haloperidol for agitation in dementia [32]. They concluded that there was no significant improvement in agitation among haloperidol patients compared with controls. Aggression was reduced with haloperidol, but other aspects of agitation were not affected.

No meta-analysis has been performed on treatment with atypical antipsychotics in dementia.

Study on withdrawal of antipsychotic treatment in dementia

Ray et al and Thapa et al compared the withdrawal of antipsychotics with control groups in combination with an educational program [33,34]. Both studies concluded that withdrawal had no, or a positive, effect on behavioral symptoms, but their quality was low. Bridget-Parlet et al performed a blind withdrawal and reported no significant effect on behavioral symptoms, except for two patients who had to drop out [35]. A double-blind crossover study of antipsychotic withdrawal in nursing home patients with dementia by Cohn-Mansfield et al observed no significant difference [36]. van Reekum et al reported that a double-blind withdrawal of antipsychotics increased apathetic behavior in the placebo group, while behavioral symptoms became more frequent in the active treatment group [37]. Ballard et al studied withdrawal of antipsychotics over a period of 3 months and found no difference compared with blind continued treatment [38]. The best effect was found in patients with only a few behavioral symptoms. Ruths et al reported that 11 out of the 15 patients in a withdrawal study improved, while 4 exhibited additional symptoms [39].

Treatment of BPSD with antidepressants

Antidepressives were compared with antipsychotics in patients with dementia and behavioral symptoms. Citalopram 20 mg was equivalent to perphenazine 6.5 mg [40]. Trazodone, mean dose 218 mg, had the same effect as haloperidol, mean dose 2.5 mg [41,42], while trazodone 200 mg and haloperidol 1.8 mg [10] were equivalent.

Rate of decline of cognitive symptoms during antipsychotic treatment

McShane et al studied the effect of antipsychotic treatment on the rate of cognitive decline in patients with dementia in nursing homes [43]. They found evidence of a more rapid cognitive decline in patients treated with antipsychotics. However, the group was small and the study design questionable.

Effects of antipsychotics on cerebral vascular events in patients with dementia

Wooltorton et al reported an increase in the rate of cerebral vascular events from 1.2% to 3.3% in patients with dementia who had received risperidone [44]. A similar threefold increase in cerebral vascular events, as well as twice as much mortality, was found for olanzapine in this patient group [45]. Similar data have been reported for aripirazol [46].

Herrmann et al studied the risk of cerebral vascular accidents with atypical antipsychotics in patients older than 66 [47]. No differences between risperidone, olanzapine and older antipsychotics were found. Kozma et al studied the risk of stroke in patients older than 60 who were treated with antipsychotics (n = 1 818), benzodiazepines (n = 2 419) and acetyl cholinesterase inhibitors (n = 8 733) [48]. No difference was found between risperidone and olanzapine, haloperidol or benzodiazepines. A lower risk was found in patients treated with acetyl cholinesterase inhibitors. Gill et al studied the risk of ischemic stroke in 32 710 patients with dementia who had been given antipsychotics [49]. They found no difference between older (traditional) and newer (atypical) antipsychotics. A recently published meta-analysis by Schneider et al found that atypical antipsychotic drugs may be associated with a small increased risk of death compared with placebo [50].

Treatment of BPSD symptoms with antiepileptic drugs

Search

Medline was searched for RCTs with the terms “dementia” and “anti-epileptics”. Seven studies were identified, of which 2 were open pilot studies [51,52]. Tekin et al studied the effects of lamotrigine on cognitive functions [52]. The terms “phenytoin”, “carbamazepine”, “valproate”, “lamotrigine” and “topiramate” were all searched with “dementia,” and additional open studies were identified [53,54].

Results

The study by Tariot et al included 51 patients with dementia and agitation [55]. Over 6 weeks, the mean total BPSD scores decreased by 7.7 points for the carbamazepine group and 0.9 points for the placebo group. The CGI ratings showed a global improvement in 77% of the patients taking carbamazepine and 21% of those taking placebo. The two groups were followed in a withdrawal study, and those who had previously shown behavioral improvement with carbamazepine therapy reverted to their baseline state after washout, whereas there was no change in the patients previously treated with placebo [56]. But a pilot study by Olin et al reported only modest positive effects on a group that had shown no response to antipsychotic treatment [57].

Porsteinsson et al performed a 6-week, randomised study of 56 nursing home patients with agitation and dementia who received either placebo or individualized doses of divalproex [58]. The effects were modest, and side-effects were considerably more common with divalproex. Sival et al found no effect of valproate compared to placebo on aggressive behavior in dementia [54]. A 12-week open follow-up study reported some effect, but 7 of the 39 analyzed patients died [53]. All 4 studies were of limited quality. Lonergan et al published a Cochrane review on the effects of valproate for the treatment of agitation in dementia [59]. They identified

the abovementioned studies, except for the non-placebo controlled study [53]. Their conclusion was that the reviewed trials should be regarded as preliminary. Individual reports suggest that low dose sodium valproate is ineffective in treating agitation among patients with dementia and that high dose divalproex sodium is associated with an unacceptable rate of adverse effects.

Table 25.1 *Treatment of behavioral symptoms with antipsychotic drugs.*

Author Year, reference	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included (attrition)	Age-groups Range (SD)
Allain et al 2000 [5]	RCT	Out- patients	Dementia according to DSM-III-R	Moderate- severe	316	79.6 SD 7.6
Auchus et al 1997 [8]	RCT	Out- patients	AD according to NINCDS	Moderate	15	75.7 SD 7.5
Barnes et al 1982 [14]	RCT	Nursing home	Dementia according to DSM-III	Not men- tioned	60	>65
Battaglia et al 2003 [26]	RCT	Hospital	AD according to NINCDS	Not men- tioned	206	≥55
Brodaty et al 2003 [18]	RCT	Nursing home	AD or vascu- lar dementia according to DSM-IV	Light-severe	337	83.0 SD 0.58
Carlyle et al 1993 [15]	RCT	Hospital	Dementia according to DSM-III-R	Not recorded	40	79 65–91

Study period	Intervention (end)	Primary outcome	Effects (end)	Remarks from reviewer	Quality of study
3 w	Haloperidol 4 mg Tiapride 200 mg Placebo	MOSES	Haloperidol = tiapride > placebo	EPS: Haloperidol > tiapride	1
6 w	Fluoxetine 20 mg Haloperidol 3 mg Placebo	CMAI	No difference between groups	Too small groups. Haloperidol and fluoxetine more side-effects	1
8 w	Thioridazine 62.5 mg Loxapine 10.5 mg Placebo	BPRS	Small improvement in all three groups, no significant difference between groups	Prominent side-effects of active treatment	1
Acute treatment only	Olanzapine 2.5 mg/dose Lorazepam 1 mg/dose Placebo	Doses needed for effect	Olanzapine = lorazepam > placebo	Acutely agitated patients	1
12 w	Risperidone M = 0.95 mg Placebo	CMAI	Risperidone > placebo	Few side-effects	2
4 w	Haloperidol M = 7 mg Loxapine M = 36 mg	CMAI	No difference in effect	More side-effects with haloperidol	1

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Table 25.1 *continued*

Author Year, reference	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included (attrition)	Age-groups Range (SD)
Chan et al 2001 [13]	RCT	Hospital	AD or vascular dementia according to DSM-IV	Moderate-severe	58	80.5 SD 8.2
Clark et al 2001 [22] (data from Street et al [20])	RCT	Nursing home	AD according to NINCDS. Subgroups without hallucinations or delusions	Severe-moderate	206	82.7 SD 6.6
Coccaro et al 1990 [11]	RCT	Nursing home	Dementia according to DSM-III	Not mentioned	59	75.3 58–99
Cummings et al 2002 [23]	RCT	Nursing home	AD according to NINCDS. Criteria for Lewy body dementia	Light-severe	29	83.9 SD 5.4
De Deyn et al 1999 [6]	RCT	Nursing home	AD or vascular dementia according to DSM-IV	Light-severe	344	81 63–97
De Deyn et al 2004 [60]	RCT	Nursing home	AD according to NINCDS and psychotic symptoms	Light-severe	625	76.6 SD 10.6

Study period	Intervention (end)	Primary outcome	Effects (end)	Remarks from reviewer	Quality of study
12 w	Risperidone M = 0.85 Haloperidol M = 0.9	CMAI	No difference	More side-effects with haloperidol	2
6 w	Olanzapine 5 mg Olanzapine 10 mg Olanzapine 15 mg Placebo	NPI	Olanzapine > placebo	Further analyses of Street et al [20]	
8 w	Haloperidol M = 1.5 mg Oxazepam M = 30 mg Diphenhydramine M = 49 mg	BPRS	Small effects with all three drugs	Side-effects not mentioned	1
6 w	Olanzapine 5, 10 and 15 mg Placebo	NPI-NH	5 and 10 mg > placebo	Subgroup of Street et al [20] with Lewy body dementia	2
12 w	Risperidone M = 1.1 mg Haloperidol M = 1.2 mg Placebo	Behave-AD	Risperidone > placebo Haloperidol = placebo	EPS: Haloperidol > risperidone = placebo	2
10 w	Olanzapine 1, 2.5, 5, and 7.5 mg Placebo	NPI/NH CGI	Olanzapine 2.5, 5, 7.5 mg > olanzapine 1 mg = placebo	Study of effect on psychotic symptoms	2

The table continues on the next page

Table 25.1 *continued*

Author Year, reference	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included (attrition)	Age-groups Range (SD)
Devanand et al 1989 [9]	Cross- over with blind rating	Out- patients	AD according to NINCDS	Light- severe	9	Presenile and senile
Devanand et al 1992 [12]	Drug concen- tration Corre- lation	Out- patients	AD according to NINCDS	Not mentioned	19	67.6 SD 9.7
Devanand et al 1998 [7]	RCT + Cross- over	Out- patients	AD according to NINCDS	Severe- moderate	71	72.1 SD 9.6
Gutzmann et al 1997 [16]	RCT	Hospital	Dementia according to DSM-III-R	Light- severe	175	74.8 SD 11.5
Fontaine et al 2003 [24]	RCT	Nursing home	Dementia according to DSM-IV	Severe- moderate	39	83.2 SD 7.6
Frank et al 2004 [61]	RCT	Nursing home	Dementia and behavioral symptoms	Light- severe	279	82.8 SEM 0.7

Study period	Intervention (end)	Primary outcome	Effects (end)	Remarks from reviewer	Quality of study
4 + 8 + 4 w	Haloperidol 4 mg Placebo	BPRS	Haloperidol > placebo	Prominent side-effects	1
6–8 w	Haloperidol 0.5–5 mg	BPRS	Blood level corr better than dose with effect	EPS corr with blood level	1
6 + 6 w	Haloperidol 2–3 mg Haloperidol 0.5–0.75 mg Placebo	BPRS	Haloperidol 2–3 mg > haloperidol 0.5–0.75 mg = placebo	EPS: 2–3 mg > 0.5–0.75 mg = placebo	2
4 w	Tiapride 400 mg Melperone 100 mg	CGI	No difference	No placebo-group	1
2 w	Olanzapine 2.5–10 mg (M = 6.7) Risperidone 0.5–2 mg (M = 1.5)	CGI NPI	No difference	No placebo-group	2
12 w	Risperidone 0.5–2.0 mg Placebo	Modified nursing care assessment scale	Risperidone > placebo	Nursing care burden	2

The table continues on the next page

Table 25.1 *continued*

Author Year, reference	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included (attrition)	Age-groups Range (SD)
Katz et al 1999 [17]	RCT	Nursing home	AD or vascular dementia according to DSM-IV	Light- severe	625	82.7 SD 7.7
Katz et al 2004 [62]	RCT	Nursing home	AD or vascular dementia according to DSM-IV	Light- Severe	537	82.7 SD 7.7
Kennedy et al 2001 [63] (Data from Street et al [20])	RCT	Nursing home	AD according to NINCDS	Moderate- severe	206	82.7 61–97
Meguro et al 2004 [19]	RCT	Nursing home	AD according to NINCDS. Wandering or aggressive- ness		34	78
Meehan et al 2002 [25]	RCT	Nursing home	AD or vascular dementia according to DSM-IV for AD	Light- severe	272	77.6 SD 9.7
Mintzer et al 2001 [21] (Data from Street et al 2000 [20])	RCT	Nursing home	AD according to NINCDS	Moderate- severe	206	82.7 61–97

Study period	Intervention (end)	Primary outcome	Effects (end)	Remarks from reviewer	Quality of study
12 w	Risperidone fix dose 0.5, 1.0, 2.0 mg, placebo	Behave-AD	Risperidone > placebo	EPS increased with dose	2
12 w	Risperidone fix dose 0.5, 1.0, 2.0 mg, placebo	Rating of falls	Risperidone 1 mg better than placebo. Placebo better than risperi- done 2 mg	Subanalysis of Katz et al [17]	2
6 w	Olanzapine 5, 10, 15 mg Placebo	5 items from adverse event list	No significant difference	Not valida- ted efficacy variable	1
1 month	Risperidone 1 mg Placebo	Behave-AD	Risperidone > placebo	Study of wandering behavior	2
Acute treat- ment	Olanzapine 2.5, 5.0 mg intramuscular, lorazepam 1.0 mg intra muscular and placebo	CMAI	After 24 h: Olanzapine 2.5 and 5 mg = lorazepam > placebo	Short-term effect	1
6 w	Olanzapine 5, 10, 15 mg Placebo	NPI/NH anxiety	Olanzapine 5 mg > placebo Olanzapine 5, 10 mg = placebo	Post-hoc analy- ses	2

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Table 25.1 *continued*

Author Year, reference	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included (attrition)	Age-groups Range (SD)
Mulsant et al 2004 [27]	RCT	Long term care	AD, VaD or mixed dementia according to DSM-IV	Light- severe	85	84 range 63–96
Nygaard et al 1994 [64]	RCT	Nursing home	Clinical dementia rating scale	Light- severe	73	83 70– >90
Pelton et al 2003 [65]	RCT	Out- patients	AD according to NINCDS	Severe- moderate	71	72.1 SD 9.6
Petrie et al 1982 [4]	RCT	Hospital	Dementia according to DSM-III	Severe- moderate	61	72.7 SD 7.0
Pollock et al 2002 [40]	RCT	Hospital	Dementia according to DSM-IV	Severe- moderate	85	80.1 SD 8.1
Schneider et al 2003 [2]	RCT	Nursing home	AD or vascu- lar dementia according to DSM-IV and psychotic symptoms	Light- severe		

Study period	Intervention (end)	Primary outcome	Effects (end)	Remarks from reviewer	Quality of study
6 w	Risperidone 0.75–1.5 mg Olanzapine 5–10 mg	UKU NPI	No difference in side-effects or behavioral symptoms	Anticholinergic effects seen with olanzapine	1
4 w	Zuclopentixol 2.0, 4, 6 and 4–20 mg	CGI illness	All groups improved		1
6 w	Haloperidol 2–3 mg Haloperidol 0.5–0.75 mg Placebo	BPRS	Serum level of haloperidol correlated with effect	Same material as Devanand et al [7]	2
8 w	Haloperidol M = 4.6 mg Loxepine M = 21.9 mg Placebo	BPRS	Haloperidol = loxapine > placebo	45% EPS in both active groups	1
17 d	Perphenazine M = 6.5 mg Citalopram 20 mg Placebo	Neurobehav rating scale	Citalopram > perphenazine Citalopram > placebo Perphenazine > placebo	High discontinuation rate	1
12 w	Risperidone fix dose 0.5, 1.0, 2.0 mg Placebo	Behave-AD	Risperidone 1 and 2 mg > placebo	Subgroup of Katz et al [17]	2

The table continues on the next page

Table 25.1 *continued*

Author Year, reference	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included (attrition)	Age-groups Range (SD)
Street et al 2000 [20]	RCT	Nursing home	AD according to NINCDS	Severe- moderate	206	82.7 SD 6.6
Sultzer et al 2001 [41]	RCT	Hospital	Dementia diagnoses by clinical research investigator	Severe- light	28	72.3 SD 6.9
Sultzer et al 2001 [42]	RCT	Hospital	Dementia diagnoses by clinical research investigator	Severe- light	28	72.3 SD 6.9
Teri et al 2000 [10]	RCT	Out- patients	AD according to NINCDS	Moderate- light	149	74.9 SD 7.0

AD = Alzheimer's disease; BPRS = Brief Psychiatric Rating Scale; CGI = Clinical Global Impression Scale; CMAI = Cohen-Mansfield Agitation Inventory; CMAT = Cognitive multidisciplinary assessment team; DSM = Diagnostic and Statistical Manual; EPS = Extra pyramidal symptoms; MOSES = Multidimensional Observation Scale for Elderly Subjects; NINCDS = National institute of neurological and communicable diseases; NPI = Neuropsychiatric inventory; NPI-NH = Neuropsychiatric inventory, Nursing Home version; RCT = Randomised controlled trial; SD = Standard deviation; UKU = Udvalg for kliniska undersøgelser (Danish for selection of clinical investigations); VaD = Vascular dementia

Study period	Intervention (end)	Primary outcome	Effects (end)	Remarks from reviewer	Quality of study
6 w	Olanzapine 5 mg Olanzapine 10 mg Olanzapine 15 mg Placebo	NPI	Olanzapine 5 and 10 mg > placebo		2
9 w	Haloperidol M = 2.5 mg Trazodone M = 218 mg	CMAT	No difference in effect	Halopridol more side-effects	1
9 w	Haloperidol M = 2.5 mg Trazodone M = 218 mg	CMAT	Mild depressive symptom associated with more improvement with trazodone	Further analyses of the material in Sultzer et al [41]	1
16 w	Haloperidol M = 1.8 mg Trazodone M = 200 mg Behavior management techniques Placebo	CGI	No difference between groups		2

Table 25.2 *Effects of withdrawal of antipsychotic drugs in dementia.*

Author Year, reference	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included	Age-groups Range (SD)
Ballard et al 2004 [38]	RCT	Nursing home	AD accor- ding to NINCDS	Severe	100	83.4 SD 8.2
Bridges-Parlet et al 1997 [35]	RCT	Nursing home	“Demented aggres- sive nur- sing home patients”	Severe	36	82.8 SD 6.1
Cohen- Mansfield et al 1999 [36]	RCT	Nursing home	Residents in nursing home receiving antipsychotic treatment or lorazepam	Mean MMSE 8.7	58	86
Ray et al 1993 [33]	Inter- vention	Nursing home	Nursing home residents	Not given	278	82 SD 0.8

Study period	Intervention	Primary outcome	Effects (end)	Remarks from reviewer	Quality of study
3 months	Withdrawal of antipsychotic treatment. Placebo	NPI	No difference between groups. Best effects of withdrawal in those with low NPI		1
4 w	Withdrawal of antipsychotic treatment. Placebo	Global measure of intolerable symptoms	No difference		1
7 w	Withdrawal of antipsychotic treatment or lorazepam	BPRS	No difference	Very high rate of non-completers	1
4 months	Implementation of behavioral techniques to reduce use of antipsychotic treatment	Use of antipsychotics	Reduced use of antipsychotic agents without increase of behavioral symptoms	No definition of dementia in material	0

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Table 25.2 *continued*

Author Year, reference	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included	Age-groups Range (SD)
Ruths et al 2004 [39]	RCT	Nursing home	Dementia according to ICD-10-R	Severe	30	83.5
Thapa et al 1994 [34]	Inter- vention	Nursing home	Nursing home recipients receiving antipsychotic treatment	Mean MMSE 13.2	271	78.9
van Reekum et al 2002 [37]	RCT	Nursing home	Nursing home reci- dents with dementia receiving antipsychotic treatment	MMSE 7.6 SD 8.2	31	83.7 SD 5.8

AD = Alzheimer's disease; BPRS = Brief Psychiatric Rating Scale; ICD = International classification of diseases; MMSE = Mini-mental state examination; NHBPS = Nursing home behavior problem scale; NINCDS = National institute of neurological and communicable diseases; NPI = Neuropsychiatric inventory; RCT = Randomised controlled trial; SD = Standard deviation

Study period	Intervention	Primary outcome	Effects (end)	Remarks from reviewer	Quality of study
4 w	Blind withdrawal of antipsychotic treatment	NPI-Q	11/15 in study group stable or improved, 4 worse		1
6 months	Withdrawal on antipsychotic treatment	NHBPS, BPRS	No difference in behavioral symptoms, depressive symptoms reduced after withdrawal	No definition of patient diagnoses, no randomization	0
6 months	Withdrawal of antipsychotic treatment	Behave-AD	Group on antipsychotic treatment had more behavioral symptoms	High rate of noncompleters	1

Table 25.3 *Randomised controlled studies of antiepileptic drugs in dementia.*

Author Year, reference	Type of study	Setting	Demen- tia/dia- gnosis	Severity of dementia	Patients (n) included	Age-groups Mean
Olin et al 2001 [57]	RCT	University clinic, out- patient	NINCDS	Light-severe	21	74.7 SD 6.2
Porsteinsson et al 2001 [58]	RCT	Long term care	AD, VD, mixed dementia, BPRS ≥ 3	MMSE = 6.9 SD 6.7	56	85.0 SD 7.1
Sival et al 2002 [54]	RCT Double- blind, crossover	Short term geropsychi- atric ward	Dementia according to DSM- IV and aggressive behavior	MMSE = 11.4 SD 5.0	28	80.4 SD 6.8
Tariot et al 1998 [55]	RCT	Nursing home	AD according to DSM- III and NINCDS	MMSE = 6.0 SD 6.4	51	86.0 SD 5.2
Tariot et al 1999 [56]	RCT	Nursing home	AD according to DSM- III and NINCDS		45	

AD = Alzheimer's disease; BPRS = Brief Psychiatric Rating Scale; CGI = Clinical Global Impression Scale; DSM = Diagnostic and Statistical Manual; MMSE = Mini-mental state examination; NINCDS = National institute of neurological and communicable diseases; RCT = Randomised controlled trial; SD = Standard deviation; SDAS = Social dysfunction and aggression scale; VaD = Vascular dementia

Study period	Intervention	Primary outcome	Effects (end)	Remarks from reviewer	Quality of study
6 w	Carbama-zepine 300 mg/day	BPRS	Modest clinical benefit in the carbamazepine group	Pilot study	1
6 w	Divalproex, mean dose 826 mg SD 216 Placebo	BPRS	Treatment resulted in lower score	High degree of side-effects	1
2 x 3 w	Valproate Placebo	SDAS-9 CGI	No difference in aggressiveness between groups. Improvements in restlessness, melancholic state and anxiety		1
6 w	Carbama-zepine 300 mg/day Placebo	BPRS CGI	Carbama-zepine > placebo		2
3 w	Withdrawal of carbamazepine treatment	BPRS CGI	Recurrence of previous symptoms	Extension of Tariot et al [55]	1

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26. Drug-induced Cognitive Impairment

Conclusions

The literature presents a vast array of studies addressing the cognitive side-effects of drugs, some of them dealing with cognitive impairment and others with delirium. Several are case reports or observational studies, but there are also many RCTs.

For some drugs – such as anticholinergics, benzodiazepines, corticosteroids and opioids – there is strong evidence of cognitive side-effects (Evidence Grade 1). A dose-dependent effect was observed for some of them, including anticholinergics and opioids. For others, such as anti-convulsants and antipsychotics, the evidence is moderate or limited. When it comes to the remainder of the drugs investigated – such as NSAID and beta-blockers – the results have less support or are contradictory. Table 26.1 summarizes drug groups for which there is scientific evidence of adverse effects on cognitive function.

However, not all drugs have been the subject of well-executed RCTs, and poor evidence does not automatically mean that a drug cannot have significant adverse effects on cognition. An important general limitation is that most drug groups have not been properly studied in elderly subjects. With respect to drug sensitivity, elderly patients can be very different from healthy adults by virtue of pharmacokinetic and pharmacodynamic changes, as well as polypharmacy and multiple diseases.

Background

Many different types of drugs can cause cognitive impairment, ranging from mild symptoms such as memory disturbances to obvious delirium or dementia. Generally speaking, the elderly are more sensitive to these side-effects by virtue of multiple drug use, age-related changes in pharmacokinetics and the central nervous system (CNS) function, and disease. Patients with dementia, particularly AD (Alzheimer's disease) in which a loss of cholinergic activity is found, are at particular risk due to neurodegeneration and the consequent impairment of neurotransmission.

Types of drug-induced cognitive impairment

Delirium is the most dramatic form of drug-induced cognitive impairment. Onset is rapid, and the cognitive disturbances, which typically have a fluctuating course, are associated with disorientation and an altered state of consciousness. Some patients experience hallucinations, delusions, hyperactivity or hypoactivity.

Drug toxicity can manifest as dementia. Drugs are generally one of several factors contributing to cognitive impairment, but they may also be the sole cause.

In other cases, drugs may cause more subtle cognitive disturbances that can be measured by neuropsychological tests but might otherwise go undetected.

Pathophysiology

Delirium is caused by a global decrease in the oxidative metabolism of the brain and an imbalance in several neurotransmitter systems.

The brain's cholinergic pathways play an important role in cognitive processes. The significance of cholinergic dysfunction for the cognitive deficits observed in AD is well established. Anticholinergic drugs are also known to cause delirium and other types of cognitive disturbances,

particularly in AD patients. According to one hypothesis, cholinergic blockade is the final common pathway of drug-induced cognitive impairment. But many other neurotransmitters – including GABA, dopamine, and noradrenaline – are likely contributors.

Epidemiology

Studies in elderly hospital patients reported that drugs are the cause of delirium in 10–30% of the cases [1]. Drug-induced cognitive impairment was found in 35 of 308 outpatients with suspected dementia. Cognition improved in each case when the suspected drugs were withdrawn [2]. Of 157 cognitively impaired patients in a residential care facility, 6–12% were found to have a reversible component. In 7 of the cases that showed the greatest improvement, adverse drug reaction (ADR) was deemed to be the likely cause [3]. According to estimates, more than 10% of patients attending memory clinics have iatrogenic disease [4].

Aims

The aim of the present inquiry was to review the literature for evidence of cognitive impairment and/or delirium induced by drugs.

Method

The primary search was in MEDLINE search covering 1975 to June 2004. The following search strategies were used, either alone or in combination:

- Aged OR Alzheimer disease
- Cognition/*drug effects OR Confusion/*drug effects OR Delirium/*drug effects
- Cognition disorders OR Delirium, dementia, amnestic, cognitive disorders OR Confusion
- Pharmaceutical preparations/*adverse effects OR Drug therapy/*adverse effects

- Confusion/*chemically induced OR Cognition disorders/*chemically induced OR Delirium/*chemically induced OR Dementia/
*chemically induced OR Amnestic disorders/*chemically induced.

When appropriate, relevant references in the identified studies were also included.

Because this chapter deals with side-effects of drugs, which have not been extensively investigated in RCTs, we have included a wider range of studies. Studies were reviewed if they were at least prospective – even those with low quality are included in the tables. Case reports and the like are sometimes cited for the sake of completeness, but we do not accord them any evidentiary value.

Cognitive side-effects of specific drug classes

Anticholinergic drugs

Several different types of drugs used by the elderly have anticholinergic properties. The drugs include not only the classic belladonna derivatives such as scopolamine, but a range of medications for example drugs against incontinence, tricyclic antidepressants and low-potency neuroleptics.

Several RCTs have investigated the effect of scopolamine on cognitive function in humans, most comparing elderly and young normal subjects. A double-blind, placebo-controlled study by Zemishlany et al gave scopolamine 0.2 mg and placebo to 12 elderly and 14 young subjects [5] (Table 26.2). A comprehensive test battery measuring verbal memory, practice and language that was administered 45 minutes after the injection showed significant impairment of new learning and practice in the elderly but not in the young. Language function was not impaired [5]. A study by Flicker et al compared three doses of scopolamine to glycopyrrolate, a peripheral-acting anticholinergic drug that penetrates the blood-brain barrier poorly [6]. The elderly performed worse than the young in all memory tests, although two tests also found impairment in young subjects at the highest dose. In contrast, attentional tasks were

affected similarly in the elderly and young. A double-blind study by Sunderland et al compared the effect of three doses of scopolamine and placebo in 10 AD patients and 10 matched elderly controls [7]. Two test batteries were administered, one 90 minutes after injection and the other repeatedly during the treatment period. For most cognitive tasks, the AD patients were impaired at lower doses than the controls and appeared to be more impaired at higher doses. The differences were most obvious in tests of new learning and semantic knowledge. Behavioral symptoms such as mild euphoria, motor incoordination and hostility were found in the AD patients but not in the controls. The differences in cognitive and behavioral measures between the two groups generally became more pronounced after scopolamine had been administered.

Several studies have related cognitive function or signs of cognitive impairment to serum anticholinergic levels. The levels are analyzed in blood serum by incubating it with a radioactively labeled muscarinic receptor antagonist – [3H]quinuclidinyl benzilate (QNB) and a suspension of rat striatal membranes rich in muscarinic receptors. The degree of competition with the radio-labeled QNB reflects the anticholinergic levels. Using this assay, many drugs have been found to possess anticholinergic activity. A number of them – including cimetidine, theophylline, prednisolone and digoxin – have previously gone unrecognized in this respect [8].

A study of 29 patients (aged 29–75, mean 55) undergoing cardiac surgery, found that 8 of the 25 who gave blood samples became delirious during the first postoperative week. High serum anticholinergic levels were found in 7 of those 8 patients, as opposed to only 4 of the patients who had not become delirious [8]. A low dose (0.005 mg/kg) of scopolamine administered to 18 patients in a randomised, double-blind, placebo-controlled study of 36 elderly presurgical patients yielded low levels of serum anticholinergic activity and cognitive impairment, which was measurable in two of the tests. These mild changes were not detected by two other tests, including the MMSE [9]. Rovner et al related serum anticholinergic levels in 22 nursing home patients with dementia to cognition and capacity for self-care, finding that patients with high levels had a significantly lower self-care score on the Psychogeriatric Dependency Rating

Scales [10]. Because this study was cross-sectional, a causal relationship cannot be established. However, the authors pointed out that other factors – including dementia severity, medical conditions, and number of anticholinergic drugs – were similar in the two groups.

Anxiolytics and hypnotics/sedatives

A prospective study by Larson et al covering 308 outpatients with suspected dementia found that 35 were having adverse drug reactions that caused cognitive impairment (Table 26.3). Use of sedatives/hypnotics, of which long-acting benzodiazepines were the most common, was the strongest predictor of adverse reactions [2]. A prospective cohort study of 2 765 subjects from the Duke EPESE showed that current users of benzodiazepines performed worse on memory tests (Short Portable Mental Status Questionnaire and Orientation-Memory-Concentration Test) and that those with recommended and higher dose, as well as long-term users, made more errors [11]. Memory impairment was found with both short-acting and long-acting agents. A study from the Boston Collaborative Drug Surveillance Program (BCDSP) examined 2 111 users of nitrazepam among 11 000 hospitalized medical patients in 5 countries. Signs of CNS depression (drowsiness, fatigue, confusion and ataxia) were reported in 49 patients (2.3%). However, the frequency was much higher in the elderly (11% in the 80 and over age group). That was attributed to the high doses. Among those aged 80 or over who took 10 mg or more, 55% experienced unwanted CNS depression [12]. Another study from the BCDSP that examined 2 542 patients using flurazepam showed similar results, with higher prevalence of CNS depression in the elderly, particularly at higher doses [13]. However, both studies were unblinded and lacked control groups. In addition, the extent to which CNS depression involved cognitive impairment is unknown.

Several double-blind, placebo-controlled trials have investigated the effects of benzodiazepines on cognitive functioning and/or psychomotor skills. But only some of them included elderly subjects and cognitive measures. Pomara et al gave 12 normal elderly volunteers single doses of 2.5 or 5 mg diazepam or placebo in three separate sessions. Memory was tested using the Buschke selective reminding task (immediate and delayed), a visual memory task (immediate and delayed) and a digit

span/supraspan task. Five of the measures were significantly impaired at all doses of diazepam [14,15]. Hinrichs and Ghoneim studied the effect of diazepam versus placebo in three age-groups of 12 healthy subjects (aged 19–28, 40–45 and 61–73) each [16]. Each subject was given diazepam (0.2 mg/kg) or placebo in two sessions. A large number of tests – including addition, card rotation and number learning, as well as immediate and delayed free recall – assessed cognitive performance. Diazepam had a detrimental impact on virtually all tasks. Many of them showed a similar effect of aging, while diazepam and its effect appeared to be additive rather than synergistic in older subjects.

Patterson reported five cases of triazolam syndrome, ie, reversible delirium, automatic movement and anterograde amnesia following ingestion of the short-acting benzodiazepine triazolam [17].

Barker et al reported a meta-analysis of 13 studies using neuropsychological tests to evaluate the cognitive effects of long-term use of benzodiazepines [18]. The mean number of benzodiazepine users was 33.5, and the mean number of controls was 27.9. The mean duration of use was 9.9 years. Long-term benzodiazepine users were consistently found to be more impaired in all cognitive categories.

The newer hypnotics, which are structurally different from the benzodiazepines but have the same mode of action via the GABA receptor, are increasingly prescribed for the elderly. One study found zolpidem (10 mg) to have no impact on cognitive function in elderly volunteers as measured by Sternberg Memory Scanning Task [19]. A randomised crossover study tested Zopiclone against placebo in 12 healthy elderly volunteers. A single dose of 7.5 mg had no effect on memory performance [20]. A recent double-blind, randomised, four-way, crossover study compared zolpidem (5 mg), zopiclone (3.75 mg), lormetazepam (1 mg) and placebo in 48 healthy elderly volunteers. The Learning Memory Tasks were unaffected by both zopiclone and zolpidem. The effects were not different from placebo in the Sternberg Memory Scanning Test either, with the exception of one item on which both zopiclone and lormetazepam increased the mean reaction time [21]. However, there are also some case reports of zolpidem-associated delirium [22–24].

In conclusion, there is firm evidence from RCTs that diazepam can cause cognitive impairment in the elderly. The evidence is weaker for two of the other long-acting benzodiazepines, nitrazepam and flurazepam as it is based on observational data, although in a very large number of patients. Case reports suggest that triazolam may cause delirium. RCTs to date indicate that the newer hypnotics of zopiclone and zolpidem have no or little effect on cognition, although case reports suggest that zolpidem may cause delirium.

Antipsychotics

Antipsychotics may cause cognitive impairment through several different mechanisms. The low-potency drugs, such as thioridazine, have significant anticholinergic properties (see above). Antipsychotics may also cause sedation through histamine H1-receptor antagonism that in turn could lead to impaired cognition.

Few systematic studies have been conducted on the cognitive side-effects of antipsychotics in the elderly. Most of the available data are from clinical trials, most of which have examined different antipsychotics in treating the behavioral symptoms of dementia. Traditional agents have been the focus of some, mostly small, studies. An early open crossover study by Steele et al compared the efficacy and side-effects of haloperidol and thioridazine in 16 AD patients with serious behavioral symptoms [25]. None of the drugs produced any significant effects on cognition. However, the study population was small. Moreover, only 6 patients completed the crossover. A single-blind, placebo-controlled pilot study gave 9 AD patients with psychosis or behavioral disturbance haloperidol for 8 weeks, preceded and followed by placebo for 4 weeks. Haloperidol (1–5 mg/day) showed a statistical trend ($p < 0.10$) towards cognitive impairment with only partial recovery during the placebo phase [26]. However, the study was also small. No effect on cognitive status (MMSE) emerged in a later 6-week, double-blind, crossover trial by Devanand and Marder comparing two doses of haloperidol and placebo among 71 AD patients [27]. A more recent study compared the acute effects of haloperidol (2 mg) and amisulpiride (50 and 200 mg) on cognition in 16 healthy, elderly volunteers. Cognitive functions were measured using

a test battery (CDR computerized assessment system). Amisulpiride did not produce any significant impairment of cognition. Haloperidol produced a significant decrease for two tasks compared to amisulpiride, but no change compared to placebo [28].

The newer antipsychotics have been the subject of several double-blind RCTs. Risperidone (0.5, 1, or 2 mg daily for 12 weeks) was compared with placebo in 625 patients with different types of dementia, as well as significant psychotic and behavioral symptoms [29]. Risperidone was found to cause no significant decrease in cognitive performance as measured by the MMSE. A 13-week, double-blind study compared risperidone to haloperidol and placebo in 344 patients with dementia and behavioral symptoms. No significant decline in cognition was found due to risperidone (mean dose 1.1 mg daily), whereas haloperidol (1.2 mg daily) caused a significant decline in the MMSE score compared to placebo [30]. A recent study compared the effects of single doses of risperidone (0.25 and 0.5 mg) on psychomotor performance and cognitive functions to placebo and lorazepam in 12 healthy elderly subjects. Risperidone had no effect on speed of reaction, vigilance, sustained attention, working or long-term memory and caused only minor impairment on information processing [21].

A 6-week, double-blind study compared olanzapine to placebo in 206 AD patients in nursing care [31]. The MMSE scores in the three olanzapine groups (5, 10, and 15 mg daily) did not differ significantly from baseline or placebo. A prospective, open-label, 8-week trial of olanzapine in 27 elderly schizophrenic patients found no significant change in the MMSE [32]. Olanzapine has also been tested in healthy, elderly volunteers. With the specific aim of investigating cognitive and psychomotor effects, a double-blind, crossover study of 14 subjects compared olanzapine 3 mg with haloperidol 3 mg and placebo. Each treatment lasted for 4 days, with a 16-day interval in between. Cognitive functions were measured using the CDR computerized assessment system. Both olanzapine and haloperidol were found to affect cognition. However, while olanzapine produced acute effects that generally returned to pre-dosing levels after 24 hours, the effects of haloperidol tended to increase up to day 4 and even to carry over [33].

Besides these RCTs, there are some case reports of delirium with both risperidone [34–36] and olanzapine [37].

The atypical antipsychotic clozapine has central anticholinergic properties. The fact that physostigmine reversed clozapine-induced delirium suggests that these anticholinergic effects were involved [38]. A study based on pharmacy records diagnosed 10% of clozapine patients with delirium. The risk was higher in those who had received other drugs with central anticholinergic effects [39]. The combination with benzodiazepines also seems to augment the risk of delirium [40].

Based on previous findings of a more rapid decline in cognitive function among AD patients showing psychotic symptoms, sleep disturbance and aggression, a 2-year prospective study by McShane et al investigated whether antipsychotic treatment might accelerate cognitive decline in 71 community-dwelling elderly with dementia [41]. Cognitive function was measured every 4 months using an expanded version of the MMSE. The 16 patients who took antipsychotics (mainly thioridazine, promazine, haloperidol, and chlorpromazine) showed a mean decline in the MMSE score twice that of the others. In addition, the start of antipsychotic treatment coincided with a more rapid decline. However, as discussed by the authors, these results did not prove a causal relationship between antipsychotic use and cognitive decline. Perhaps those receiving antipsychotics had a more severe disease and a steeper cognitive decline in general.

In conclusion, few of the studies presenting data about cognitive side-effects of antipsychotics were specifically designed to address this question. In addition, most studies used only the MMSE as a measure of cognitive functioning. Studies on traditional antipsychotics were generally small and examined only a few of these drugs, mostly haloperidol. The results did not reveal any major effects on cognition. However, later studies that compared new antipsychotics to haloperidol observed a significant cognitive impairment from this drug. Generally speaking, the newer antipsychotics, including risperidone and olanzapine, have proven to have little impact on cognitive function.

Lithium

Delirium is a well known consequence of lithium intoxication. Cognitive deficits tend to persist for long after the lithium concentrations have declined [42,43].

Honig et al reviewed the literature on cognitive side-effects of lithium therapy in bipolar disorder, identifying 4 out of 17 studies with adequate methodological quality. Analysis of the 4 studies showed that lithium had a negative effect on memory and speed of information processing [44].

Antidepressants

Cognitive impairment in depression is a complex matter given that both medication and the disease itself may be the cause. Antidepressants can be broken down into two major groups with different pharmacological and side-effect profiles: the older tricyclic agents (TCAs) and the newer selective serotonin reuptake inhibitors (SSRIs). Each group has been studied, mostly by double-blind RCTs, in both healthy elderly and those with depression.

Several studies using varying types of neuropsychological tests have shown amitriptyline, which has the most potent anticholinergic effects of all TCAs, to cause cognitive impairment. A placebo-controlled crossover study by Branconnier et al found that amitriptyline 50 mg markedly disrupted verbal recall from secondary memory but did not affect recognition [45] (Table 26.5). The profile of anterograde memory impairment was similar to that of the antimuscarinic agent scopolamine. Another study found that the same single dose of amitriptyline produced more pronounced impairment on several cognitive tasks than trazodone [46]. Ogura et al concluded that a relatively low dose of amitriptyline (25 mg) significantly decreased critical flicker fusion (CFF) for up to 24 hours [47]. Some TCAs may have less impact on cognitive functioning. Ghose and Sedman found that lofepramine, as opposed to amitriptyline, had no negative effect on psychomotor performance or CFF [48]. However, the study was small.

Studies in elderly patients with depression examined several types of TCAs. Amitriptyline was found to impair memory retrieval [49]. A comparison with the SSRI fluoxetine in 66 elderly depressed patients showed that memory improved, although more slowly in the case of amitriptyline [50]. TCAs other than amitriptyline have been shown to have less or no significant effects on cognition. Imipramine (300 mg daily for 25 days) had no effect in most tests of intellectual function [51]. Imipramine actually improved short-term memory in another placebo-controlled study [52]. Treatment with maprotiline improved cognition in a study of 75 elderly with depression, although the degree of improvement was less than for mianserin and nomifensine [53]. Nortriptyline has also been reported to have little effect on cognition. No significant effect was observed in an extensive battery of tests after 7 weeks of treatment [54]. However, another study reported a dose-dependent effect on immediate free recall [55].

Several studies have investigated the cognitive effects of SSRIs. Hindmarch et al compared 9 days of sertraline and mianserin treatment in elderly volunteers and found no effects of sertraline (up to 200 mg) on psychomotor and cognitive performance [56]. However, 10 subjects discontinued the mianserin treatment due to pronounced intolerance, such as hypotension. Sertraline was also shown to improve vigilance compared with amitriptyline and placebo. A study by Kerr et al found memory performance to improve faster in fluoxetine than amitriptyline patients [50].

Mianserin has been shown to induce transient impairment of immediate memory [57]. Bailer et al reported three cases of mirtazapin-induced delirium [58]. However, these patients had subclinical brain disease that may have favored the occurrence of delirium.

In conclusion, the TCA amitriptyline has been clearly linked to cognitive impairment in both healthy elderly and those with depression. Some other TCAs – including imipramine, lofepramine and maprotiline – may have less impact on cognitive performance. However, the detrimental effects of antidepressant therapy may be underestimated due to improvement in the disease itself. The available studies on SSRI show no signi-

ficant negative effect on cognitive performance. Some data suggest that mianserin, and possibly mirtazapin, can cause cognitive impairment or delirium.

Antiparkinsonian agents

Anticholinergic drugs for Parkinson's disease (PD) can clearly cause cognitive impairment. A double-blind crossover trial in elderly normal subjects found that trihexyphenidyl caused memory impairment on several tests, ie, the subjects rated their memory function as significantly more impaired [59]. A study by Van Spaendonck et al found that PD patients taking anticholinergic antiparkinsonian drugs performed worse than matched controls on tests of cognitive shifting [60] (Table 26.6). Another study showed that patients on combined therapy or anticholinergics alone performed worse in a series of psychometric tests than those on L-Dopa monotherapy [61].

The effects of L-Dopa vary among the different studies. The DATATOP study compared cognitive status before and after 6 months of L-Dopa therapy in 387 subjects, but no significant difference was found on any of the tests [62]. However, another study found acute L-Dopa treatment to delay cognitive processing compared to matched controls, as measured by simple reaction time [63]. There is also reliable evidence that L-Dopa may cause hallucinations [64] and delirium [65].

Other dopaminergic drugs have also been investigated. A study on advanced PD found that bromocriptine caused mental changes in 17 of 66 patients, 3 of whom manifested organic confusional syndrome and 3 of whom manifested schizophreniform psychosis [66]. A study by Goetz et al found concomitant use of agonists to be more common among patients with hallucinations [64].

A small study of PD patients on long-term L-Dopa treatment showed that the MAO-B-inhibitor selegiline improved memory, motor speed, and naming performance in patients without progressive dementia, while some functions – such as set shifting and vigilance – deteriorated [67]. Selegiline has also been reported to cause delirium.

Antihistamines

Antihistamines can impair cognitive function in several ways. First, they can cause sedation by blocking histamine H₁ receptors in the CNS. Second, some of the drugs have anticholinergic effects. Generally speaking, the degree of CNS impact is related to ability to penetrate the blood-brain barrier. The first-generation agents – including diphenhydramine, hydroxyzine, chlorpheniramine, promethazine and clemastine – penetrate more readily and are therefore more prone to cause sedation. In addition, many first-generation drugs not only block histamine receptors, but have significant anticholinergic properties.

A comprehensive study reviewed 55 placebo-controlled and verum-controlled trials on sedative effects and other CNS side-effects of antihistamines between 1965 and 1977 [68]. All trials had a double-blind crossover design. Twenty-one different antihistamines were included, of which 14 were second-generation. The cognitive tests included short-term and continuous memory tasks, and several tests of CNS arousal included mental arithmetic and logical reasoning. The authors concluded that although most antihistamines possessed some sedative effect, the first-generation agents clearly had more side-effects, consistently impairing performance at all doses tested. Very few antihistamine studies have been conducted in the elderly. However, a reasonable assumption is that elderly are particularly sensitive to the CNS effects of antihistamines, especially first-generation drugs with potent sedative and anticholinergic effects.

Anticonvulsants

Essentially all anticonvulsants can cause dose-dependent cognitive impairment [69]. However, the drugs appear to have some differences. A 5-year prospective multicenter study of 622 patients showed that carbamazepine had fewer cognitive side-effects than phenytoin, phenobarbital or primidone [70] (Table 26.7). However, another study with a double-blind RCT design did not find any major difference between carbamazepine and phenytoin [71]. Dodrill and Troupin reported a difference favoring carbamazepine over phenytoin, but discrepancies in serum levels were later identified as the true explanation [72]. Thus, there is

no evidence of any major difference between these two anticonvulsants in terms of cognitive side-effects.

A more consistent result from several RCTs is that phenobarbital causes more pronounced cognitive impairment than other anticonvulsants, while there are only slight, if any, differences among carbamazepine, phenytoin and valproate [73,74]. The newer anticonvulsant vigabatrin has been found to have no, or only modest, effects on cognitive function [75,76].

Opioids

Lawlor reviewed the cognitive effects of opioids [77]. Several studies have been carried out in healthy elderly, as well as those with non-malignant and cancer pain. Table 26.8 lists some of the RCTs in healthy elderly [78–82]. The results vary, but it would appear that there are more cognitive side-effects with parenteral administration and higher doses. The results are contradictory for non-malignant pain. One RCT showed no difference between morphine and placebo [83].

Prospective studies must be relied on when it comes to cancer pain. Again the results vary. For example, one study by Banning et al showed a prolongation of continuous reaction time in patients taking peroral morphine compared to those who did not [84]. On the other hand, a larger study by Sjögren et al showed that long-term opioid use had no effect per se on cognitive performance [85].

One problem with investigating the cognitive effects of opioids is that the outcome depends on a number of factors – such as type of opioid, dose and regimen, and route of administration – that may vary. Moreover, the cognitive effects may be more pronounced at the start of therapy and as the dose increases [77].

As is the case with many other drug groups, another problem is that these studies included very few elderly. Risks of cognitive disturbances and delirium may be greater in the elderly due to age changes in terms of pharmacokinetics – particularly a decrease in renal function – pharmacodynamics, polypharmacy, and multiple diseases. Opioid use has

been found to be an independent risk factor for delirium in the elderly [86]. Opioids may also cause, or contribute to, postoperative delirium. A prospective controlled trial of 83 higher-risk elderly men found that postoperative confusion occurred in 18% of those receiving intramuscular morphine injections compared to 2.3% on patient-controlled analgesia [87].

NSAIDs

Contradictory effects of NSAIDs on cognitive function have been described in the literature. Several studies have reported that long-term therapy may protect against AD or slow the cognitive decline associated with AD and ageing. These findings are described in Chapter 8 on Risk Factors and are not discussed further here. Other studies have reported that short-term NSAID use may cause cognitive impairment. However, the evidence is rather scarce. A cognitive assessment before and after three weeks of naproxene treatment in a prospective study showed a decline among 4 of 12 osteoarthritic elderly patients. However, the change was not statistically significant. In addition, the sample size was small and the trial was uncontrolled [88] (Table 26.9). Two prospective cohort studies of similar design have been published. From the Duke EPESE, Hanlon et al reported that elderly patients taking moderate or high NSAID doses performed significantly worse on memory tests [89]. Another EPESE study by Saag et al found high-dose NSAID use to be strongly associated with a decline in word recall [90]. However, these studies are observational, and their concomitant assessment of NSAID use and cognitive measures makes it difficult to draw any conclusions about the causal relationship. Some studies have reported a lack of deleterious effect of NSAIDs on cognitive function. May et al found no association between NSAID or aspirin use on MMSE scores and items in 1 310 ambulatory elderly [91]. A double-blind crossover study of 20 healthy elderly patients receiving indomethacin or placebo for 7 days suggested that tests of sensorimotor coordination and short-term memory may have improved, whereas tests of attention and psychomotor speed remained unaffected [92]. In summary, few studies have provided evidence that NSAIDs have major negative effects on cognitive function. However, that does not rule out the risk of CNS effects in individual patients.

Corticosteroids

Corticosteroids have several different effects on brain function, including modulation of various transmitter systems, as well as implications for myelin synthesis, neuronal glucose uptake, dendritic branching and synapse formation. The hippocampus is an important receptor site for corticosteroids, where they are known to play an important role in long-term potentiation. While corticosteroids are integral to memory processes, such as interpreting and storing new information, prolonged exposure to, or elevated levels of, glucocorticoids may lead to hippocampal degeneration, which could cause cognitive impairment [93].

The BCDSP examined more than 700 consecutively hospitalized patients on corticosteroids and found significant psychiatric disturbances in 1.6% of those receiving <40 mg, 4.6% in those receiving 40–80 mg and 18.4% in those receiving >80 mg prednisolone daily [94] (Table 26.10).

Several placebo-controlled, experimental studies on healthy subjects demonstrated that corticosteroids (prednisone, dexamethasone and hydrocortisone) have adverse effects on memory processes, such as immediate and delayed free recall, working memory, and declarative memory [95–101].

Several studies also reported various cognitive disturbances following corticosteroid therapy for medical diseases, including rheumatoid arthritis, asthma, and ulcerative colitis. In a series of 14 cases, Hall et al found that patients treated with corticosteroids – daily doses of prednisone 40 mg or its equivalent – were more likely to develop psychotic symptoms [102]. Another study by Keenan et al found that 25 patients using prednisone for at least 1 year performed worse than controls on tests of hippocampal-dependent memory tasks [103]. Varney et al identified 6 patients who developed dementia-like cognitive changes following corticosteroid treatment. All of them recovered after discontinuation or reduction of the medications [104].

Anti-ulcer agents

Cantu and Korek reviewed the incidence of risk factors for CNS reactions to histamine H₂ blockers in 1991 and concluded that all H₂ blockers can induce CNS toxicities. The estimated incidence was low (0.2%) in outpatients, but 1.6% to 80% in inpatients. The association was more frequent for cimetidine, although there was no firm evidence for differences among the drugs. Advanced age was the only, albeit limited, risk factor [105]. Catalano et al reported six cases of famotidine-associated delirium in hospitalized patients who returned to normal upon removal of the drug [106].

An RCT in 12 healthy volunteers reported that increasing doses of cimetidine up to 1 600 mg had no significant impact on cognitive performance [107] (Table 26.11). However, the H₂ antagonists are dependent on renal function, and there is evidence that renal impairment may increase the risk of CNS reactions. Schentag found moderate to severe mental status changes in 6 of 36 patients on cimetidine, all of whom had both renal and hepatic dysfunction, as well as high serum levels of cimetidine. The changes became more severe as levels rose [108]. A prospective, observational open study of 41 patients on ranitidine showed that CNS adverse reactions such as confusion and disorientation were more frequent in patients with renal function impairment and higher plasma levels [109].

H₂ blockers possess anticholinergic effects, and the fact that physostigmine has been shown to resolve delirium in users of H₂ blockers suggests that it may be an important mechanism for the adverse CNS effects of these drugs [110].

Some case reports suggest that proton pump inhibitors [111,112] and misoprostol [113] can also cause delirium [112].

Cardiovascular drugs

Advanced age has been shown to be an independent risk factor for digitalis toxicity [114]. Several case reports of digitalis-induced delirium appear in the literature, mostly in elderly patients [115]. Delirium may be the first symptom of digitalis intoxication [116].

A study in the Netherlands reported on 179 patients with digitalis toxicity, of whom 12 presented transient psychosis and 4 delirium [117]. Important to note is that CNS disturbances may occur in the elderly even at normal digoxin concentrations [118,119].

Disopyramide and quinidine both have significant anticholinergic effects and have been reported to cause delirium [1,115].

A review of 55 studies on the cognitive side-effects of beta-blockers found inconsistent results. Sixteen percent of the studies showed improved function, seventeen percent worsened function and the rest no significant effect [120]. One placebo-controlled RCT of propranolol showed only limited effects on cognitive function [121] (Table 26.12). A double-blind crossover study on the cognitive effects of six different antihypertensives (six-week treatment periods with atenolol, metoprolol, hydrochlorothiazide, methyldopa, enalapril and verapamil) showed that – irrespective of the type of medication – treatment reduced simple motor speed, as well as slowing completion of two tests measuring perceptuomotor speed and mental flexibility. On the other hand, all antihypertensive agents favorably affected performance on several tests that required working memory [122]. However, there are also case reports of insidious mental impairment [123] and delirium [124].

Whether lipophilic beta-blockers may be more prone to cause CNS effects has been a subject of discussion. A double-blind crossover study by Westerlund in 14 patients with a previous history of nightmares or hallucinations when taking lipophilic beta-blockers, compared the hydrophilic beta-blocker atenolol with the lipophilic agents metoprolol and propranolol. The number of episodes was significantly lower for patients receiving atenolol, thereby supporting the hypothesis [125]. However, the study by Dimsdale et al found no difference, too [120].

Nygaard reviewed studies on adverse drug events (ADE) related to topical administration of beta-blockers for glaucoma and found several reports of not only congestive heart failure, arrhythmias and respiratory symptoms, but depression, hallucinations and confusion, too [126].

Antibiotics, antiviral drugs and chemotherapy

Numerous case reports indicate that quinolone antibiotics can cause delirium [127–130]. Moreover, the macrolide antibiotics clarithromycin [131] and azithromycin [132] have been reported to cause delirium in geriatric patients. Because the macrolides are potent inhibitors of the cytochrome P₄₅₀ isoenzyme CYP 3A₄, they may also indirectly cause cognitive disturbances by increasing the levels of other drugs with potential CNS effects [133]. There are also a few case reports of psychotic symptoms following administration of sulfonamides [134].

Case reports have associated the antiviral drugs acyclovir, ganciclovir and famciclovir with delirium [135–137].

There is growing evidence that chemotherapy can cause long-term cognitive changes in breast cancer patients [138,139]. Survivors treated with systemic chemotherapy scored significantly lower on the battery of neuropsychological tests than those who had received local therapy only. They were also more likely to self-report greater problems with working memory [139].

Table 26.1 Drug groups for which there is scientific evidence for adverse effects on cognitive function.

Drug group	Drugs studied	Scientific evidence
Anticholinergic drugs	Scopolamine	Strong
Benzodiazepines	Diazepam	Strong
Antihistamines	First-generation, eg diphenhydramine, hydroxyzine, promethazine	Strong
Corticosteroids	Hydrocortisone, cortisone, cortisol, prednisolone, dexametasone	Strong
Opioids	Morphine, hydromorphone, oxycodone	Strong
Anticonvulsants	Carbamazepine, phenytoin, phenobarbital	Moderately strong
Tricyclic antidepressants	Amitriptyline	Moderately strong
Antiparkinsonian drugs	L-dopa, anticholinergic drugs	Limited
Antipsychotic drugs	Haloperidol	Limited

Table 26.2 Anticholinergic drugs.

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Severity	No of individuals	Age- groups	Follow-up time
Zemishlany et al 1991 [5]	RCT, DB, PC	Com	N	–	12 elderly, 14 young	57–73 22–35	2 h
Flicker et al 1992 [6]	RCT, DB	Com	N	–	10 elderly, 10 young	60–85 18–30	4 h
Sunderland et al 1987 [7]	RCT, DB, PC	Nh, Com	AD/N	Mild- moderate	10 AD, 10 N	Mean 58.8 61.3	30 min
Tune et al 1981 [8]	Pro	Ho	Cardiac surgery	–	29	29–75	1 week
Miller et al 1988 [9]	RCT, DB, PC	Ho	Pre- surgical patients	–	36	59–81	1 h
Rovner et al 1988 [10]	CS	Nh	D	Ns	22	Mean 80.8	–

AD = Alzheimer's disease; Com = Community dwelling; CS = Cross sectional;
D = Dementia; DB = Double blinded; Ho = Hospital; MMSE = Mini-mental state
examination; N = Normal subjects; Nh = Nursing home; ns = Not stated;
PC = Placebo-controlled; Pro = Prospective study; RCT = Randomised
controlled trial

Intervention	Primary outcome measures	Results	Remarks	Quality of study
Scopolamine 0.2 mg/placebo	Verbal memory, praxis and language	Impairment of new learning and praxis in the elderly		High
Scopolamine/glycopyrrolate	Memory and attentional tests	Elderly impaired in all tests, young in two with highest dose		High
Scopolamine/placebo	Cognitive and behavioral tests	AD more impaired. Particularly new learning and semantic knowledge. Behavioral symptoms in AD		High
—	Diagnosis of delirium	7/8 with delirium had high serum anticholinergic levels. 4/17 in controls		Low
Scopolamine 0.005 mg/kg/placebo	Cognitive tests: MMSE	Low serum anticholinergic levels and cognitive impairment in two tests, in scopolamine treated		Moderate
—	Cognition and self-care capacity (PDRS)	High levels of serum anticholinergic activity associated with lower self-care score	CS	Low

Table 26.3 Anxiolytics, hypnotics-sedatives.

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Severity	No of indi- viduals	Age- groups	Follow-up time
Larson et al 1987 [2]	Pro	Com	D (sus- pected)	Ns	308	60+	≥1 y
Hanlon et al 1998 [11]	Pro	Com	–	–	2 765	<74 (1 873) 75–84 (775) 85+ (117)	3 y
Greenblatt et al 1978 [12]	Pro, MCT	Com	–	–	2 111	Mean 57	–
Greenblatt et al 1977 [13]	Pro	Com	–	–	2 542	Mean 54.5	–
Pomara et al 1984 [14,15]	RCT, DB, PC, C–O	Com	N	–	12	60–77	1 h, 3 h
Hinrichs et al 1987 [16]	RCT, DB, PC, C–O	Com	N	–	12 + 12 + 12	19–28 40–45 61–73	3.5 h
Fairweather et al 1992 [19]	RCT, DB, PC	Com	N	–	24	63–80	7 d
Hemmeter et al 2000 [20]	RCT, DB, PC	Com	N		12	60–70	10 h

Intervention	Primary outcome measures	Results	Remarks	Quality of study
–	Probable ADR as cause of cognitive impairment	35 with probable ADR causing cognitive impairment. Sedatives-hypnotics use strongest predictor		Low
–	Memory tests (SPMSQ, OMC)	Current use of Bz performed worse on memory tests. More errors if higher dose or long term use		Low
Nitrazepam	Signs of CNS depression	Signs of CNS depression in 11% of elderly 80+ using nitrazepam		Low
Flurazepam	Signs of CNS depression	Signs of CNS depression in 7.1% of elderly 80+ using flurazepam		Low
Diazepam 2.5, 5, 10 mg/ placebo	Buschke selective reminding, visual memory, digit span/supraspan	Five tasks significantly impaired with all doses		High
Diazepam 0.2 mg/kg/ placebo	Addition, number learning, immediate and delayed free recall etc	Negative effect of diazepam in almost all tasks		High
Zolpidem 5, 10 mg/ placebo	Sternberg memory scanning	No impact on cognitive function		High
Zopiclone 7.5 mg/temazepam 20 mg/placebo		No effect on memory performance		High

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Table 26.3 *continued*

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Severity	No of indi- viduals	Age- groups	Follow-up time
Allain et al 2003 [21]	RCT, DB, PC, C–O	Com	N		48	65+	10 h

ADR = Adverse drug reaction; Bz = Com = Community dwelling; C–O = Crossover;
D = Dementia; DB = Double-blind; MCT = Multi-centre trial; N = Normal subjects;
ns = Not stated; PC = Placebo-controlled; Pro = Prospective study; RCT = Randomised
controlled trial

Intervention	Primary outcome measures	Results	Remarks	Quality of study
Zopiclone 3.75 mg/ zolpidem 5 mg/ lormetazepam 1 mg/ placebo	Sternberg memory scanning, learning memory	No effect on all tests but one item in Sternbergs test (zopiclone)		High

Table 26.4 Antipsychotics.

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Seve- rity	No of indi- viduals	Age- groups	Follow-up time
Devanand et al 1989 [26]	SB,PC, C–O	Com	AD, psy- chosis or behavioral symptoms	Ns	9	Mean 71.6	8 w
Devanand et al 1998 [27]	RCT, DB, PC	Com	Probable AD, psy- chotic or disruptive behavior	CDR 1–2 (43) CDR 3 (28)	71		6 w
Legangneux et al 2000 [28]	RCT, DB, PC, C–O	Com	N	–	16	65–80	24 h
Katz et al 1999 [29]	RCT, DB, PC	Nh	AD, psy- chosis or behavioral symptoms	Severe FAST ≥7A	625	82.7	12 w
De Deyn et al 1999 [30]	RCT, DB, PC	Nh	AD, behavioral symptoms	Severe FAST ≥6 (92%)	344	56–97	13 w
Street et al 2000 [31]	RCT, DB, PC	Nh	AD, psychotic and/or behavioral symptoms	Ns	206	61–97	6 w
Sajatovic et al 1998 [32]	O, C–O		Schizo- phrenia	Ns	27	65–80	8 w

Intervention	Primary outcome measures	Results	Remarks	Quality of study
Haloperidol 1–5 mg/placebo	Cognition measured by MMSE	Trend towards cognitive decline, only partial recovery	Small study	Low
Haloperidol 0.5–0.75 mg; 2–3 mg/placebo	Cognition measured by MMSE	No effect observed		High
Haloperidol 2 mg/amisulpiride 50, 200 mg/ placebo	Cognition measured by CDR computerized assessment	Significant impairment by haloperidol compared to amisulpiride (but not placebo)	Small sample. One drop-out, replaced	Moderate
Risperidon 0.5, 1, 2 mg/ placebo	Cognition measured by MMSE	No significant effect		High
Risperidon 0.25–4 mg/ haloperidol 0.25–4 mg/ placebo	Cognition measured by MMSE	No significant effect of risperidone but with haloperidol compared to placebo		High
Olanzapine 5, 10, 15 mg/ placebo	Cognition measured by MMSE	No significant effect		High
Olanzapine 2.5–20 mg	Cognition measured by MMSE	No significant effect		Low

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Table 26.4 *continued*

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Seve- rity	No of indi- viduals	Age- groups	Follow-up time
McShane et al 1997 [41]	Pro	Com	D	Mean MMSE 15.5 at entry	71	Mean 72.6	2 years
Allain et al 2003 [21]	RCT, DB, PC, C-O	Com	N	–	12		8 h

AD = Alzheimer's disease; ADR = Adverse drug reaction; Bz = Border Zone;
Com = Community dwelling; C-O = Crossover; D = Dementia; DB = Double-blind;
FAST = Functional assessment staging; MCT = Multi-centre trial; MMSE = Mini-mental
state examination; N = Normal subjects; Nh = Nursing home; ns = Not stated;
O = Open study; PC = Placebo-controlled; Pro = Prospective study; RCT = Randomised
controlled trial

Intervention	Primary outcome measures	Results	Remarks	Quality of study
—	Cognition measured by expanded MMSE	Double mean decline in anti-psychotic users	Cognitive decline and antipsychotic use measured concomitantly	Low
Risperidone 0.25, 0.5 mg/lorazepam 1 mg /placebo	Battery including psycho-motor and cognitive tests	No effect on working or long-term memory. Minor impairment of information processing	Small sample	Moderate

Table 26.5 Antidepressants.

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Severity	No of indi- viduals	Age-groups
Branconnier et al 1982 [45]	RCT, DB, PC, C–O	Com	N	–	15	60–75
Moskowitz et al 1986 [46]	DB, PC, C–O	Com	N	–	15	Mean 63
Ghose et al 1987 [48]	RCT, DB, PC, C–O	Com	N	–	6	66–72
Ogura et al 1983 [47]	DB, C–O	Students, Nh	N	–	7 young, 7 elderly	21–25 65–74
Marcopulos et al 1990 [49]	CS, CC	Com	Depres- sion	Ns	27 treated, 27 matched controls	

Follow-up time	Intervention	Primary outcome measures	Results	Remarks	Quality of study
4 h	Amitriptyline 50 mg/placebo	Battery of tests for episodic, sensory, primary and secondary memory	Marked disruption of verbal recall (no effect on recognition) from secondary memory		High
4 h	Amitriptyline 50 mg/trazodone 100 mg/placebo, in 3 sessions	Test battery for visual search, attention, tracking, information processing and vigilance	Amitriptyline impaired vigilance, divided attention and critical tracking tasks	No info about randomization	Moderate
24 h	Lofepamine 70, 105, 140 mg/amitriptyline 50 mg/placebo	Choice reaction time (CRT), CFF, letter cancellation, short term memory tests	Lofepamine (140) improved CRT and letter cancellation. No difference from placebo in other tests. Negative effects of amitriptyline in several tests		Moderate
24 h	Dotheipine 25 mg; amitriptyline 25 mg		Decreased CFF by amitriptyline for up to 24 h	No placebo	Moderate
—	Amitriptyline 10–150 mg; doxepin 50–200 mg; miscellaneous	WAIS battery	Mild, but significant, deficit in 6 measures of memory performance		Low

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Table 26.5 *continued*

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Severity	No of indi- viduals	Age-groups
Kerr et al 1993 [50]	RCT, DB, parallel- group	Ns	Depres- sion	MADRS 15–20	66	
Legg et al 1976 [51]	RCT, DB, PC	Ho	Depres- sion	Ns	49	16–70
Glass et al 1981 [52]	RCT, DB, PC, C–O	Com	Major (n = 25) or minor depressive disorder. Matched N	Ns	32 + 32	30–63
Georgotas et al 1989 [54]	RCT, DB, PC	Com	Unipolar major depres- sion	Hamilton ≥16	78	55–82
Siegfried et al 1986 [53]	RCT, DB	Nh, Ho	Major depres- sion	Hamilton ≥18	75	67–83

Follow-up time	Intervention	Primary outcome measures	Results	Remarks	Quality of study
42 d	Amitriptyline 75 mg; fluoxetine 20 mg/ placebo	MADRS, HAM-D, CFF, CRT, word recognition, memory scanning	CFF threshold improved in fluoxetine but not amitriptyline group. Better memory improvement with fluoxetine	12 withdrawn due to ADE. Little info about subjects	Low
3 w	Imipramine 300 mg; chlorpromazine 600 mg/ placebo, 5 weeks	Six WAIS-subtests, Wechsler memory scale, Benton visual retention etc	Impairment of short term visual memory (Benton). Also failure to improve in tests of new learning	32% dropout due to poor effect. Randomization not described	Low
3 w	Imipramine 75 mg/placebo, 3 weeks	Tapping speed, lift-off reaction time, item recognition procedure	Improvement in short-term memory. Else no effects		High
7 w	Nortriptyline; phenelzine/ placebo	Cognitive battery including WAIS, Guild memory scale etc	No effect on any measure	Doses not given	Moderate
4 w	Maprotiline 100 mg; mianserin 40 mg; nomifensine 100 mg, 4 weeks	HAM-D, CFF, digit span CRT, Test d2, paired associated learning	All drugs gave cognitive improvement, but most slowly with maprotiline	No placebo	Moderate

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Table 26.5 *continued*

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Severity	No of indi- viduals	Age-groups
Hindmarch et al 1990 [56]	RCT, DB, PC, C–O	Com	N	–	10 women, 21 men	25–45 60–75

ADE = Adverse drug event; CC = Case-control; CFF = Critical flicker fusion;
 Com = Community dwelling; C–O = Crossover; CS = Cross-sectional; DB = Double-
 blind; Ho = Hospital; MCT = Multi-center trial; MMSE = Mini-mental state examination;
 N = Normal subjects; Nh = Nursing home; ns = Not stated; O = Open study; PC =
 Placebo-controlled; RCT = Randomised controlled trial; WAIS = Wechsler adult
 intelligence scale

Follow-up time	Intervention	Primary outcome measures	Results	Remarks	Quality of study
9 d	Sertraline 25–100 mg; mianserin 10–30 mg	CFF, CRT, immediate memory tests, sensorimotor tracking	No effect of sertraline on any objective measure of performance	10 dropouts in 2nd study mianserin group	Moderate

Table 26.6 Antiparkinsonian drugs.

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Severity	No of individuals	Age-groups
Van Spaendonck et al 1993 [60]	CS	Com	PD	Ns	11 on anti- cholinergic therapy 30 de novo	Mean 61.5 and 58.1
Meco et al 1984 [61]	CS	Com	PD and healthy controls	Hoehn and Yahr stage 2–3	33 PD 14 controls	Mean 66.2 and 62.9
Growdon et al 1998 [62]	Pro	Com	PD	Hoehn and Yahr mean 2.2	387	Mean 63.7
Müller et al 2001 [63]	DB, PC	Ho	PD, treated or untreated	Hoehn and Yahr 2.10–2.29	16 + 14 + 10	29–69 47–72 37–74
Goetz et al 2001 [64]	Pro	Com	PD	Hoehn and Yahr stage 2–3	89	Mean 67.7
Serby et al 1980 [66]	RCT?	Ns	Advanced PD	Advanced	81	Ns

Follow-up time	Intervention	Primary outcome measures	Results	Remarks	Quality of study
—	—	WAIS-R and card sorting test	Patients on anticholinergic therapy performed worse on card sorting test		Low
—	—	Bender visual motor gestalt, Wechsler-Bellevue, Toulouse-Pieron	Patients on anticholinergics, alone or in combination performed the worst. Best results with L-Dopa alone		Low
6 months	L-Dopa (mean 286 mg daily)	Digit span, digit symbol, selective reminding, verbal fluency etc	No impairment on any test		Low
90 min	L-Dopa 250 mg/ placebo	Simple reaction time (SRT)	Significant increase in SRT	Randomization not described	Moderate
48 months	—	Rush Hallucination Inventory	Association of persistent hallucinations with L-Dopa + agonist treatment		Low
—	Bromocriptine mean 33 mg; lergotrile mean 39 mg	Ns	In both bromocriptine and lergotril patients, 26% developed mental changes	Very poor method description	Low

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Table 26.6 *continued*

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Severity	No of individuals	Age-groups
Portin et al 1983 [67]	Pro	Ns	PD	Ns	7 with L-Dopa 18 de novo	58–74 50–76
McEvoy et al 1987 [59]	RCT, DB, C–O	Com	N	–	30	60–72

CFF = Critical flicker fusion; Com = Community dwelling; C–O = Crossover; CS = Cross-sectional; DB = Double-blind; Ho = Hospital; N = Normal subjects; ns = Not stated; PC = Placebo-controlled; PD = Parkinson's disease; Pro = Prospective study; RCT = Randomised controlled trial; WAIS = Wechsler adult intelligence scale

Follow-up time	Intervention	Primary outcome measures	Results	Remarks	Quality of study
8–10 y	Selegiline 5–10 mg daily, 4 weeks	Various memory tests, tests for cognitive function, vigilance and motor speed	Improvement in memory and motor speed in patients without progressive dementia, but deterioration in vigilance and set shifting	Poor method description (eg randomization)	Low
4 d	Amantadine 100 mg; trihexyphenidyl 4 mg, twice daily for 4 days	Digit span, free recall, recognition-signal detection, retrieval-familiar categories	Memory deficits and subjective memory loss and confusion with trihexyphenidyl		Moderate

Table 26.7 Anticonvulsants.

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Severity	No of indi- viduals	Age- groups
Smith et al 1987 [70]	RCT, DB	Multicenter Com	Simple, complex or generalised seizures. Controls	Ns	622 patients, 75 controls	18–82, 17–72
Meador et al 1991 [71]	RCT, DB, PC, C–O	Com	N	–	21	21–48
Meador et al 1990 [73]	RCT, DB, C–O	Com	Partial complex epilepsy	Ns	15	19–62
Meador et al 1995 [74]	RCT, DB, C–O	Com	N	–	59	19–46

Follow-up time	Intervention	Primary outcome measures	Results	Remarks	Quality of study
36 m	Phenobarbital, carbamazepine, phenytoin and primidone	Tests of intellectual ability (WAIS), behavior (CFF etc) and mood/emotion (POMS)	Less effects of carbamazepine on tests of attention/concentration and motor performance		High
1 m	Carbamazepine 200 mg; phenytoin 100 mg, 1 month/ placebo	Extensive battery of cognitive tests and POMS	Impairment of Stroop, CRT, grooved pegboard, Hopkins and POMS. Only small differences between the drugs	9 of 30 dropped out due to ADE	Moderate
3 m	Carbamazepine 6–12 µg/ml; phenobarbital 10–20 µg/ml; phenytoin 15–40 µg/ml	Digit span, selective reminding, digit symbol, CRT, POMS etc	Phenobarbital gave significantly more impairment of digit symbol	6 patients discontinued	Moderate
7,5 m	Phenobarbital 120–360 mg; phenytoin 200–600 mg; valproate 500–1 500 mg, 1 month	Large test battery for cognitive and motor speed, memory, other cognitive tasks, mood and symptoms	All three drugs gave some untoward effect compared to baseline. Phenobarbital gave worst performance. No difference between phenytoin and valproate	16 patients discontinued	Moderate

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Table 26.7 *continued*

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Severity	No of indi- viduals	Age-groups
Grünewald et al 1994 [76]	RCT, DB, PC, C–O	Com	Refrac- tory partial seizures	Ns	45	15–61

AED = Asthenic emotional disorder; Com = Community dwelling; C–O = Crossover;
 CRT = Choice reaction time; DB = Double-blind; N = Normal subjects; ns = Not stated;
 PC = Placebo-controlled; RCT = Randomised controlled trial

Follow-up time	Intervention	Primary outcome measures	Results	Remarks	Quality of study
68 w	Vigabatrin 1.5 g twice daily, 20 weeks/ placebo	Measures for memory, concentration, mood behavior	Small but significant reduction in motor speed and design learning		High

Table 26.8 *Opioids.*

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Severity	No of individuals	Age- groups
Hanks et al 1995 [78]	RCT, DB, PC, C–O	Com	N		12	30–47
O'Neill et al 2000 [79]	RCT, DB, PC, C–O	Com	N		10	25–40
Zacny et al 1994 [80]	RCT, DB, PC, C–O	Com	N		12	21–33
Walker et al 1999 [81]	RCT, DB, PC, C–O	Com	N		16	21–32
Saarialho-Kere et al 1989 [82]	RCT, DB, PC, C–O	Com	N		9	20–26
Moulin et al 1996 [83]	RCT, DB, PC, C–O	Com	Chronic non-malignant pain	5/10 on VAS	46	22–67

Follow-up time	Intervention	Primary outcome measures	Results	Remarks	Quality of study
6 h	Morphine 10, 15 mg, po/ lorazepam 1 mg, po/ placebo	CRT, memory scanning, picture recognition etc	Morphine (10, 15 mg) impaired word recall and picture recognition. CRT improved with 15 mg		High
36 h	Morphine 10 mg, po/ dextropropoxyphene 100 mg, po/ lorazepam 1 mg, po/ placebo	CRT, memory scanning, picture recognition etc	No substantial effect of any opioid on cognition, compared with lorazepam		High
5 h	Morphine 0–10 mg/ 70 kg	Digit symbol substitution (DSST), reaction times	Morphine impaired DSST, mostly dose dependently		High
7 h	Morphine, meperidine, hydromorphone, graded iv doses	DSST, logical reasoning	All three gave dose-dependent impairment of DSST, least with morphine		High
4.5 h	Oxycodone 0.13 mg/kg, im/diphenhydramine 100 mg, po/placebo	DSST, reaction time, divided attention	Reaction time and attention transiently impaired by oxycodone		High
22 w	Morphine 60 mg twice a day/benzotropine 1 mg twice a day	High sensitivity cognitive screen	No difference between morphine and placebo	15 drop-outs	Moderate

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Table 26.8 *continued*

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Severity	No of individuals	Age- groups
Banning et al 1992 [84]	Pro	Ns	Cancer pain	Ns	16 on opioids 16 controls	
Sjögren et al 2000 [85]	Pro	Ns	Cancer pain	60–90/ 100 on VAS	130	40–76
Schor et al 1992 [86]	Pro	Ho			234	65+
Egbert et al 1990 [87]	RCT	Ho	After major elective surgery		83	Mean 67

CFF = Critical flicker fusion; Com = Community dwelling; C–O = Crossover; CRT = Choice reaction time; CS = Cross-sectional; DB = Double-blind; Ho = Hospital; N = Normal subjects; ns = Not stated; PC = Placebo-controlled; PCA = patient-controlled analgesia; Pro = Prospective study; RCT = Randomised controlled trial; VAS = Visual analogue scale

Follow-up time	Intervention	Primary outcome measures	Results	Remarks	Quality of study
Ns	Morphine 30–400 mg	Continuous reaction time	Prolongation in morphine group		Low
7 h	Morphine 20–420 mg	Continuous reaction time, finger tapping, paced auditory serial addition task	Long term opioid use per se did not influence test performance		Low
14 d	—	Instrument for detection of delirium	Delirium developed in 91 patients, narcotic use was one risk factor		Low
3 d	Morphine intra muscular or in patient-controlled analgesia (PCA)	Postoperative confusion	Significant postoperative confusion in 18% of intra muscular and 2.3% of PCA treated		Moderate

Table 26.9 *Non-steroidal anti-inflammatory drug (NSAID).*

Author Year, reference	Type of study	Setting	Disease/ diagnosis	Severity	No of individuals	Age- groups
Hanlon et al 1997 [89]	Pro, Co	Com	N (cogniti- vely intact at baseline)	–	2 765	Ns
Saag et al 1995 [90]	Pro, Co	Com	N (cogniti- vely intact at baseline)	–	2 087	67–97
Wysenbeek et al 1988 [88]	Pro	Com	Osteo- arthritis	Ns	12	Mean 70.1
May et al 1992 [91]	Pro, Co	Com	Miscell- aneous	–	1 310	
Bruce-Jones et al 1994 [92]	RCT, DB, PC, C–O	Com	N	–	20	55–65 65+

Com = Community dwelling; C–O = Crossover; Co = Cohort study; CRT = Choice reaction time; CS = Cross-sectional; DB = Double-blind; MMSE = Mini-mental state examination; N = Normal subjects; ns = Not stated; NSAID = Non-steroidal anti-inflammatory drug; PC = Placebo-controlled; PCA = patient-controlled analgesia; Pro = Prospective study; RCT = Randomised controlled trial; VAS = Visual analogue scale; WAIS = Wechsler adult intelligence scale

Follow-up time	Intervention	Primary outcome measures	Results	Remarks	Quality of study
3 y	–	Short portable mental status questionnaire	Moderate or high NSAID doses significantly worse in memory tests	NSAID use and cognitive measures assessed concomitantly	Low
4 y	–	Immediate word recall	High dose NSAID associated with decline in word recall	NSAID use and cognitive measures assessed concomitantly	Low
3 w	Naproxen 750 mg/d	WAIS, Bender Gestalt test	Four subjects deteriorated in one test and one of them in two	Small sample, non-controlled	Low
–	–	MMSE	No effect of NSAID or aspirin use on MMSE score or in the five dimensions of cognition		Low
7 d	Indomethacin 75 mg/d/placebo	Test battery of arousal, attention, integration, coordination, memory and mood	No effect on attention and psychomotor speed. Signs of improvement of sensorimotor coordination and short-term memory		Moderate

Table 26.10 Corticosteroides.

Author Year Reference	Type of study	Setting	Disease diagnosis	Severity	No of individuals	Age- groups
Newcomer et al 1994 [96]	RCT, DB, PC	Com	N	–	19	Mean 38.3
Kirschbaum et al 1996 [99]	RCT, PC	Com	N	–	40	Mean 24.7
Newcomer et al 1999 [97]	RCT, DB, PC	Com	N	–	51	18–30
Schmidt et al 1999 [98]	RCT, DB, PC	Com	N	–	24	18–38
Young et al 1999 [101]	RCT, DB, PC, C–O	Com	N	–	20	21–44
de Quervain et al 2000 [95]	RCT, DB, PC, C–O	Com	N	–	36	20–40

Follow-up time	Intervention	Primary outcome measures	Results	Quality of study
11 d	Dexamethasone 0.5, 1, 1, 1 mg/ placebo, for 4 days	Verbal declarative memory performance, attention, vigilance and visuoperceptual function	Impairment of verbal declarative memory performance (paragraph recall test) in dexamethasone treated	High
30 min	Hydrocortisone 10 mg/placebo	Procedural, declarative memory and spatial thinking	Impairment of declarative memory and spatial thinking in cortisol treated	High
6 d	Cortisol 40, 160 mg/ placebo for 4 days	Paragraph recall, Stroop CW test, verbal fluency etc	Impairment of verbal declarative memory with higher cortisol dose	High
8 d	Prednisone 160 mg/placebo for 4 days	EEG, mood rating, attention task, memory recall	Greater increase in negative emotion, recalled fewer objects, greater frontal EEG alpha act	High
4 w	Hydrocortisone twice daily for 10 days/placebo	Cambridge Neuropsychological Test Automated Battery	Impairments of visuospatial memory and paired associate learning subtest	High
1 h	Cortisone 25 mg/placebo	Free recall test and recognition test	Impaired free recall but not recognition	High

The table continues on the next page

Table 26.10 *continued*

Author Year Reference	Type of study	Setting	Disease diagnosis	Severity	No of individuals	Age- groups
TBCDS 1972 [94]	Pro	Com	–	–	700	–

Com = Community dwelling; C–O = Crossover; Co = Cohort study; CRT = Choice reaction time; CS = Cross-sectional; DB = Double-blind; MMSE = Mini-mental state examination; N = Normal subjects; ns = Not stated; NSAID = Non-steroidal anti-inflammatory drug; PC = Placebo-controlled; PCA = patient-controlled analgesia; Pro = Prospective study; RCT = Randomised controlled trial; VAS = Visual analogue scale; WAIS = Wechsler adult intelligence scale

Follow-up time	Intervention	Primary outcome measures	Results	Quality of study
—	—		Significantly more psychiatric disturbances in prednisolone treated	Low

Table 26.11 *Anti-ulcer agents.*

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Severity	No of individuals	Age- groups
Oslin et al 1999 [107]	RCT, DB, PC, C-O	Com	N	–	12	Mean 71.2
Slugg et al 1992 [109]	Pro	Ho	Various medical and surgical	–	41 using ranitidine	Mean 62.4 68.4

CNS-ADR = Central nervous system-adverse drug reactions; C-O = Crossover;
Com = Community dwelling; DB = Double-blind; Ho = Hospital; ns = Not stated;
PC = Placebo-controlled; Pro = Prospective study; RCT = Randomised controlled trial

	Follow-up time	Intervention	Primary outcome measures	Results	Quality of study
	2.5 h	Cimetidine 400–1 600 mg in increasing doses/placebo	Cognitive test battery	No observable decrements	Moderate
	ns	—	CNS-ADR evaluated by Naranjo rating system	Confusion, disorientation etc more frequent in renal impairment and higher plasma levels	Low

Table 26.12 *Beta-receptor blocking agents.*

Author Year Reference	Type of study	Setting	Disease/ diagnosis	Severity	No of individuals	Age- groups
Perez-Stable et al 2000 [121]	RCT, DB, PC	Com	Hyper- tension	–	312	22–59
Muldoon et al 2002 [122]	RCT, DB, PC, C–O	Com	Hyperten- sion + nor- motensive	–	98 + 32	25–55

C–O = Crossover; Com = Community dwelling; DB = Double-blind; PC = Placebo-controlled; RCT = Randomised controlled trial

Follow-up time	Intervention	Primary outcome measures	Results	Quality of study
12 m	Propranolol 80–400 mg/d/placebo	Cognitive test battery with digital symbol substitution Californian verbal learning test etc	No significant difference in 11 out of 13 tests	High
8 w	Atenolol, metoprolol, hydrochlorothiazide, methyl-dopa, enalapril and verapamil	In-depth neuropsychological assessments	Reduction of simple motor speed slowed completion of two tests measuring perceptuo-motor speed and mental flexibility. Favorably affected performance on several tests requiring working memory	High

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27. Non-established Pharmacological Treatments for Dementia Disorders

Conclusions

Table 27.1 summarizes the current scientific evidence for the effects of various kinds of non-established treatments on cognitive function in dementia disorders. There is limited evidence for Ginkgo biloba and for propentophylline. Small effects have been observed for vitamin E and estrogen, but the evidence is insufficient. No RCTs have been reported for a number of treatments, including dehydroepiandrosterone, alpha lipoic acid, aspirin, statins and vaccine. Thus, there is no evidence either for or against an effect in the case of these drugs. On the other hand, two or more RCTs have been conducted that provide evidence of no effect on cognitive function when it comes to certain substances, including lecithin, vitamin B₁₂, folate, piracetam and NSAIDs.

Background

Apart from the established treatments for AD (Alzheimer's disease), several other drugs have been reported to have beneficial effects in dementia disorders, either by alleviating the symptoms of cognitive decline or modifying the pathological process. The evidence for some of the drugs is weak. For some, most results are from epidemiological studies. For others, RCTs have been conducted but the results are in some cases contradictory. This chapter reviews the most important non-established pharmacological treatments for AD and other dementia disorders. Because vaccine treatment of AD has received considerable attention, a description of those data is also provided.

Method

The data presented is based primary on systematic reviews from the Cochrane Institute. An updated Medline literature search of published studies and systematic reviews through July 2004 changed none of the conclusions.

Individual drugs

Lecithin

Lecithin is a major dietary source of choline, which is part of the acetylcholine molecule. Since AD patients are reported to have a deficiency of the enzyme that converts choline to acetylcholine in the brain, lecithin supplementation could conceivably slow the progression of the disease.

The Cochrane Collaboration conducted a systematic review to determine the efficacy of lecithin in treating dementia or cognitive impairment [1] (Table 27.1). The review included all unconfounded, randomised trials that compared lecithin with placebo for treating patients with Alzheimer-type dementia, VaD, mixed AD and VaD, unclassified or other dementia, as well as unclassified cognitive impairment.

Twelve randomised trials were identified, including patients with AD (n = 265), parkinsonian dementia (n = 21) and subjective memory problems (n = 90). None of the trials reported a clear clinical benefit of lecithin in treating AD. However, lecithin produced a dramatic improvement in a trial that included subjects with subjective memory problems.

The conclusion was that evidence from randomised trials does not support the use of lecithin in treating patients with dementia.

Vitamin B₁₂

Cobalamin (vitamin B₁₂) deficiency, which has been found to be present in 5–15% of the elderly population, is even more common in dementia conditions [2]. Vitamin B₁₂ deficiency as measured by either low levels

of vitamin B₁₂ [3] or increased levels of plasma Hcyb [4] has been discussed as a risk factor for developing dementia.

Because vitamin B₁₂ is a common treatment for dementia and cognitive symptoms, the literature was searched for evidence of its effectiveness [5]. The Cochrane Collaboration examined the effect of B₁₂ supplementation on the cognitive function of healthy elderly subjects and those with dementia in terms of preventing the onset or slowing the progression of cognitive impairment or dementia [6]. In September 2002, the Cochrane group searched for all available randomised double-blind trials in which vitamin B₁₂ of any dose was compared to placebo. Only two studies were found [6,7]. The meta-analysis of data from the two studies on patients with dementia and low serum vitamin B₁₂ levels showed no statistically significant evidence of effects on cognitive function when vitamin B₁₂ was compared with placebo.

Thus, despite several indications of the relation between vitamin B₁₂ deficiency and the development of cognitive symptoms or dementia, no prospective double-blind studies on people without dementia were found. Neither of the placebo-controlled studies on dementia and low serum B₁₂ levels offered evidence that cognitive function improved.

Folic acid

Homocysteine, vitamin B₁₂ (cobalamin) and folate are all involved in the one-carbon cycle. Normal functioning of the cycle is essential for proper development and maintenance of the CNS. Folate acts as a methyl-group donator in a reaction catalyzed by the enzyme methionine synthase to produce the methylcobalamin needed for the methylation of homocysteine to methionine. As a result, folate deficiency may – in addition to impairing one-carbon metabolism – cause high homocysteine levels in the blood and cells. That is a risk factor for atherosclerosis and cerebrovascular disease.

In light of the above considerations, a Cochrane report investigated the effects of folic acid supplementation – with or without vitamin B₁₂ – on healthy elderly and those with dementia for the purpose of preventing cognitive impairment or retarding its progression [8].

All double-blind placebo-controlled randomised trials were included. Four randomised trials were found [9,10].

The reviewer concluded that the available studies were limited in size and provided no evidence that folic acid – with or without vitamin B₁₂ – had any beneficial effect on cognitive function or mood in either healthy subjects or those with cognitive impairment or dementia.

Vitamin E

Vitamin E is a group of naturally occurring chemical derivatives of tocopherol and tocotrienol. Vitamin E occurs in oils, fats, nuts and other seeds. The biological function of vitamin E is that of an antioxidant, neutralizing the effects of peroxide and free radicals in the cell that would otherwise damage proteins, DNA and cell membranes. Since free radical damage is a potential mechanism in the degeneration of neurons in AD, etc, antioxidants like vitamin E might be expected to either prevent the disease or delay its progression. Therefore, a Cochrane report focused on vitamin E for the prevention and treatment of AD [11].

All double-blind, placebo-controlled randomised studies with any dose of vitamin E were included. Only one study met all of the inclusion criteria [12]. Vitamin E appeared to produce some benefit – fewer participants reached 1 out of 4 endpoints (death, institutionalization, loss of 2 out of 3 basic activities or severe dementia). However, more participants who took vitamin E suffered from falls.

The conclusion was that the evidence on vitamin E sufficed to justify further study but that evidence of its efficacy in the treatment of AD was inadequate.

Piracetam

Piracetam is believed to have properties that enhance memory and other intellectual functions. However, the mechanism of action is not well known. Piracetam has been suggested to act by increasing oxygen and glucose utilization. At higher doses, it is also believed to enhance

microcirculation and antithrombotic properties by affecting platelets and erythrocytes. The effects on AD and other types of dementia remain uncertain, although larger trials have shown some positive results.

A Cochrane review was carried out to determine the clinical efficacy of piracetam for the features of dementia or cognitive impairment classified according to the major subtypes of AD, mixed AD and VaD, unclassified dementia or cognitive impairment that failed to meet the criteria for dementia [13].

Apart from searching the Specialized Register of the Cochrane Dementia and Cognitive Improvement Group, several studies (many of which unpublished) were obtained from UCB Pharma, the pharmaceutical company responsible for marketing most piracetam worldwide. All unconfounded, randomised trials were included in which piracetam was administered for more than 1 day and compared with placebo, in patients with Alzheimer-type dementia, VaD, mixed AD and VaD, unclassified dementia or cognitive impairment that failed to meet the criteria for dementia. Whenever feasible, studies were pooled, and the pooled odds ratios or average differences were estimated. Intention-to-treat analyses were performed wherever possible.

A total of 68 studies were reviewed. Many were crossover studies, and first-phase data were unavailable or could not be extracted. Only 3 studies had a treatment duration of more than three months. Global Impression of Change was the only outcome with a significant amount of evidence from the pooled data. Using a fixed effects model, the odds ratio for improvement in the piracetam group compared with the placebo group was 3.55 (95% CI 2.45–5.16). Using a random effects model, the odds ratio was 3.47 (1.29–9.30). The evidence of effects on cognition and other measures was inconclusive.

The reviewers concluded that available evidence did not support the use of piracetam in treating people with either dementia or cognitive impairment. Although effects were found on global impression of change, no benefit was shown from any of the more specific measures.

Dehydroepiandrosterone

Dehydroepiandrosterone (DHEA) and its sulphate form (DHEA/S) are the most abundant hormones in both men and women. Each hormone is found in the brain and adrenal gland. The hormones have been shown to increase the effect of the excitatory transmitter glutamate and to decrease the effect of the inhibitory transmitter GABA. They also appear to have neuroprotective properties and stimulate the immune system. Both hormones possess strong antiglucocorticoid action, possibly explaining their neuroprotective effects. Some evidence from epidemiological studies suggests that DHEA may protect against heart disease and increase longevity. Dementia patients have been reported to have lower levels of DHEA.

Thus there is a theoretical basis, but very little evidence, for believing that DHEA may have beneficial effects. Nevertheless, health food stores in many countries sell DHEA as a possible enhancer of cognitive function in aging and dementia.

The Cochrane Collaboration undertook a review of well-executed studies in the pursuit of evidence that cognitive function improved or cognitive decline slowed in the healthy older adults or those with dementia [14]. Another aim was to provide a scientific basis for effective dosage, acceptable route and duration of administration, and side-effect profiles. All relevant RCTs concerning the effect of DHEA/S on cognition in older adults were searched among relevant electronic databases, journals, personal communications and conference abstracts.

Four studies were found. Three addressed cognition in healthy older people and one in perimenopausal women with decreased well-being, but none in people with dementia. Some significant findings were identified. One crossover trial reported significant improvement after 2 weeks of treatment with both DHEA and placebo, following DHEA compared with placebo in both immediate recall (MD 0.8, 95% CI 0.16–1.44) and delayed recall (MD 0.9; 0.09–1.71) for a visual memory test in women. However, there was no significant improvement in men, or a significant effect on a verbal memory test or four other cognitive tests. Another study showed that placebo group performance deteriorated significantly

on a test of selective attention following a psychosocial stressor ($p < 0.05$). But that was not observed in the DHEA group ($p = 0.85$) after 2 weeks of treatment. However, DHEA led to significant impairment on a visual memory test and no significant effect was detected on a third cognitive task. A third study found no significant effect of DHEA compared with placebo on 3 cognitive measures after 3 months. The findings to date suggest that DHEA has no significant side-effects.

The reviewers concluded that there was no support for improved memory or other aspects of cognitive function following DHEA treatment in healthy older people. But since it is possible that any neuroprotective effect of DHEA/S may be evident only in the long term, they identified a need for trials on DHEA treatment for longer than 1 year. Recently completed trials on DHEA supplementation in AD patients (United States), postmenopausal women (United States), healthy older men (United Kingdom), and healthy older adults (France – 1-year) will be reviewed and included as soon as the results are available.

Propentophylline

Propentophylline is a dementia drug with a unique mechanism of action. At the molecular level, it blocks the uptake of adenosine and inhibits the enzyme phosphodiesterase. In vitro and in vivo, it both inhibits the production of free radicals and reduces the activation of microglial cells. Thus, propentophylline interacts with inflammatory processes that are believed to contribute to dementia. As a result, it might be a disease-modifying agent.

A Cochrane review was conducted to determine the clinical efficacy and safety of propentophylline in people with dementia [15]. Trials were searched in the Specialized Register of the Cochrane Dementia and Cognitive Improvement Group. In addition, Aventis, the manufacturer, was asked for data from unpublished studies. All 9 unconfounded, double-blind RCTs of propentophylline compared with placebo or another treatment group, were included. Detailed reports were found for only 4 of them. The efficacy of propentophylline was reviewed for undifferentiated dementia, given that the data were insufficient to analyze the individual types.

Several significant treatment effects favoring propentophylline were reported: cognition at 3, 6, and 12 months, including MMSE at 12 months (MD 1.2, 95% CI 0.12 to 2.28, $p = 0.03$); severity of dementia at 3, 6, and 12 months, including CGI at 12 months (MD -0.21 , -0.39 ; -0.03 , $p = 0.03$), ADL (NAB) at 6 and 12 months (MD -1.20 , -2.22 ; -0.18 , $p = 0.02$), and Global Assessment (CGI) at 3 months (MD -0.48 , -0.75 to -0.21 , $p = 0.0006$) but not afterwards.

The reviewers concluded that there is limited evidence that propentophylline benefits cognition, global function, and ADL in people with AD and/or VaD. Considering that there is unpublished data on another 1 200 patients in randomised trials, the meta-analyses did not satisfactorily summarize the efficacy of propentophylline. Unfortunately, Aventis representatives were unwilling to correspond with the authors. According to the authors, that significantly limited the scope of the review.

Estrogen

Estrogen has several effects on the CNS – including modulation of neurotransmitter systems, regulation of synaptogenesis, neuroprotection, increased cerebral blood flow, anti-inflammatory actions and antioxidant properties – that may have implications for neurodegenerative disorders.

A reasonable assumption is that maintaining estrogen levels in postmenopausal women by means of estrogen replacement therapy (ERT) could protect against cognitive decline and the development of AD or other types of dementia.

The Cochrane Collaboration conducted a review aimed at investigating the effects of ERT (estrogens only) or hormone replacement therapy (HRT – estrogens combined with a progestagen) compared with placebo in RCTs on the cognitive function of postmenopausal women with dementia [16]. The CDCIG Specialized Register, Medline, EMBASE, and PsycInfo were searched for all double-blind RCTs concerning the effect of ERT or HRT on cognitive function that included treatment of at least 2 weeks in postmenopausal women with AD or other types of dementia.

At total of 5 trials that included 210 women were analyzed. Meta-analyses showed a small positive effect from a low dosage of conjugated equine estrogens (CEE, 0.625 mg once daily) but not from higher doses (1.25 mg once daily) on the MMSE after 2 months (WMD = 1.28, 95% CI 0.26–2.30, $z = 2.45$, $p < 0.01$). However, the effect disappeared after 3, 6, and 12 months of treatment. The effect was small (1 point on the MMSE compared to placebo). In addition, there were short-term effects of 1.25 mg from CEE on tests of concentration and executive function. When it came to memory tests, only cued delayed recall of a word list was positively affected by 2 months of transdermal diestradiol (E2) (WMD = 6.50, 95% CI 4.04–8.96, $z = 5.19$, $p < 0.0001$). No effects were observed on language functions, most speeded tests, clinical rating scales or depression. Controls scored better on a dementia rating scale (CDR, overall WMD = 0.35, 95% CI 0.01–0.69, $z = 1.99$, $p < 0.05$). According to the authors, the positive findings might have been random effects caused by multiple analyses. After correction for multiple testing, only the short-term positive treatment effect of E2 on memory remained.

The reviewers concluded that neither HRT nor ERT is indicated for cognitive improvement or maintenance in women with AD. Other types of dementia remain to be investigated. It is also possible that different types or preparations of ERT and HRT, as well as other durations of treatment, would have different effects. Another question is whether ERT or HRT can delay the time to onset of dementia. Studies addressing this question are under way in the United Kingdom, United States and Canada.

Non-steroidal anti-inflammatory drugs

Several lines of evidence suggest that inflammatory processes play a role in the pathogenesis of AD. One of the immune responses in the brain is the activation of the prostaglandin pathways. Non-steroidal anti-inflammatory drugs (NSAIDs) act by inhibiting the cyclooxygenase (COX) involved in prostaglandin synthesis. Thus, they could conceivably have protective effects against the development of AD, and several epidemiological studies suggest that this may be the case. However, the results of many clinical trials have been discouraging.

In light of these conflicting results, two Cochrane reviews were carried out to examine the efficacy of the NSAIDs indomethacin and ibuprofen in treating patients with AD [17,18]. Searches were conducted in the Specialized Register of the Cochrane Dementia and Cognitive Improvement Group. Additional searches involved other relevant computerized databases, websites, manual techniques and additional references from selected papers. Furthermore, an effort was made to find data from ongoing trials. Single-center or multicenter, placebo-controlled, randomised trials examining the efficacy of indomethacin or ibuprofen in patients diagnosed with AD were included.

Only one study was selected for indomethacin. No significant difference was found between indomethacin treatment and placebo for the individual cognitive tests of MMSE, Alzheimer's Disease Assessment Scale (ADAS), Boston Naming Test (BNT) or Token Test (TK). The dropout rate was higher and the gastrointestinal side-effects more prevalent in the indomethacin group. There was no statistically significant difference in mortality. No completed randomised, double-blind, placebo-controlled trial on ibuprofen met the inclusion criteria for review.

The reviewers concluded that there was no evidence to recommend indomethacin for the treatment of mild to moderate AD. At doses of 100 to 150 mg daily, serious side-effects limit its use. There are no data yet available from RCTs on ibuprofen. Studies on other NSAIDs are ongoing. However, the use of NSAIDs carries a significant risk of potentially serious side-effects such as gastrointestinal bleeding that must be weighed against any beneficial effect in the treatment of AD.

After the Cochrane review, one RCT has been published on the effect of rofecoxib for slowing the progression of dementia in 692 patients with established AD. Four hundred eighty-one patients (70%) completed assessments and remained on treatment at 12 months. No significant differences between treatments were found in terms of mean change from the baseline error score for the ADAS-cog or the mean score on the CIBIC+ [19].

Ginkgo biloba

Ginkgo biloba contains extracts of the leaves of the maidenhair tree. It has long been used in China for various health disorders. Ginkgo biloba is also widely prescribed in Germany and France for several conditions, including memory and concentration problems, confusion, depression, anxiety, dizziness, tinnitus and headache. The extract is believed to act by dilating blood vessels, thereby increasing blood supply, reducing blood viscosity, modifying neurotransmitter systems and reducing oxygen-free radicals.

A Cochrane review investigated the efficacy and safety of Ginkgo biloba in treating dementia or cognitive decline [20]. A search was conducted of the CDCIG Specialized Register which contains records from all main medical databases (Medline, EMBASE, Cinahl, PsycInfo, Sigle, Lilacs), databases of ongoing trials, such as Clinicaltrials.gov and Current Controlled Trials, and several other sources. All relevant, unfounded, randomised, double-blind controlled studies were included in which extracts of Ginkgo biloba (at any strength and over any period of time) were compared with placebo for the effects on people with acquired cognitive impairment, including dementia (at any degree of severity). Meta-analyses were based on reported summary statistics for each study. Both intention-to-treat analyses (ITT) and analyses of completers were performed. Because there were few attempts at ITT analyses, only completers were analyzed.

A total 33 studies with a treatment duration of 3–52 weeks were reviewed. Benefits were associated with Ginkgo (<200 mg daily) compared with placebo at less than 12 weeks and with Ginkgo (>200 mg daily) at 24 weeks. Cognition benefited from Ginkgo (at both less than and more than 200 mg daily) compared with placebo at 12 weeks and at 24 weeks, as well as for Ginkgo less than 200 mg daily at 52 weeks. ADL benefited from Ginkgo (<200 mg daily) compared with placebo at 12, 24, and 52 weeks. Measures of mood and emotional function benefited from Ginkgo (<200 mg daily) compared with placebo at less than 12 weeks and at 12 weeks. Overall, there were no significant differences between Ginkgo and placebo with respect to the percentage of participants experiencing adverse events.

The reviewers concluded that Ginkgo biloba does not appear to have more side-effects than placebo. Generally speaking, there is promising evidence of improvement in cognition and function associated with Ginkgo biloba. However, the three more recent trials showed inconsistent results. There is a need for a large trial that uses the latest methodology and permits ITT analysis.

After the Cochrane review, a 24-week, multicenter, double-blind, placebo-controlled, randomised trial has been published on the effects of the special Ginkgo biloba extract EGb 761 (240 mg daily) in outpatients with Alzheimer-type dementia and multi-infarct dementia of mild to moderate severity. An ITT analysis showed a significant decrease in Syndrom-Kurztest (SKT) and estimated ADAS-cog scores, while there was only a minimal change in the placebo group, indicating that EGb 761 improved cognitive function in dementia patients [21].

We performed a meta-analysis of the latter study [21] together with a previous RCT [22] using ADAS-Cog as evaluation instrument. Both studies made an ITT analysis of the effects of treatment with Egb 761 (240 mg/day for 24 weeks and 120 mg/day for 26 weeks respectively) on cognitive function in dementia. This meta-analysis showed a mean improvement compared to placebo of 1.3 points (-1.3 (95% CI -2.0 ; -0.6)).

In conclusion, the Cochrane review and our meta-analysis of two larger RCTs would provide at least a moderately strong support for an effect of Ginkgo Biloba on cognition in dementia. However, overall, the studies on Ginkgo have used a range of different instruments to measure the outcome, and very few of them were ADAS-Cog. There are also numerous other variations, in for example diagnostic criteria and treatment doses. In addition, some of the more recent studies show conflicting results. Altogether this decreases the level of evidence.

Alpha lipoic acid and dementia

Alpha lipoic acid (ALA) is a potent antioxidant that is intimately connected to cell metabolism and the redox state. It is essential to oxidative metabolism and serves as a co-factor in mitochondrial dehydrogenase

reactions. ALA can also chelate metals that may be involved in oxidative reactions [23,24]. Because oxidative stress and free radicals can be part of the disease mechanism of degenerative disorders, ALA may also be of value in the treatment or prevention of dementia [25].

Thus, a Cochrane report was published on ALA and dementia [26]. All double-blind randomised placebo-controlled trials examining ALA in dementia were reviewed. However, no trials that met the inclusion criteria were identified. The reviewers concluded that in the absence of evidence of the potential effects of ALA in dementia, it cannot be recommended as treatment.

Aspirin

A key mechanism in the pathogenesis of dementia is ischemia of the brain tissue. Ischemia has been described in AD, as well as mixed AD and VaD, and is the major pathogenic mechanism in VaD. When it comes to clinical practice, separating different pathogenic mechanisms in individual cases is often very difficult given that several may contribute to the condition [27]. Aspirin reduces the risk of ischemic stroke [28]. Because different ischemic mechanisms may contribute to dementia, aspirin has been widely prescribed for patients with a diagnosis of VaD, as well as for those with dementia and vascular risk factors.

However, two overriding questions remain to be answered in terms of treating dementia with aspirin. First, is there sufficient evidence supporting the clinical efficacy of aspirin for preventing the further progress of VaD or improving cognitive function? Second, are the risks of aspirin treatment, such as cerebral and gastrointestinal hemorrhage, acceptable in view of the possible treatment effects?

In order to address these questions, a Cochrane report assessed the evidence of the efficacy of aspirin for treating VaD [29]. The report was most recently amended in November 2003.

All RCTs investigating the efficacy of aspirin for treating VaD were searched. However, no trials eligible for inclusion were found. Thus, there

appears to be no evidence that aspirin is effective in treating patients with VaD. Further research must be conducted to generate evidence for the current medical practice of using aspirin to treat VaD.

Statins

Statins have been investigated for their preventive effect on dementia. Statins have also been investigated for their clinical effect in the treatment of patients with AD and normal serum lipids. Apart from some studies on biochemical measurements, no data have been published on the clinical efficacy of statins (simvastatin, pravastatin, atorvastatin or fluvastatin) in the treatment of AD.

Thus, there does not appear to be any evidence for statins in treating patients with AD and normal serum lipids.

Vaccine treatment

No placebo-controlled data have been published on vaccine treatment of AD. But since the treatment attempts that have been made suggest a new approach, a brief overview of the literature is provided.

Because formation of fibrillar beta-amyloid (A β) and neuritic plaques is believed to play a key role in the pathogenesis of AD, one therapeutic approach would be to develop antibodies against the A β protein. It was shown that active immunization against the A β protein could decrease A β deposition in the brains of a mouse model [30]. That, as well as other findings, preceded the development of a synthetic A β 1–42 (AN–1792) protein for use in human vaccination trials. A phase 1 study showed that some of the 70 patients produced measurable antibodies against the vaccine [31]. A subsequent phase 2 study that immunized 300 out of 375 patients with 225 β gAN–1792 at baseline and different time points thereafter found that a subgroup (19 patients, 6%) of the immunized patients developed a meningoencephalitis. The study was discontinued after only a few months [32]. A postmortem follow-up of one of the patients

indicated clearance of A β plaques, as well as a T-lymphocyte meningo-encephalitis that had been identified as a side-effect in some of the other patients [33]. A major obstacle to vaccination with A β protein analogues is that the immune response is directed against a naturally occurring target (like A β protein) that is present in healthy tissue. That could trigger a more unwanted, generalized immune response [34].

Table 27.1 *Scientific evidence for the effect of different types of non-established treatments on cognitive function in dementia disorders.*

Author Year, reference	Compound	Population
Higgins et al 2003 [1]	Lecithin	Alzheimer/Parkinsonian dementia
Malouf et al 2003 [6]	Vitamin B ₁₂	Dementia/Alzheimer
Malouf et al 2004 [8]	Folic acid	Dementia
Tabet et al 2002 [17]	Vitamin E	Alzheimer
Flicker et al 2003 [13]	Piracetam	Dementia
Huppert et al 2003 [14]	Dehydroepiandrosterone	Dementia
Frampton et al 2003 [15]	Propentophylline	Dementia
Hogervorst et al 2003 [16]	Estrogen	Alzheimer
Tabet et al 2003 [18]	NSAIDs	Alzheimer

No of RCTs	Conclusion	Scientific evidence
12	No study reported clear benefit in AD. Dramatic result in subjective memory problems	Insufficient
2	Insufficient evidence for benefits of Vitamin B ₁₂ supplementation on cognitive function	Insufficient
3	Folic acid with or without vitamin B ₁₂ gave no benefits on cognitive function, but was effective in reducing serum homocysteine.	Insufficient
1	Insufficient evidence of efficacy	Insufficient
3 (>3 months)	Effects on global impression of change but not on more specific measures	Insufficient
0	No study in dementia. No evidence for effect in normal old people	No
4	Limited evidence of effects on cognition, global function and ADL	Limited
5	Small positive effect of short-term treatment with E2 on memory	Insufficient
2	No evidence for effect on cognitive function	Insufficient

The table continues on the next page

Table 27.1 *continued*

Author Year, reference	Compound	Population
Reines et al 2004 [19]	Ginkgo biloba	Dementia
Sauer et al 2004 [26]	Alpha lipoic acid	Dementia
Rands 2004 [29]	Aspirin	Vascular dementia
Ongoing studies	Statins	Alzheimer
Ongoing studier	Vaccine	Alzheimer

AD = Alzheimer's disease; ADL = Activities of daily living; NSAIDs = non-steroidal anti-inflammatory drug; RCT = Randomised controlled trial

No of RCTs	Conclusion	Scientific evidence
34	Promising evidence of improvement of cognition and function. However variations in study design, and some conflicting results	Limited
0	No data available	No
0	No data available	No
0	No data available	No
0	No data available	No

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28. Dementia and Quality of Life

Conclusions

There is moderately strong scientific evidence that treatment with rofecoxib or naproxen has no significant effect on quality of life (QoL) for a period of 12 months in patients with mild to moderate AD (Evidence Grade 2).

There is no scientific evidence that donepezil has any significant effect on quality of life (QoL) in patients with AD. No QoL studies QoL have been published on the other CHEIs or memantine.

There is no scientific evidence for any other QoL interventions.

Questions of interest

- What are the effects of pharmacological treatment in terms of quality of life (QoL)?
- What impact do various intervention programs at a community and individual level have on QoL?

Background

QOL is regarded as one of the most important and clinically relevant outcomes when analyzing interventions in dementia [1].

Among the variables that the QoL dimension encompasses are physical, psychological and cognitive health, as well as functional status and social well-being [2]. Evaluating QoL in dementia poses difficulties of its own. Assessments of QoL are normally self-rated, but that is often impossible

when it comes to dementia. Thus, results – such as the subjective well-being of the patient as compared to the views of proxies – may be unreliable [3]. The use of proxies often produces different ratings than what the patient may be able to offer [3,4]. The patient's behavior may serve as an alternative or complement [5].

Two types of approaches can be used to assess QoL. Generic scales are applied to all kinds of patients, independently of their disease. Such instruments are exemplified by the sickness impact profile [6], the QLA scale [7], the Short Form 36 (SF-36) [8], the Health Utilities Index (HUI) [9,10], the EuroQoL/EQ-5D [11] and the Index of well-being quality of wellbeing scale (QWBS) [12]. HUI, EQ-5D and QWBS can be used to calculate Quality Adjusted Life Years (QALYs) [13]. The caregiver quality of life index (CQLI) [14] calculates QALYs (effects on caregivers are the focus of an upcoming SBU report).

Scales are customized to analyze the specific disease of interest, dementia in this case. Several dementia-specific scales, generally with proxies, have been used for the assessment of QoL [15,16]. Among them are the Dementia Quality of Life Instrument (DQoL) [17], the Quality of Life – AD (QoL-AD) [18,19], the Progressive Deterioration scale (PDS) [20] (which is not included here because it is an ADL rather than a QoL instrument) and Dementia Care Mapping [21]. The Dementia Quality of Life Instrument (DQoL) [17,72] has emerged recently to assess QoL directly from the patient.

Search strategy

The search focused on controlled clinical trials that employed the concept of QoL. PubMed, Ingenta, Cochrane Library, NHSEED/THA, HEED, PsycInfo, ERIC, Societal services abstracts and Sociological abstracts were search for studies published in English. The search period was from 1960 (with slight variations depending on the database) to July 31, 2004.

Papers with other concepts such as well-being were not included in the final analysis. Cost-effectiveness/cost utility studies that contained empirical data and identified QALYs/quality of life measurements were

reviewed. The scales mentioned above were also used as search terms in PubMed. The other search terms/MeSH terms/subheadings were dementia/Alzheimer's disease/Alzheimer disease/Alzheimer and controlled clinical trial.

Studies in the database of this dementia project containing assessments of QoL were also reviewed. Each abstract was reviewed by two people. A total of 2 614 hits were identified in the first round among various databases and 341 in a second, narrower round (duplicates not excluded). For the search terms and results, see Appendix 28.1.

Of the 32 studies that were assessed for quality, 10 were ultimately included (6 regarding programs and 4 regarding drug interventions). Table 28.3 lists the papers that were excluded.

Results

What are the effects of pharmacological treatment in terms of QoL?

Four studies, three on donepezil and one on coxiber, used the same QoL instrument (Table 28.1) [7]. The 3 donepezil studies were considered to be of low quality, given that the appropriateness of the QoL instrument for AD was questionable. Variability was high. Although there were some indications of improved QoL, the results were inconclusive. The study on coxiber was well conducted and showed no significant difference between the groups [22]. While employed by some studies, the PDS scale is not regarded as a QoL instrument (see above) [20]. Thus, such studies were not included.

What are the effects of intervention programs on QoL at the individual and community level?

Six studies were identified – five that was low quality and concerned the present research question, and one that was of medium quality. Four studies were randomised. Generally speaking, the study groups were rather small and thus of low power (Table 28.2). The study by Spector et al was well designed, but the duration and evaluation period were short (7 weeks) [23].

Evidence grade

Pharmacological treatment

There is moderately strong scientific evidence that treatment with rofecoxib or naproxen has no significant effect on QoL for a period of 12 months in patients with mild to moderate AD (Evidence Grade 2).

There is no evidence, mainly due to the lack of sufficient studies, that donepezil has any significant effect on QoL in patients with AD. No studies have been published on QoL with either the other CHEIs or memantine.

Programs

The evidence is insufficient when it comes to the effects of cognitive stimulation on QoL.

The evidence is insufficient with respect to the effect of other programs (not enough studies) on QoL.

Discussion

Scientific evidence offers no support that pharmacological treatments or intervention programs improve QoL in dementia, probably due to methodological issues and the lack of publications in the field. Almost everyone engaged in dementia research stresses the importance of QoL, while not neglecting methodological issues [1,15,16,24–32]. Nevertheless, the number of controlled clinical studies in which QoL was examined is quite limited. However, QoL evaluations are more common in connection with various models.

The focus of pharmacological trials is on efficacy outcomes that are important for approval, and perhaps on reimbursement issues (including cognition and global judgments such as CIBIC). Because QoL is not included in these formal requirements, the number of studies with QoL assessments is limited.

Most drug trials are well-designed and based on established principles with regard to efficacy, safety and ethics. The internal validity of such studies is generally high. Discussions about drug trials focus mainly on external validity (generalizability and inclusion/exclusion criteria) and the presentation of results (such as principles for ITT analysis).

Due primarily to methodological issues, programs in the broad sense of the word face many more problems than pharmacologic interventions. For practical reasons, it may be difficult to include a sufficient number of patients (causing low power), the studied intervention may be contaminated by other interventions, drop-ins and drop-outs may be frequent, the intervention may be difficult to operationalize, and it may be hard to demonstrate the extent to which the program has been completed. Blindness is problematic (single-blinded at best), and randomization is not always possible. Thus, even though a program has certain advantages, it may be difficult to satisfy the evidentiary criteria.

Recommendations for future research

There is a need for methodological development (both generic and diagnosis-specific instruments) regarding the assessment of QoL in dementia.

There is a need for studies with combined approaches (such as drugs and programs) and for comparative studies (different CHEIs and CHEIs with other drugs).

The database on programs in general is limited and RCTs are badly needed.

Table 28.1 Studies focusing on effects of pharmacological interventions on quality of life.

Author Year Reference Country	Type of study	Setting	Dementia/ Diagnosis	Severity of dementia	Patients (n) included (attrition). Active treat- ment first (treatment(s), placebo)	Age-groups Mean/Range (SD) (treat- ment(s), placebo)
Rogers et al 1998 [33] USA	RCT	Com- munity?	Alzheimer's disease (NINCDS, DSM-III-R)	Mild- moderate	162+154 +157 (20%, 15%, 32%)	72.6 (56–88), 72.9 (51–86), 74.6 (53–94)
Rogers et al 1998 [34] USA	RCT	Com- munity?	Alzheimer's disease (NINCDS, DSM-III-R)	Mild- moderate	157+158+153 (11%, 24%, 12%)	73.8 (50–94), 73.4 (50–92), 74.0 (50–92)
Burns et al 1999 [35] United Kingdom	RCT	Com- munity	Alzheimer's disease (NINCDS, DSM-III-R)	Mild- moderate	271+273+274 (22%, 26%, 20%)	71 (50–90), 72 (51–91), 72 (53–93)
Aisen et al 2003 [22] USA	RCT	Com- munity	Alzheimer's disease (NINCDS, DSM-III-R)	Mild- moderate	118+122+111 (24%, 27%, 21%)	73.7 (7.2), 74.1 (7.8), 73.8 (8.0)

* From the perspective of this analysis, these outcomes may be secondary in original trials.

** Chi2 test own calculations.

*** Based on study population in original publication [36].

Study quality: 1 = Limited study quality; 2 = Moderately high study quality; 3 = High study quality.

DSM = Diagnostic and statistical manual; NINCDS = National institute of neurological and communicable diseases; ns = Not stated; QoL = Quality of Life; QoLAD = Quality of life Alzheimer's disease; RCT = Randomised controlled trial; SD = Standard deviation

Study period	Drug	Primary outcome*	Effects (end)	Remarks from reviewer	Quality of study
6 months	Donepezil, 5 mg, 10 mg, placebo	Patient rated QoL (well-being scale; not specified, but with reference [7])	NS (but trend for donepezil 5 mg at week 24: $p = 0.05$)	Rather high attrition in 10 mg group	1
15 weeks	Donepezil, 5 mg, 10 mg, placebo	Patient rated QoL (well-being scale; not specified, but with reference [7])	At week 12, QoL better for placebo and donepezil 5 mg vs donepezil 10 mg ($p < 0.05$)	Short duration. QoL effects due to side-effects of 10 mg?	1
6 months	Donepezil	Patient rated QoL (well-being scale; not specified, but with reference [7])	NS	Placebo, 5, 10 mg donepezil. Rather high attrition in donepezil 10 mg. Great variability	1
12 months	Naproxen, rofecoxib, placebo	QoLAD	NS	Well conducted study	3

Table 28.2 *Studies focusing on effects of “programs” on quality of life.*

Author Year, reference Country	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included (attrition)	Age-groups (patients) Mean (range or SD)
Wimo et al 1995 [37] Sweden	Quasi- experi- mental	Institution (group living)	Clinical (geriatrician)	Mild- moderate- severe	46+62 (0%, 0%)	79 (7), 79 (6)
Wimo et al 1994 [39] Sweden	Quasi- experi- mental	Com- munity	Clinical (geriatrician)	Moderate	55+45 (0%)	78 (76–80), 79 (77–81)
Davis et al 2001 [41] USA	RCT	Com- munity (?)	Alzheimer's disease (NINCDS)	Mild- moderate	19+18 (0%)	68.7 (3.9), 72.6 (7.6)
Teri et al 2003 [42] USA	RCT	Com- munity	Alzheimer's disease (NINCDS)	Moderate- severe	76+77 (41%, 43%)	78 (6), 78 (8)
Spector et al 2003 [23] United Kingdom	RCT	Com- munity	DSM-IV	Mild- moderate	115+86 (16%, 19%)	85.7 (6.2), 84.7 (7.9)
Politis et al 2004 [43] USA	RCT	Institution	DSM-IV	Moderate (GDS 3–5)	18+18 (1/37)	84.4 (4.5), 83.5 (4.9)

* From the perspective of this analysis, these outcomes may be secondary in original trials.
Study quality: 1 = Limited study quality; 2 = Moderately high study quality; 3 = High study quality.

Study period	Intervention (end)	Primary outcome*	Effects (end)	Remarks from reviewer	Quality of study
1 year	Group living	QALYs (Index of well-being [12], mapped from GDS [38])	GL less deterioration in QALYs than controls, but no significant calculation given	Empirical part of model. Non-randomised	1
1 year	Day care	QALYs (index of well-being [12] and Rosser index [40], mapped from different scales	NS	Non-randomised, low power	1
5 weeks	Cognitive stimulation	QLA-P [7]	NS	Small sample-low power, short duration, difference in age between groups	1
2 years	BPSD + training	SF-36 (part)	SF 36 better in program group at 3 months (ITT; $p < 0.001$). After 2 years (completers) SF 36 still better in program group ($p < 0.01$)	Rather low power. Proxy rated SF-36 (by caregivers). 2 years results doubtful due to attrition (eg institutionalized were excluded)	1
7 weeks	Cognitive stimulation	QoL-AD	Treatment group better ($p = 0.028$) than controls	Short duration	2
4 weeks	Activity therapy	ADQRL	NS	Low power, short duration	1

AD = Alzheimer's disease; DSM = Diagnostic and statistical manual; GDS = Global deterioration scale; GL = Group living; ITT = Intention to treat; NINCDS = National institute of neurological and communicable diseases; ns = Not stated; QoL = Quality of life; RCT = Randomised controlled trial; SF = Short form

Table 28.3 *Excluded papers**.

Author, year, reference	Exclusion reason 1	Exclusion reason 2	Exclusion reason 3
Ballard et al, 2002 [44]	3		
Ballard et al, 2004 [45]	3		
Bottini et al, 1992 [46]	4		
Brodaty et al, 2004 [47]	4	1	
Challis et al, 2002 [48]	3		
Fontaine et al, 2003 [49]	4	1	
Knapp et al, 1994 [50]	3		
Lawton et al, 1989 [51]	3		
Reimer et al, 2004 [52]	3		
Sano et al, 1992 [53]	1		
Thorgrimsen et al, 2002 [54]	4	1	
Wesnes et al, 1987 [55]	2	3	

* Furthermore, 4 papers were excluded due to exclusion class 0 (not relevant for the question of interest) and 6 due to exclusion class 9 (no original data presented), a total of 10 papers excluded due to these reasons.
See Appendix 2 The Exclusion list.

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Appendices

Appendix 28.1 Search strategy.

Database	Search term 1	Search term 2
PubMed	Dementia (MeSH)	Quality of life (MeSH)
	Dementia (MeSH)	Controlled clinical trial (MeSH)
	Dementia (MeSH)	Controlled clinical trial (MeSH)
	Dementia	Quality of life
	Dementia (MeSH)	Quality of life (MeSH)
	Dementia (MeSH)	Quality-adjusted life years (MeSH)
	Dementia (MeSH)	Short form/SF-36/SF-20/SF-12
	Dementia (MeSH)	Health utilities index/HUI
	Dementia (MeSH)	EuroQol/EQ-5D
	Dementia (MeSH)	QWBS/Index of wellbeing/ quality of well-being scale
	Dementia (MeSH)	CQLI/Caregiver quality of life index
	Dementia (MeSH)	DQOL/Dementia Quality of Life Instrument
	Dementia (MeSH)	PDS/ Progressive Deterioration scale
	Dementia (MeSH)	QOL-AD/The Quality of Life AD
	Alzheimer Disease (MeSH)	Quality of life (MeSH)

Search term 3	Results
	382 (only titles reviewed)
	525 (only titles reviewed)
Treatment	491 (only titles reviewed)
Controlled trial	13
Controlled clinical trial (MeSH)	3
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
	249 (only titles reviewed)

The table continues on the next page

Appendix 28.1 continued

Database	Search term 1	Search term 2
	Alzheimer Disease (MeSH)	Controlled clinical trial (MeSH)
	Alzheimer Disease	Quality of life
	Alzheimer Disease (MeSH)	Quality of life
	Alzheimer Disease (MeSH)	Quality-adjusted life years (MeSH)
	Alzheimer Disease (MeSH)	Short form/SF-36/SF-20/SF-12
	Alzheimer Disease (MeSH)	Health utilities index/HUI
	Alzheimer Disease (MeSH)	EuroQol/EQ-5D
	Alzheimer Disease (MeSH)	QWBS/Index of wellbeing/ quality of well-being scale
	Alzheimer Disease (MeSH)	CQLI/Caregiver quality of life index
	Alzheimer Disease (MeSH)	DQOL/Dementia Quality of Life Instrument
	Alzheimer Disease (MeSH)	PDS/Progressive Deterioration scale
	Alzheimer Disease (MeSH)	QOL-AD/The Quality of Life – AD
PsycInfo	Dementia	Quality of life
	Dementia	Quality of life
	Alzheimer('s) (Disease)	Quality of life
	Alzheimer('s) (Disease)	Quality of life

Search term 3	Results
	315 (only titles reviewed)
Controlled trial	4
Controlled clinical trial (MeSH)	2
Controlled clinical trial (MeSH)	
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	0
Controlled clinical trial (MeSH)	3
	311 (titles reviewed, abstracts selected)
Controlled trial	6
	31
Controlled trial	3

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Appendix 28.1 *continued*

Database	Search term 1	Search term 2
CRD: NHSEED/ HTA	Dementia	Quality of life
	Alzheimer('s) (Disease)	Quality of life
	Alzheimer('s) (Disease)	Quality of life
Ingenta	Dementia	Quality of life
	Alzheimer('s) (Disease)	Quality of life
Cochrane	Dementia	Quality of life
	Alzheimer('s) (Disease)	Quality of life

Appendix 28.2 The exclusion list

- o. Outside the research question, so quality is not assessed. The apparent irrelevance is a result of insufficient coding in databases or an insufficient search strategy
- 1. Insufficient number of subjects/low power
- 2. Inadequate description/selection of subjects, abstracts
- 3. Inadequate methods/instruments to measure outcomes/effects/consequences

Search term 3	Results
	10
	12
	42
	125
	39
	2
	1

4. Inadequate design
5. Inadequate data collection/high attrition/drop-out/drop-in rate
6. Inadequate statistical methods/calculations
7. Inadequate ethics
8. Serious conflict of interest
9. No original data (such as reviews)
10. Miscellaneous.

29. Dementia and Mortality

Conclusions

There is moderately strong scientific evidence that interventions with acetylcholine esterase inhibitors, coxiber and memantine, as well as caregiver support programs, have no effect on mortality for a period of 6–12 months (Evidence Grade 2).

There is strong evidence that treatment with antipsychotics (as a group) carries a small risk of increased death (Evidence Grade 1).

Questions of interest

- Do pharmacological interventions have any effect on mortality in dementia?
- Do environmental interventions (programs) have any effect on mortality in dementia?

Background

Whether intervention effects on mortality (prolonging life) is a relevant clinical outcome in dementia care is a matter for discussion [1]. The outcomes discussed in other sections of this report – such as QoL, severity and institutionalization – may be regarded as more meaningful. Nevertheless, while mortality may be a traditional aspect of safety, prolonged survival also has implications for resource utilization, costs and outcome. As a definite end-point in any intervention, mortality influences long-term resource utilization and costs but is seldom presented as such in studies with short duration/follow-up. This section includes

only studies that contain empirical data on mortality and that lasted for 6 months or more. Models were not considered. The focus is on patient mortality. Because many studies did not regard mortality as the primary outcome, the statistical significance of the effect on mortality was often estimated on the basis of the reviewers' Chi-2 calculations. This review did not include studies on mild cognitive impairment (MCI).

Search results

PubMed, Ingenta, Cochrane Library, NHSEED/THA, HEED, PsycInfo, ERIC, Societal services abstracts and Sociological abstracts were searched for studies published in English. The search period was 1960 (or the years immediately before and after, depending on database) through July 31, 2004. For the search terms and results, see Appendix 29.1. A total of 286 hits were identified (excluding the first broad search). Mortality was seldom the main focus of the studies. Thus, mortality figures were extracted from the database of intervention studies (drug and interventions programs). Altogether, 39 studies (21 with drug treatment and 18 with programs) of acceptable quality and duration of 6 or more months were included. Due to the somewhat unsystematic search approach, it is not meaningful to list the excluded papers. However, since there have been reports of mortality when people with dementia were given atypical antipsychotic drugs, a recently published systematic review was included [2]. Furthermore, new searches in PubMed were undertaken with a focus on atypical antipsychotic drugs and antidepressive agents, second-generation (mainly SSRIs), with the following search terms:

“Dementia” [MeSH] AND “Antipsychotic Agents” [MeSH] AND “Mortality” [MeSH] (nine hits, none of interest).

“Dementia” [MeSH] AND “Mortality” [MeSH] AND “Antidepressive Agents, Second-Generation” [MeSH] (no hits).

Results

Pharmacological treatment

Effects on mortality were identified in 21 pharmacological studies with a duration of 6 months or more (Table 29.1). Seventeen of them were RCTs with a duration of 6–24 months. AD 2000 was a three-year study [3]. One study lasted for 2 years [4] and the others for 6–12 months. Few deaths occurred during a well conducted study on rofecoxib and naproxen vs placebo [5]. Different methods were used to postpone the observation period, and to create comparison groups, in the observational studies. Two of them were extensions of RCTs [6,7], while the other two were non-RCTs from the beginning [8,9]. All 4 observational studies may be characterized as low-quality. The RCTs showed any effects on survival. Fewer than 100 out of almost 7 000 patients died during the RCTs on cholinesterase inhibitors (some trials were published in more than one paper, making the calculations somewhat difficult), yielding a mortality rate of less than 1.5%. One observational study on nursing home residents showed that those treated with tacrine survived longer [8]. Two studies found indications of prolonged survival in certain analyzed CHEI treatment options [7,9]. The fourth study showed prolonged survival from tacrine treatment that was almost significant ($p = 0.06$) [6]. Two 6-month studies with memantine detected no effects on mortality.

The systematic review of atypical antipsychotic drug treatment (which focused on drugs marketed in the United States) included 15 trials (9 unpublished). The meta-analysis showed a small increased risk for death in those treated with the drugs as a group (odds ratio 1.54, 95% confidence interval 1.06–2.23) [2]. However, the results were not significant for individual drugs.

Programs

Eighteen studies on programs identified effects on mortality (Table 29.2). The observation periods were 6 to 39 months. Nine of these studies were RCTs and eight were quasi-experimental. Four studies were regarded as moderate quality and the others as low quality. Many of the studies had

rather small populations, leading to power problems. Only one study (of low quality) observed effects on mortality [10].

Evidence grades for effects on mortality

Pharmacological treatment: There is moderately strong scientific evidence (Evidence Grade 2) that pharmacological interventions with acetylcholine esterase inhibitors have no effect on mortality within the time span studied (6–12 months). No statement concerning evidence can be made for longer treatment periods.

There is moderately strong scientific evidence that pharmacological interventions with rofecoxib or naproxen have no effect on mortality for a period of one year (Evidence Grade 2).

There is moderately strong scientific evidence (Evidence Grade 2) that pharmacological interventions with memantine have no effect on mortality for a period of 6 months.

There is strong evidence that treatment with antipsychotics (as a group) carries a small risk of increased death (Evidence Grade 1).

Programs: There is moderately strong scientific evidence that caregiver support programs have no effect on mortality for a period of one year (Evidence Grade 2). No statement concerning evidence can be made for longer treatment periods or other programs.

Discussion

There is no support based on scientific evidence that pharmacological treatments or intervention programs either reduce or increase mortality in dementia care.

Mortality is generally not regarded as an outcome of interest per se, but most studies include information on mortality as a safety consideration (drug trials) or in flow charts of the way in which their populations changed over time. Mortality is likely to occur over a period of years.

Thus, it is not surprising (given that duration is often rather short) that the available information is limited. Long-term studies are difficult to conduct, and practical problems arise when it comes to collecting data over an extended period of time. It is also difficult to maintain an RCT design for several years. Thus, finding valid control groups/comparators is no easy task. That is unsatisfactory from a long-term resource utilization point of view. Because dementia disorders are expensive to treat, a prolonged period of survival as the result of any intervention may affect resource utilization and costs. This does not necessarily imply poorer cost effectiveness, given that the outcome may justify prolonged survival. Institutionalization and mortality interact in a complex manner – for instance, reduced mortality may increase the period of institutional care.

Recommendations for future research

There is a need for studies that focus on the long-term effects of different interventions on mortality.

Table 29.1 *Studies focusing on effects of pharmacological treatment on mortality.*

Author Year, reference Country	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included (attrition). Active treatment first (treatment(s), placebo)
Sano et al 1997 [4] USA	RCT	Com- munity	Alzheimer's disease (CDR)	Moderate	341 (7%)
Knopman et al 1996 [6] USA	Obser- vational study	Com- munity	Alzheimer's disease (NINCDS)	Mild- moderate	663 (10%)
Ott et al 2002 [8] USA	Obser- vational study	Nursing home	Clinical	Mild- moderate- severe	1 449+6 119
Rogers et al 1998 [11] USA	RCT	Com- munity	Alzheimer's disease (NINCDS, DSM-III-R)	Mild- moderate	162+154+157 (20%, 15%, 32%)
Burns et al 1999 [12] United Kingdom	RCT	Com- munity	Alzheimer's disease (NINCDS, DSM-III-R)	Mild- moderate	274+271+273 (20%, 22%, 26%)
Feldman et al 2001 [13] Canada	RCT	Com- munity	Alzheimer's disease (NINCDS)	Moderate- severe	144+146 (16%, 14%)

Age-groups Mean/ Range (SD) (treatment(s), placebo)	Study period	Drug	Primary out- come*	Effects (end)	Remarks from reviewer	Quality of study
72.7–73.9 (7.1–8.9)	2 years	Selegiline (S), Vitamin E, S + E	Mortality	NS in all combinations ** (41 deaths)	Significant effects only after baseline adjustments. Vitamin E dose high	2
71.5–73.9 (7.5–8.2)	≥2 years	Tacrine high dose vs low dose	Mortality	NS (p = 0.06 for increased survival with high dose tacrine vs low dose) 81 deaths	Initial RCT not maintained	1
82 (7.2), 84 (7.6)	3 years	Tacrine	Mortality	Tacrine users, particularly high dose users, have longer survival (HRR 0.76, 95% CI 0.70–0.83)	Not an RCT. Retrospective. Only nursing home residents. Validity of diagnoses questionable	1
72.6 (56–88), 72.9 (51–86), 74.6 (53–94)	6 months	Donepezil	Mortality	NS (2 deaths in total)	Placebo, 5, 10 mg donepezil. Rather high attrition in 10 mg group. Short duration	2
71 (50–90), 72 (51–91), 72 (53–93)	6 months	Donepezil	Mortality	NS (5 deaths in total)	Placebo, 5, 10 mg donepezil. Rather high attrition	2
73.3 (52–92), 74.0 (48–92)	6 months	Donepezil	Mortality	NS (1 death)	Rather low power	2

The table continues on the next page

Table 29.1 *continued*

Author Year, reference Country	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included (attrition). Active treatment first (treatment(s), placebo)
Mohs et al 2001 [14] USA	RCT	Com- munity	Alzheimer's disease (NINCDS, DSM-IV)	Moderate	217+214 (28%, 26%)
Winblad et al 2001 [15] Europe	RCT	Com- munity	Alzheimer's disease (NINCDS, DSM-IV)	Mild- moderate	142+144 (33%, 33%)
Geldmacher et al 2003 [7] USA	Obser- vational study	Com- munity	Alzheimer's disease (NINCDS, DSM-IV)	Mild- moderate	1 115 (40%)
Corey-Bloom et al 1998 [16] USA	RCT	Com- munity	Alzheimer's disease (NINCDS, DSM-IV)	Mild- moderate	233+231+235 (15%, 35%, 16%)
Rösler et al 1999 [17] Germany	RCT	Com- munity	Alzheimer's disease (NINCDS, DSM-IV)	Mild- moderate	243+243+239 (13%, 33%, 14%)

Age-groups Mean/ Range (SD) (treatment(s), placebo)	Study period	Drug	Primary out- come*	Effects (end)	Remarks from reviewer	Quality of study
75.3 (49–94), 75.4 (50–91)	12 months	Done- pezil	Mortality	NS (7 deaths)	External validity questionable due to exclusion criteria	2
72.1 (49–86), 72.9 (51–88)	12 months	Done- pezil	Mortality	NS (7 deaths)	High attrition, rather low power	1
73.3	Max 96 months	Done- pezil	Mortality	266 deaths. Delayed start group lower mortality than minimum use ($p = 0.013$)	High attrition, initial RCT not maintained, pooled data from several studies, four groups (minimum use to maximal use of donepezil)	1
74.8 (45–89), 74.9 (45–89), 73.8 (50–89)	6 months	Rivastig- mine	Mortality	NS (1 death)	1–4 mg, 6–12 mg, placebo. High attrition in 6–12 mg group	2
72 (45–95)	6 months	Rivastig- mine	Mortality	NS (1 death)	1–4 mg, 6–12 mg, placebo. High attrition in 6–12 mg group	2

The table continues on the next page

Table 29.1 *continued*

Author Year, reference Country	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included (attrition). Active treatment first (treatment(s), placebo)
Farlow et al 2000 [18] USA	RCT	Com- munity	Alzheimer's disease (NINCDS, DSM-IV)	Mild- moderate	233+231+235 (35%, 46%, 39%)*
Wilcock et al 2000 [19] United Kingdom	RCT	Com- munity	Alzheimer's disease (NINCDS)	Mild- moderate	220+218+215 (20%, 25%, 13%)
Raskind et al 2000 [20] USA	RCT	Com- munity?	Alzheimer's disease (NINCDS)	Mild- moderate	212 (32% 6 months, 55% 12 months, 211 (42% 6 months, 59% 12 months), 213 (19% 6 months, 60% 12 months)
Erkinjuntti et al 2002 [21]	RCT	?	AD with CVD-disease and VaD (NINDS- AIREN, NINCDS- ADRDA)	Mild- moderate	396 (26%), 196 (17%)
Bullock et al 2004 [22] United Kingdom	RCT	?	AD with CVD-disease and VaD (NINDS- AIREN, NINCDS- ADRDA)	Mild- moderate	188 (19% 6 months, 35% 12 months), 97 (11% 6 months, 44% 12 months)

Age-groups Mean/ Range (SD) (treatment(s), placebo)	Study period	Drug	Primary out- come*	Effects (end)	Remarks from reviewer	Quality of study
74.8 (45–89), 74.9 (45–89), 73.8 (50–89)	12 months	Rivastig- mine	Mortality	NS (5 deaths)	Extension of Corey-Bloom [16]. High attrition in 6– 12 mg group	2
71.9 (8.3), 72.1 (8.6), 72.7 (7.6)	6 months	Galan- tamine (24 mg, 32 mg)	Mortality	NS (no deaths)	External validity questionable due to exclusion criteria	2–3
75.3, 75.9, 75.0	6 months (+ 6 months open label)	Galan- tamine (24 mg, 32 mg)	Mortality	NS (2 deaths)	Rather high attrition, particularly in 12 months	2
75.0 (6.8), 75.2 (7.3)	6 months	Galan- tamine 24 mg	Mortality	NS (9 deaths)**	Higher attrition in galantamine group ($p < 0.05$)**	2
77.6, 75.8	6 months (+6 months open label)	Galan- tamine 24 mg	Mortality	NS? (1.6%, group differences not specified)	Rather low power, high attrition in 12 months	2

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Table 29.1 *continued*

Author Year, reference Country	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included (attrition). Active treatment first (treatment(s), placebo)
Lopez 2002 [9] USA	Obser- vational	Com- munity	Clinical	Mild- moderate (?)	135+135
Reisberg et al 2003 [23] USA	RCT	Com- munity	DSM-IV, Alzheimer's disease (NINCDS), 283	Moderate- severe	126 (23%), 126 (33%)
Tariot et al 2004 [24] USA	RCT	Com- munity	Alzheimer's disease (NINCDS)	Moderate- severe	203 (15%), 201 (25%)
Courtney et al "AD 2000" 2004 [3] United Kingdom	RCT	Com- munity	DSM IV-AD	Mild- moderate	282 (32% withdrawn), 283 (31% withdrawn)
Aisen et al 2003 [5] USA	RCT	Com- munity	Alzheimer's disease (NINCDS, DSM-III-R)	Mild- moderate	118+122+111 (24%, 27%, 21%)

* From the perspective of this analysis, these outcomes may be secondary in original trials.

** Chi2 test own calculations.

*** Based on study population in original publication [16].

Study quality: 1 = Limited study quality; 2 = Moderately high study quality; 3 = High study quality.

Age-groups Mean/ Range (SD) (treatment(s), placebo)	Study period	Drug	Primary out- come*	Effects (end)	Remarks from reviewer	Quality of study
72.7 (7.2), 72.8 (8.4)	3 years (aver- age)	CHEIs	Mortality	Endpoint mortality significantly lower in CHEI-group ($p < 0.001$), but survival analysis NS (RR 0.38, 95% CI 0.14–1.08). 69 deaths	Not a RCT, diagnose accuracy not clear. Odd under- inter-pretation of mortality figures	1
75.5 (8.2), 75.8 (7.3)	6 months	Meman- tine	Mortality	NS (7 deaths)	High attrition, rather low power	2
75.5 (8.5), 75.5 (8.7)	6 months	Done- pezil, meman- tine	Mortality	NS (no deaths)	No placebo group	2
76 (54–93), 75 (46–90)	3 years	Done- pezil	Mortality	NS (22 in each group)	High attrition, unusual inclusion criteria	1
73.7 (7.2), 74.1 (7.8), 73.8 (8.0)	12 months	Napro- xen, rofe- coxib, placebo	Mortality	NS (4 deaths)	Well conduc- ted study	3

AD = Alzheimer's disease; CHEIs = cholinesterase inhibitor; CI = Confidence interval; CVD = Cerebrovascular disease; DSM = Diagnostic and statistical manual; NINCDS = National institute of neurological and communicable diseases; ns = not stated; p = points; RCT = Randomised controlled trial; SD = Standard deviation; VaD = Vascular dementia

Table 29.2 Studies focusing on “programs” effects on mortality.

Author Year, reference Country	Type of study	Setting	Dementia/ diagnosis	Severity of demen- tia	Patients (n) included (attrition)
Engedal 1989 [25] Norway	RCT	Community, Norway	DSM-III	–	38+39 (0%)
Lawton et al 1989 [26] USA	RCT	Community, USA	Clinical AD?, MSQ***	?	315+317
Mohide et al 1990 [27] Canada	RCT	Community, Canada	Clinical, DRS****, GDS	87.90% moderate, moderate- severe	30+30 (0% for inst follow-up)
Brodaty et al 1991 [28] Australia	Quasi- experi- mental	Community, Australia	DSM-III, CDR	Mild- moderate	100 (4%)
O'Connor et al 1991 [29] United Kingdom	Quasi- experi- mental	Community, United Kingdom	MMSE, Camdex	Mild- moderate- severe	86+73 (44%, 42%) (0% for mor- tality analysis)
Wimo et al 1993 [30] Sweden	Quasi- experi- mental	Institution	Clinical	Severe	43+40
Mittelman 1993 [31] USA	RCT	Community, USA	Clinical AD, GDS	Mild- moderate- severe	103+103 (0.5%)
Wimo et al 1993 [32] Sweden	Quasi- experi- mental	Community, Sweden	Clinical (Geriatrician)	Moderate	55+44 (0%)

Age-groups (patients) Mean (range or SD)	Study period	Intervention (end)	Out- come*	Effects (end)	Remarks from reviewer	Quality of study
79 (75–88), 80 (75–89)	1 year	Day care	Mortality	NS** (10 deaths)	Low power	1
76.7, 76.1	1 year	Respite care	Mortality	NS** (126 deaths)	Patient description limited	2
77.8 (9.2), 75.9 (7.7)	6 months	CGS	Mortality	NS** (no deaths)	Low power	1
70.2 (49–79)	39 months	Caregiver sup- port (CGS), memory training (MT), waiting list (WL)	Mortality	NS** (between CGS and other groups) 22 deaths	Non ran- domized, low power	1
83.7, 83.7	2 years	CGS/Social support	Mortality	NS (69 deaths)	Rather low power, non randomised, 69% in the action group received the intervention	1
82 (62–96), 83 (63–92)	10 months	Multifaceted	Mortality	NS** (20 deaths)	Rather low power, non- randomised	0–1
(age class proportions)	1 year	CGS/Social support	Mortality	NS** (18 deaths)	Diagnose clinical	2
77.9 (76.0–77.9), 78.6 (77.1–80.5)	1 year	Day care	Mortality	NS** (21 deaths)	Non ran- domized, low power	1

The table continues on the next page

Table 29.2 *continued*

Author Year, reference Country	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included (attrition)
Volicer et al 1994 [10] USA	Quasi- experi- mental	LTC/SCU	DSM-III-R	Severe	113+50 (17% + 14%)
Rovner et al 1996 [33] USA	RCT	LTC (nursing home)	DSM-III-R	Severe (?)	42+39 (89 randomised)
Eloniemi- Sulkava et al 2001 [34] Finland	RCT	Community, USA	DSM-III-R, MMSE	Mild- moderate- severe	53+47 (0%)
Challis et al 2002 [35] United Kingdom	Quasi- experi- mental	Community, United Kingdom	OBS score	70% severe	43+43 (0%)
Teri et al 2003 [36] USA	RCT	Community, USA	Alzheimer's disease (NINCDS)	Moderate- severe	76+77 (41%, 43%) (0% for mor- tality analysis)
Keller et al 2003 [37] USA	Quasi- experi- mental	Nursing home/SCU	Clinical	Severe (?)	33+49
Huusko et al 2000 [38] Finland	RCT	Community	MMSE, clinical?	Mild- moderate- severe	130+130 (8%, 5%)

Age-groups (patients) Mean (range or SD)	Study period	Intervention (end)	Out-come*	Effects (end)	Remarks from reviewer	Quality of study
71.5 (6.7), 74.1 (10.9)	2 years	SCU-care	Mortality	Higher mortality in SCU-group. p<0.05** (65 deaths)	Groups not similar	1
82.0 (8.0), 81.2 (7.2)	6 months	AGE (Activities, guidelines, education)	Mortality	NS** (2 deaths)	Rather low power	1
78.8 (65–97), 80.1 (67–91)	2 years	CGS	Mortality	NS** (17 deaths)	Rather low power	2
79.8–80.4	2 years	Case management	Mortality	NS**	Low power	1
78 (6), 78 (8)	2 years	BPSD + training	Mortality	NS** (4 deaths)	Rather low power	2
80 (7), 80 (7)	9 months	Nutritional program	Mortality	NS (10 deaths)	Diagnostics unclear, low power	1
80 (67–92), 80 (66–97)	1 year	Geriatric rehabilitation	Mortality	NS (35 deaths)	Dementia diagnostics unclear, difference in MMSE between groups	1

The table continues on the next page

Table 29.2 *continued*

Author Year, reference Country	Type of study	Setting	Dementia/ diagnosis	Severity of dementia	Patients (n) included (attrition)
Shaw et al 2003 [39] United Kingdom	RCT	Mixed	MMSE, clinical?	Moderate- severe	150+158 (31%, 28%)
Reimer et al 2004 [40] USA	Quasi- experi- mental	Institution	GDS	Mode- rate-severe (GDS 5–7)	62+64+59 (31%, 27%, 20%)
Mcdonald et al 2004 [41] United Kingdom	Quasi- experi- mental	Interme- diate care, home for rest of life	Geriatric mental scale	“Significant dementia”	24+37

* From the viewpoint of this analysis, it may be secondary in original trials.

** Chi2 test own calculations.

*** Mental State Questionnaire.

**** Dementia Rating Scale.

Study quality: 1 = Limited study quality; 2 = Moderately high study quality; 3 = High study quality.

AD = Alzheimer's disease; AGE = Activities, guidelines, education; BPSD = Behavioral and psychological symptoms in dementia; CDR = Clinical Dementia Rating Scale; CGS = Caregiver support; DRS = Dementia rating scale; DSM = Diagnostic and statistical manual; GDS = Global deterioration scale; LTC = Long-term care; MMSE = Mini-mental state examination; NINCDS = National institute of neurological and communicable diseases; ns = not stated; RCT = Random controlled trial; SCU = Special care unit; SD = Standard deviation

Age-groups (patients) Mean (range or SD)	Study period	Intervention (end)	Out- come*	Effects (end)	Remarks from reviewer	Quality of study
84 (71–97) 84 (71–97)	1 year	Fall prevention program	Mortality	NS (56 deaths)	Dementia diagnostics unclear, MMSE based, not all study patients demented	1
80.2(7.2), 83.2 (7.2), 81.7 (8.0)	1 year	Special Care Facility	Mortality	NS (44 deaths)	Non rando- mised	1
–	1 year	Relocation	Mortality	NS (17 deaths)	Differences founding sub- groups. Small study, non- randomised	1

Appendix 29.1 Search strategy.

Database	Search term 1	Search term 2	Search term 3	Results
PubMed	Dementia or Alzheimer's Disease (MeSH)	Mortality (MeSH)		548 (only titles reviewed)
	Dementia or Alzheimer's Disease (MeSH)	Mortality (MeSH)	Controlled clinical trial (MeSH)	1
	Dementia	Mortality	Controlled trial	12
	Alzheimer's disease	Mortality	Controlled trial	1
PsycInfo	Dementia	Mortality	Controlled trial	4
	Dementia	Mortality	Treatment	35
	Alzheimer('s) (Disease)	Mortality	Treatment	3
CRD: NHSEED/ HTA	Dementia	Mortality		20
	Alzheimer('s) (Disease)	Mortality		10
Sociological abstracts/ social services abstract/ERIC	Dementia or Alzheimer('s) (Disease)	Mortality		28
Ingenta	Dementia	Mortality		106
	Alzheimer('s) (Disease)	Mortality		32
Cochrane	Dementia	Mortality		25
	Alzheimer('s) (Disease)	Mortality		9

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